

# Precision Reactor $\bar{\nu}_e$ Spectrum Measurements: Recent Results and PROSPECTs

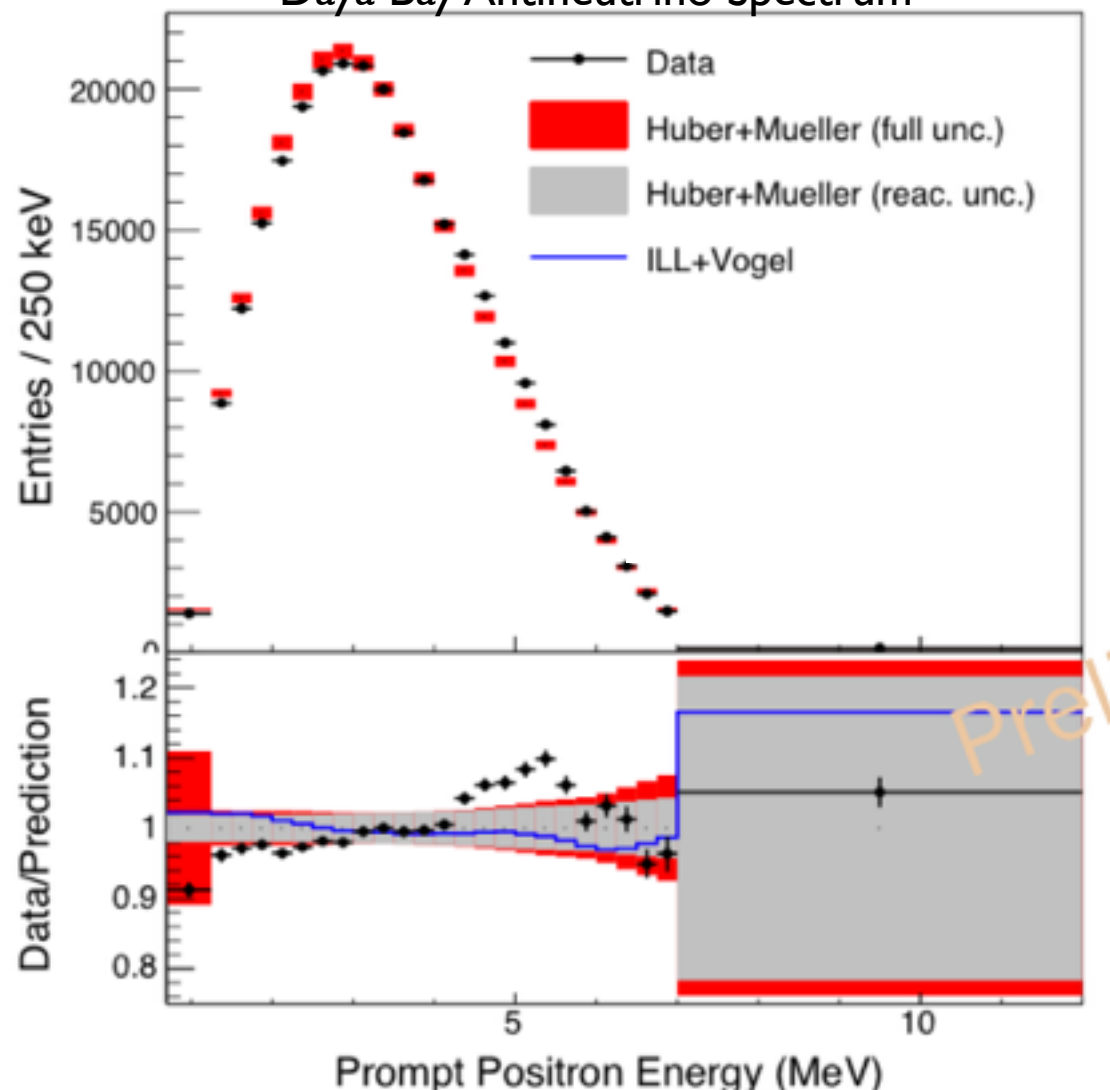
November 24, 2014



Bryce Littlejohn  
Illinois Institute of Technology



Daya Bay Antineutrino Spectrum



PROSPECT Collaboration at HFIR



# Outline

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- Intro: Reactor  $\bar{\nu}_e$  Flux and Spectrum Predictions
- Reactor Anomaly and recent flux/spectrum measurements
- Future measurement of the  $\bar{\nu}_e$  spectrum at PROSPECT
- Historical/current/future context for PROSPECT



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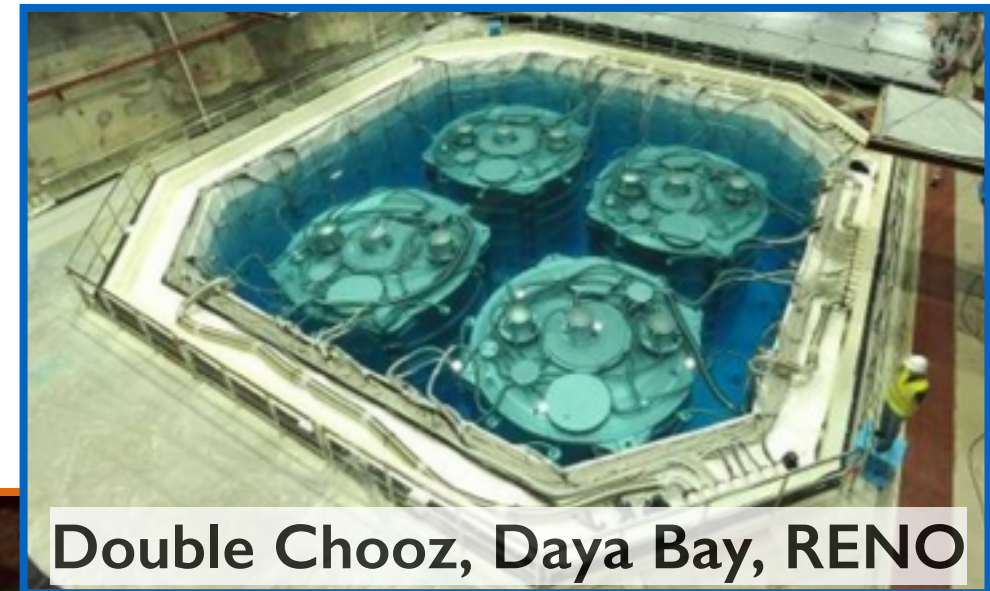
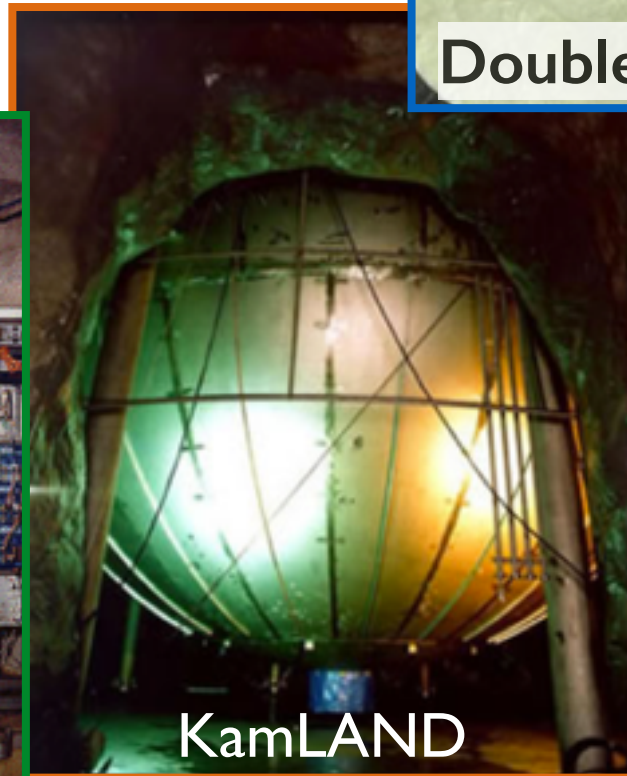
# Reactor Neutrino History



- Reactor  $\bar{\nu}_e$ : a history of discovery  
Many experiments, differing baselines

1970s-80s-90s:  
Reactor flux,  
Cross-section measurements

1950s: First  
neutrino  
observation



2010s:  
 $\theta_{13}$ , precision  
oscillation  
measurements

2000s:  $\bar{\nu}_e$  disappearance,  
 $\bar{\nu}_e$  oscillation measurements

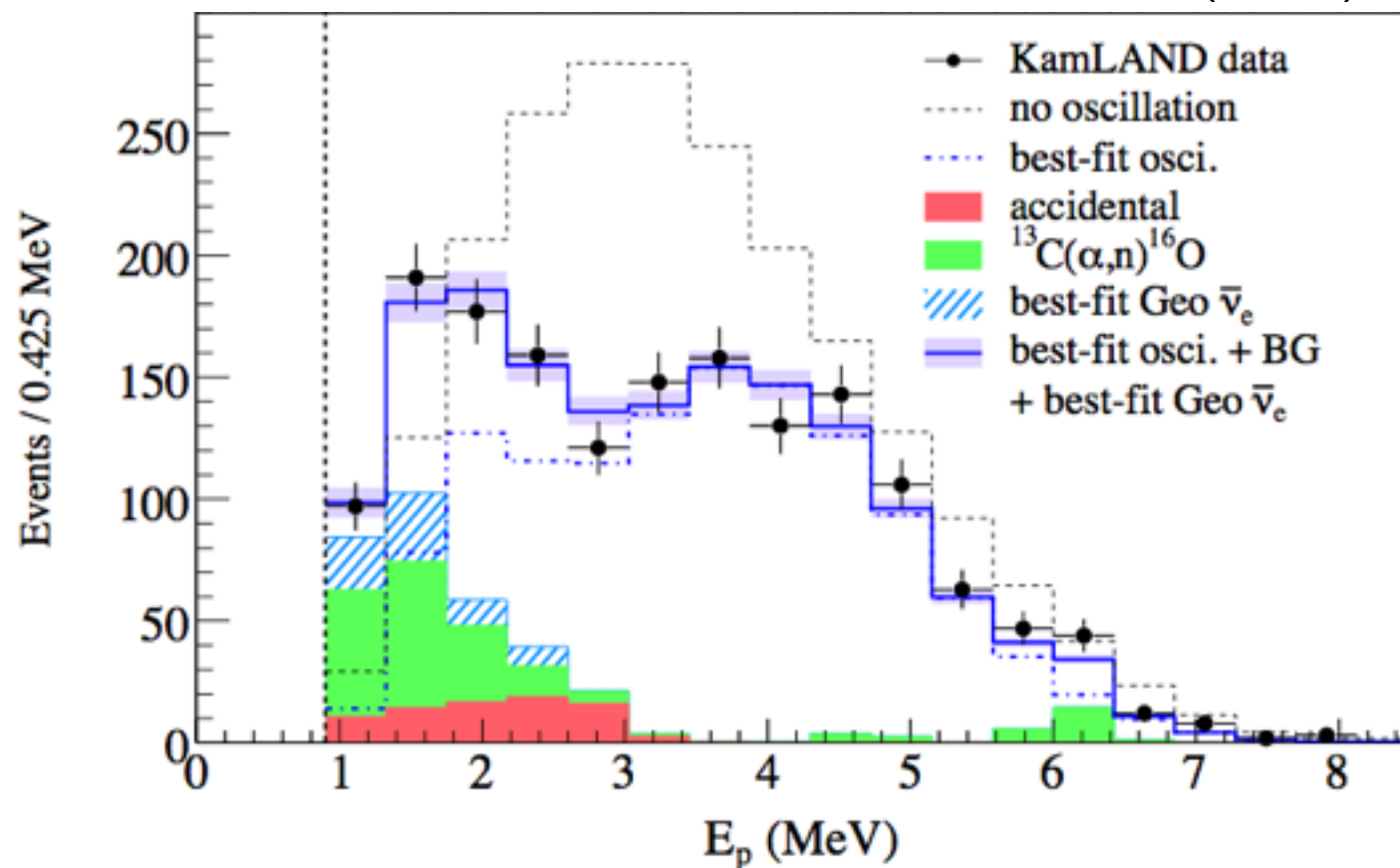


# Reactor Neutrino Discovery



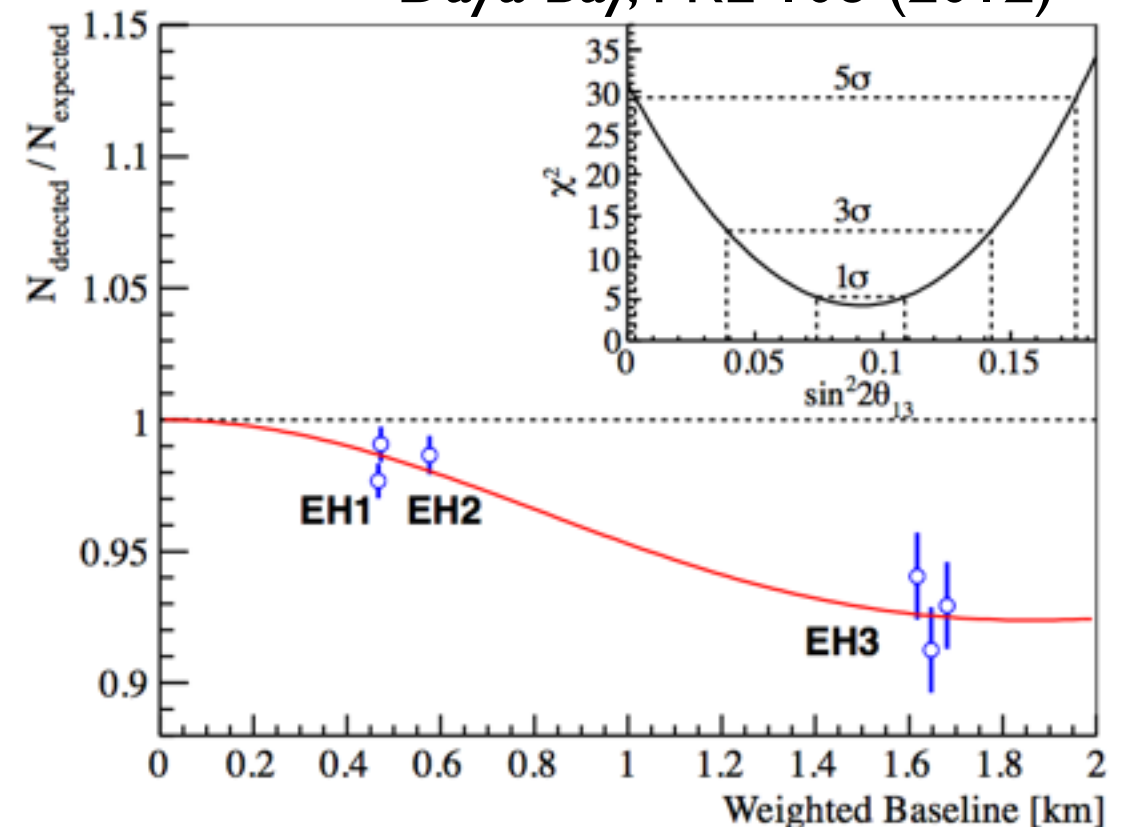
- How are these discoveries made?
- Comparing observed reactor neutrinos at different sites
- Comparing observed reactor neutrinos to predictions based on some model of how nuclear reactors work

KamLAND, PRL 100 (2008)



2000s:  $\bar{\nu}_e$  disappearance,  
 $\bar{\nu}_e$  oscillation measurements

Daya Bay, PRL 108 (2012)

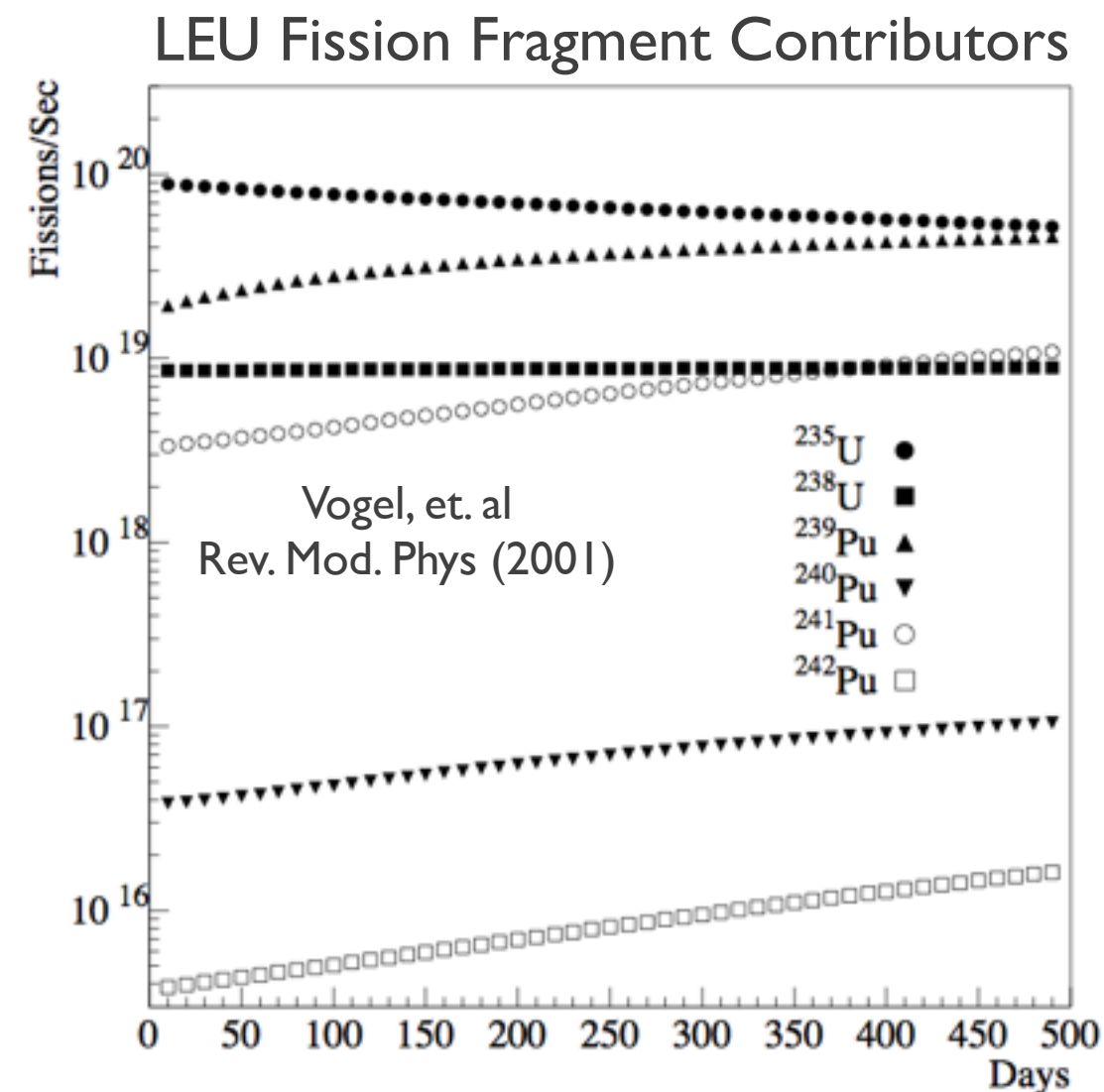


2010s:  $\theta_{13}$ , precision  
oscillation measurements

# Reactor Antineutrino Production



- Beta branches produced when fission isotopes fission
  - Low-enriched (LEU): Many fission isotopes
  - Highly-enriched (HEU): U-235 fission only
- Overall fission rate described largely by reactor thermal power

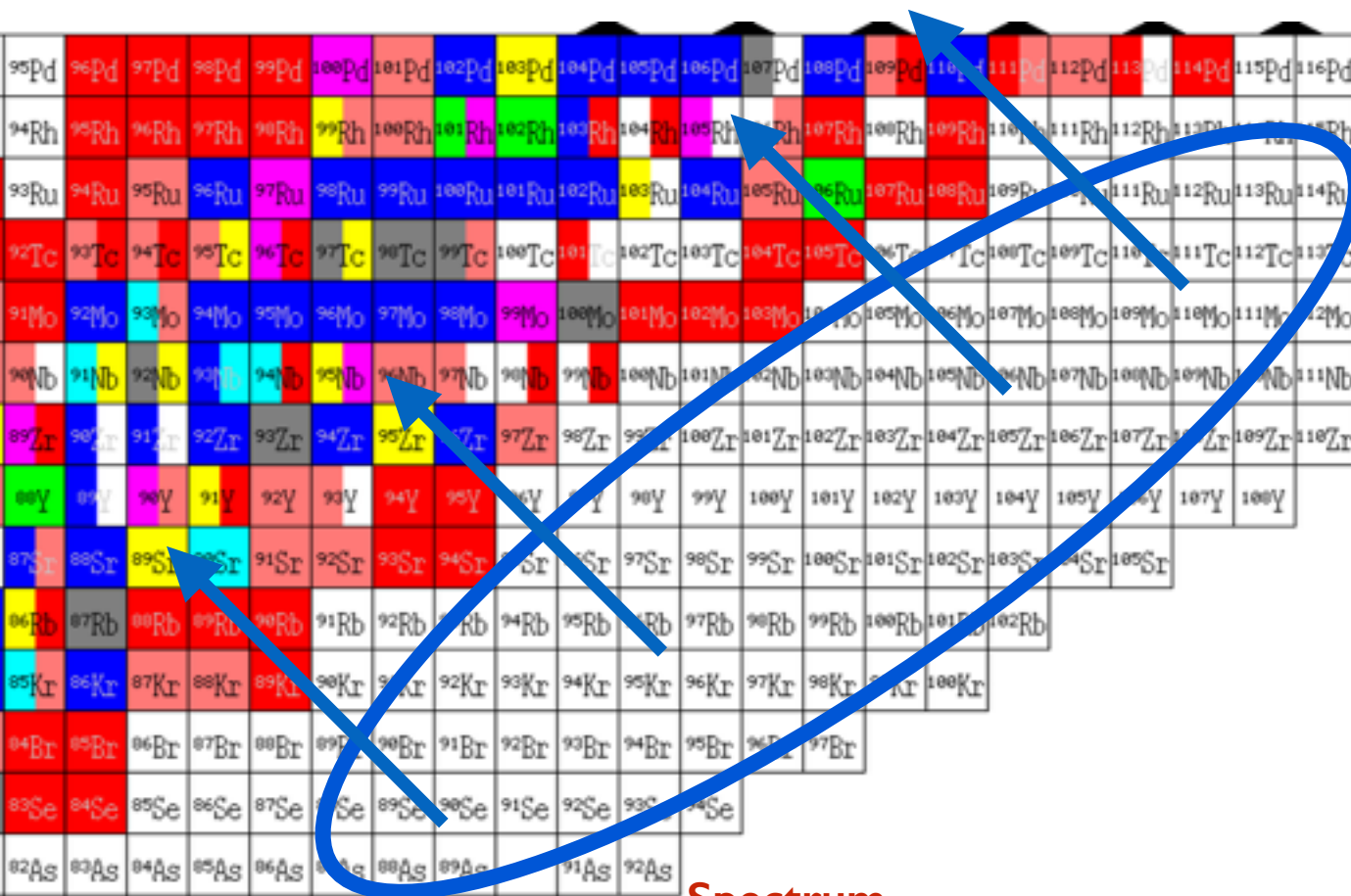
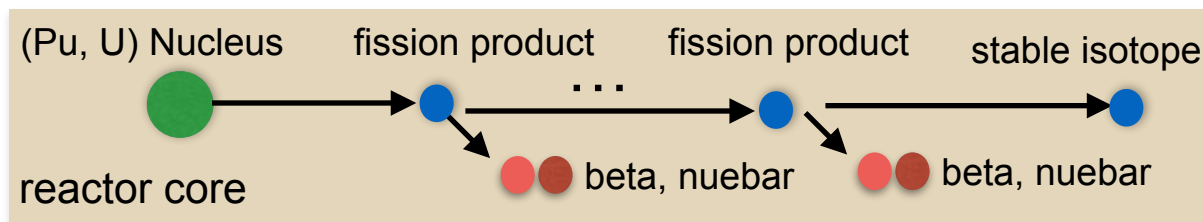


# Reactor Antineutrino Production



- Reactor  $\bar{\nu}_e$ : produced in decay of product beta branches

- Each isotope: different branches, so different neutrino energies (slightly)

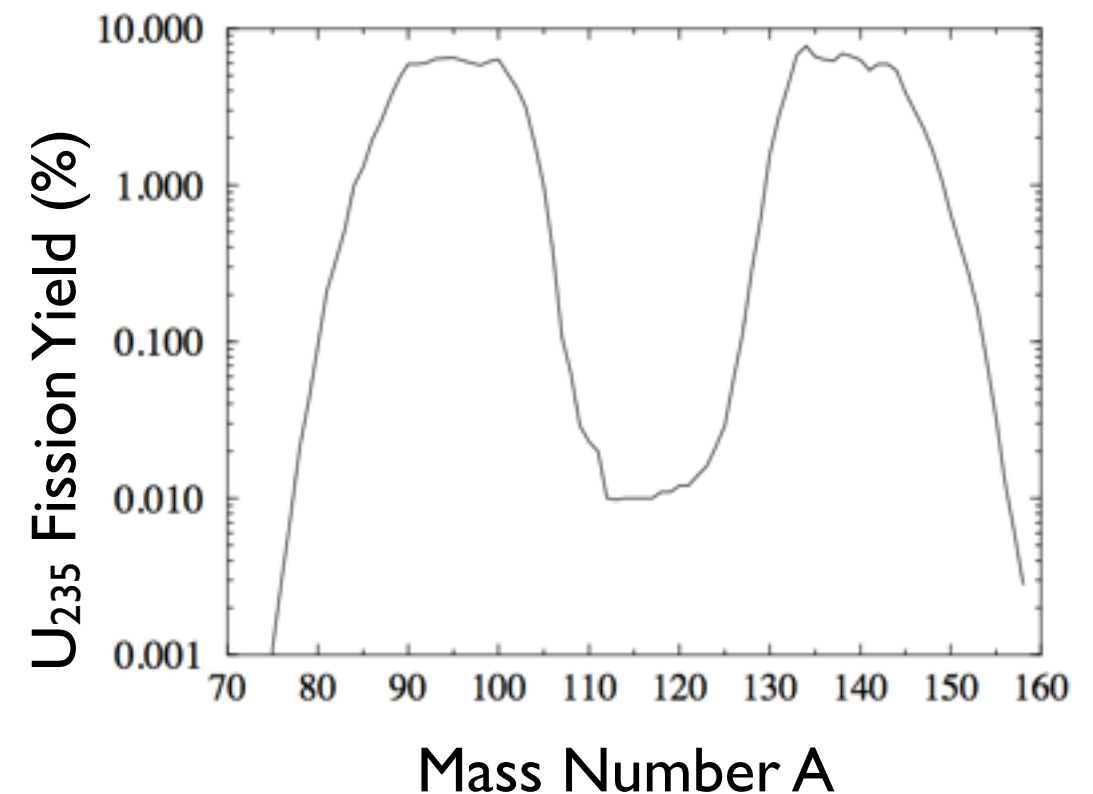


Spectrum

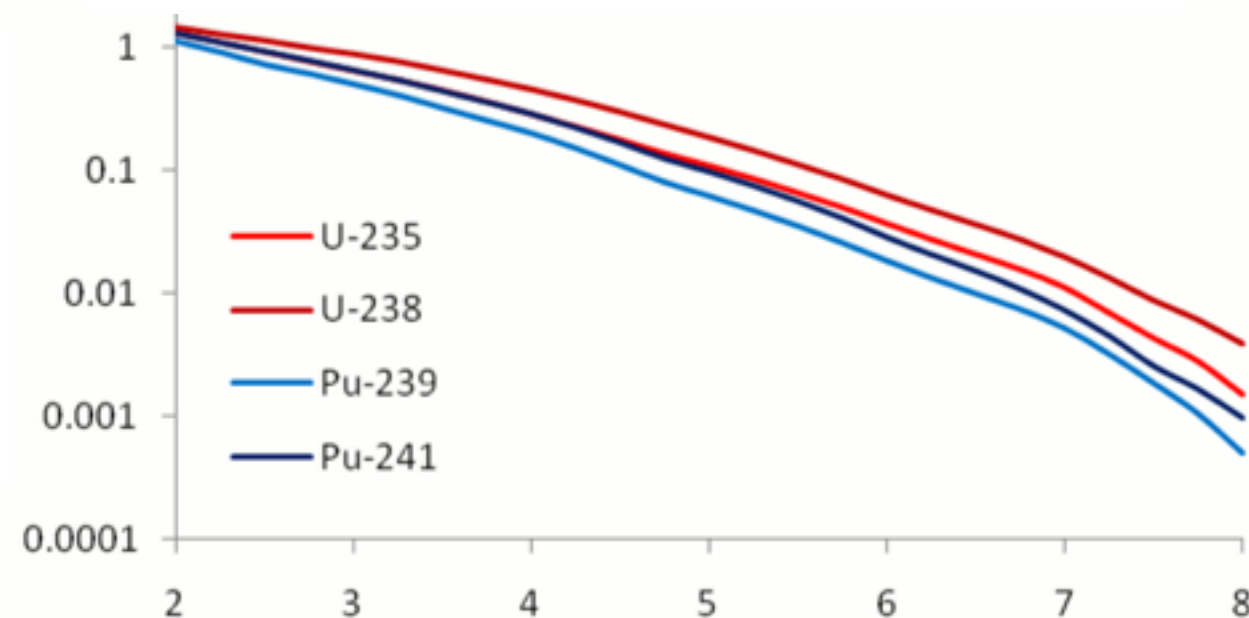
$$S(E) = \sum_i F_i S_i(E)$$

Fission Isotope  $i$  Flux

$$F_i = \frac{W_{th} f_i}{\sum_k f_k E_k}$$



neutrinos/fission



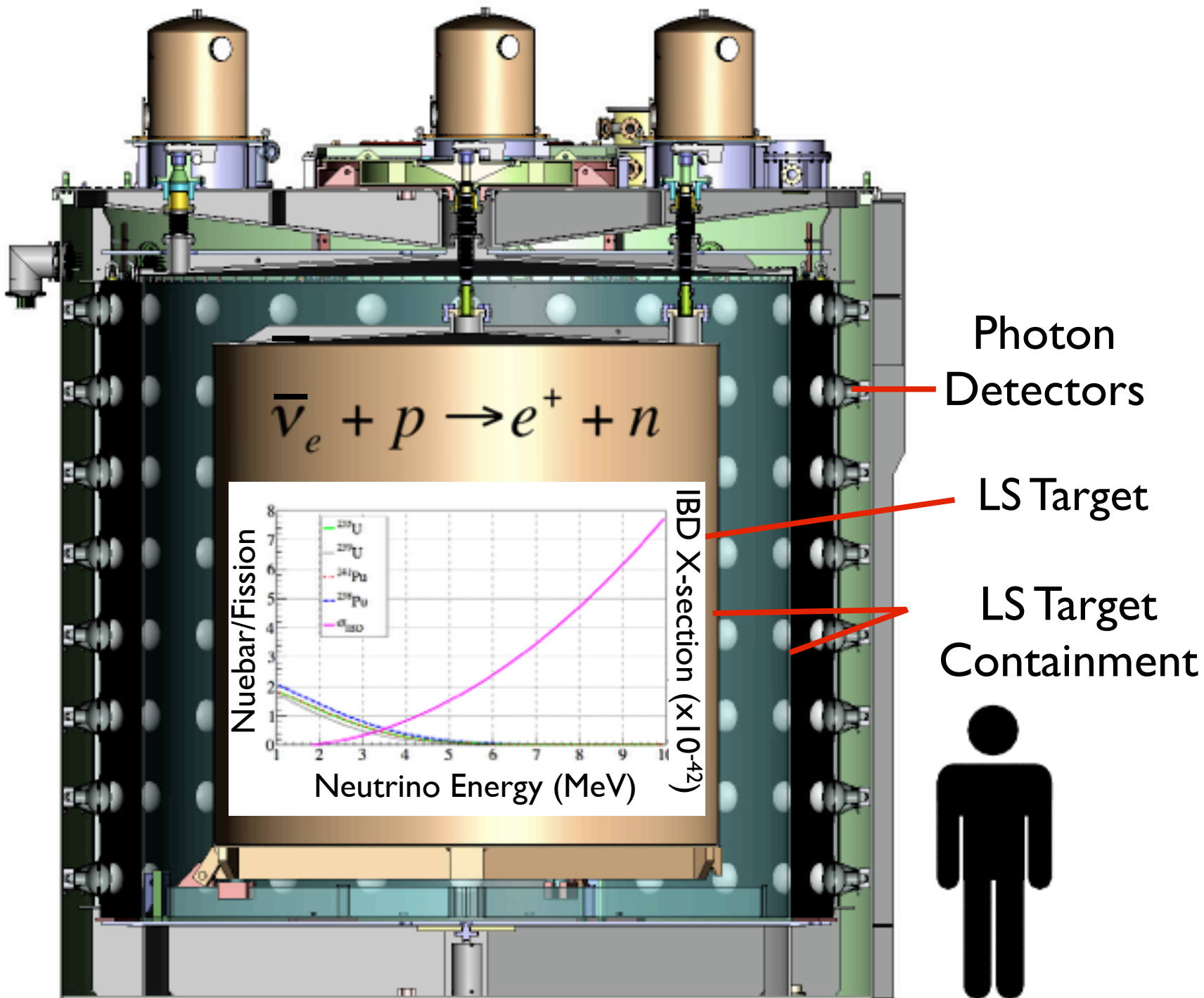
Antineutrino Energy (MeV) 7



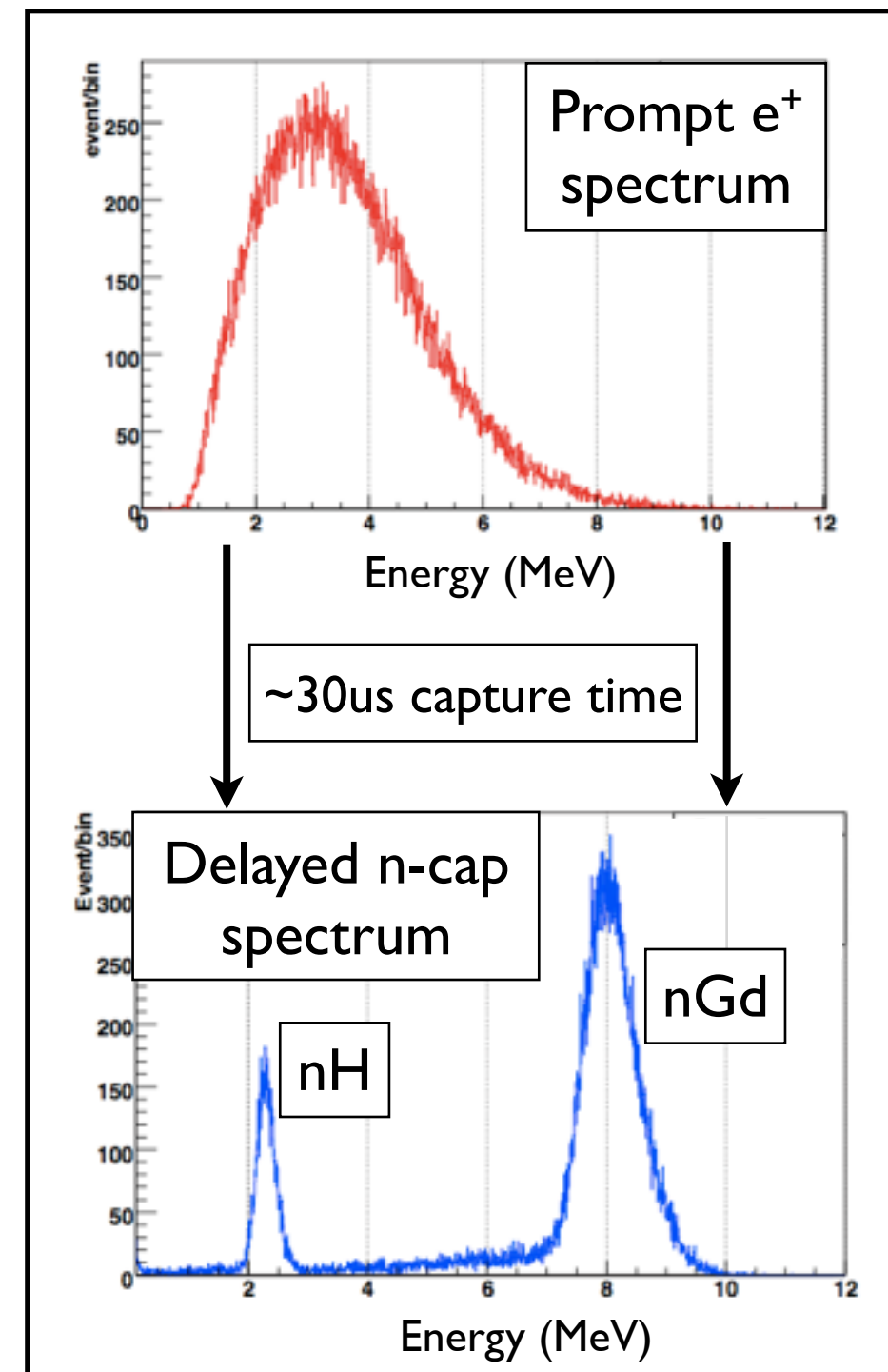
# Reactor Antineutrino Detection



- Detect inverse beta decay with liquid or solid scintillator, PMTs
- IBD  $e^+$  is direct proxy for antineutrino energy



Example: Daya Bay Detector



Daya Bay Monte Carlo Data

# Predicting $S_i(E)$ , Neutrinos Per Fission



- Two main methods:

- *Ab Initio* approach:

- Calculate spectrum branch-by-branch using beta branch databases: endpoints, decay schemes
- **Problem:** many rare beta branches with little information; infer these additions

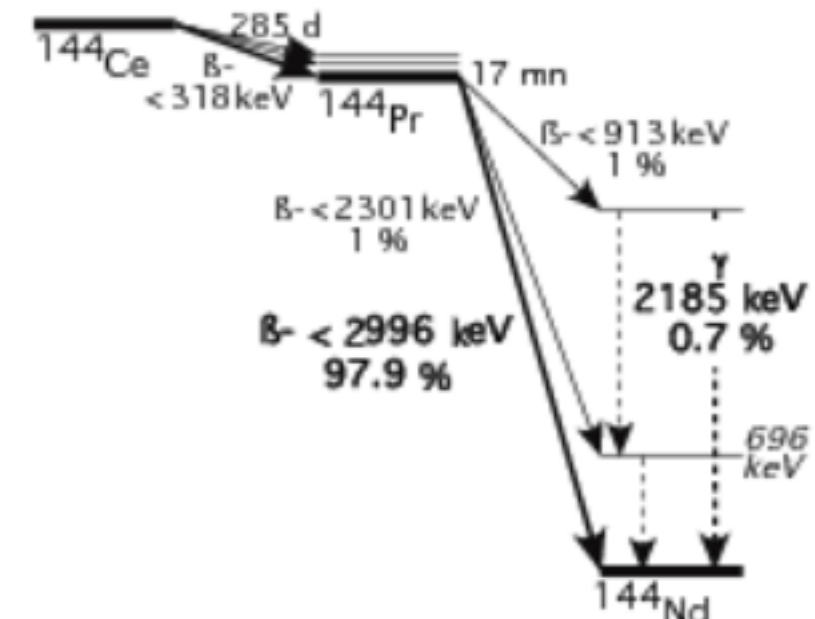
- Conversion approach

- Measure beta spectra directly
- Convert to  $\bar{\nu}_e$  using 'virtual beta branches'
- **Problem:** 'Virtual' spectra not well-defined: what forbiddenness, charge, etc. should they have?
- Devised in 50's, each method has lost and gained favor over the years

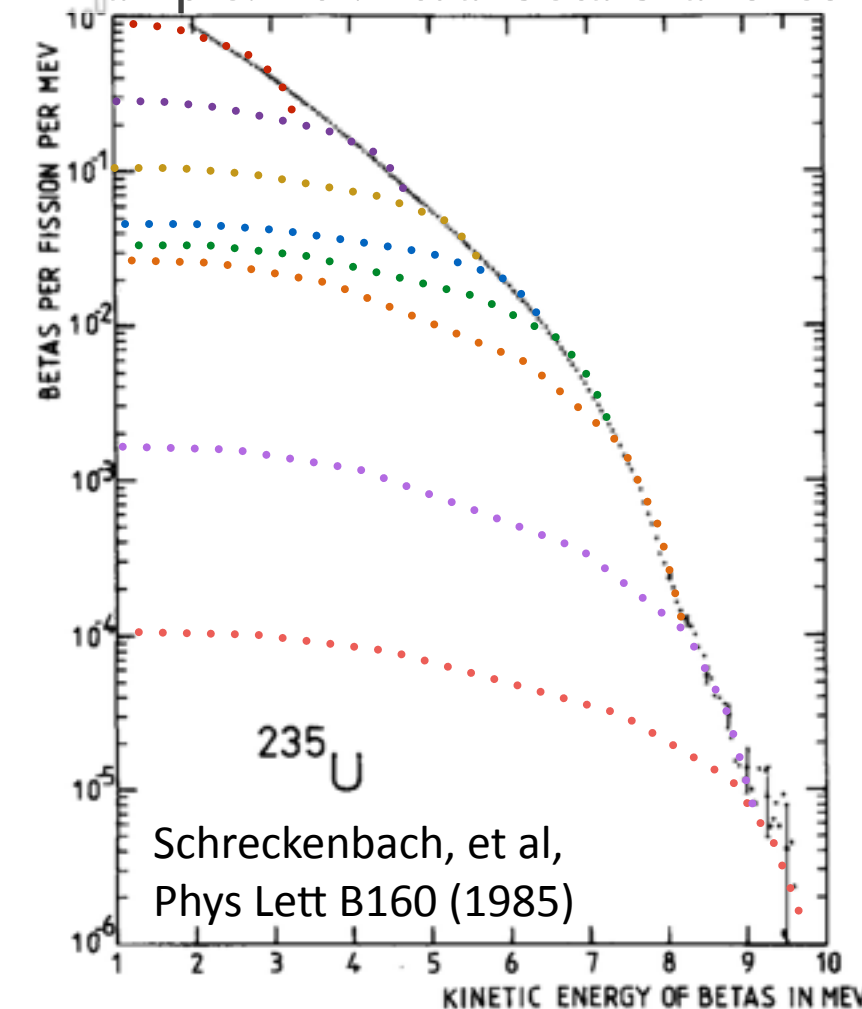
Carter, *et al*, Phys. Rev. 113 (1959)

King and Perkins, Phys. Rev. 113 (1958)

Example: Ce-144 Decay Scheme



Example: Fit virtual beta branches



# Predicting $S_i(E)$ , Neutrinos Per Fission



- Early 80s: ILL  $\bar{\nu}_e$  data fits newest *ab initio* spectra well

Davis, Vogel, et al., PRC 24 (1979)

Kown, et al., PRD 24 (1981)

- 1980s: New reactor beta spectra: measurements — conversion now provides lower systematics

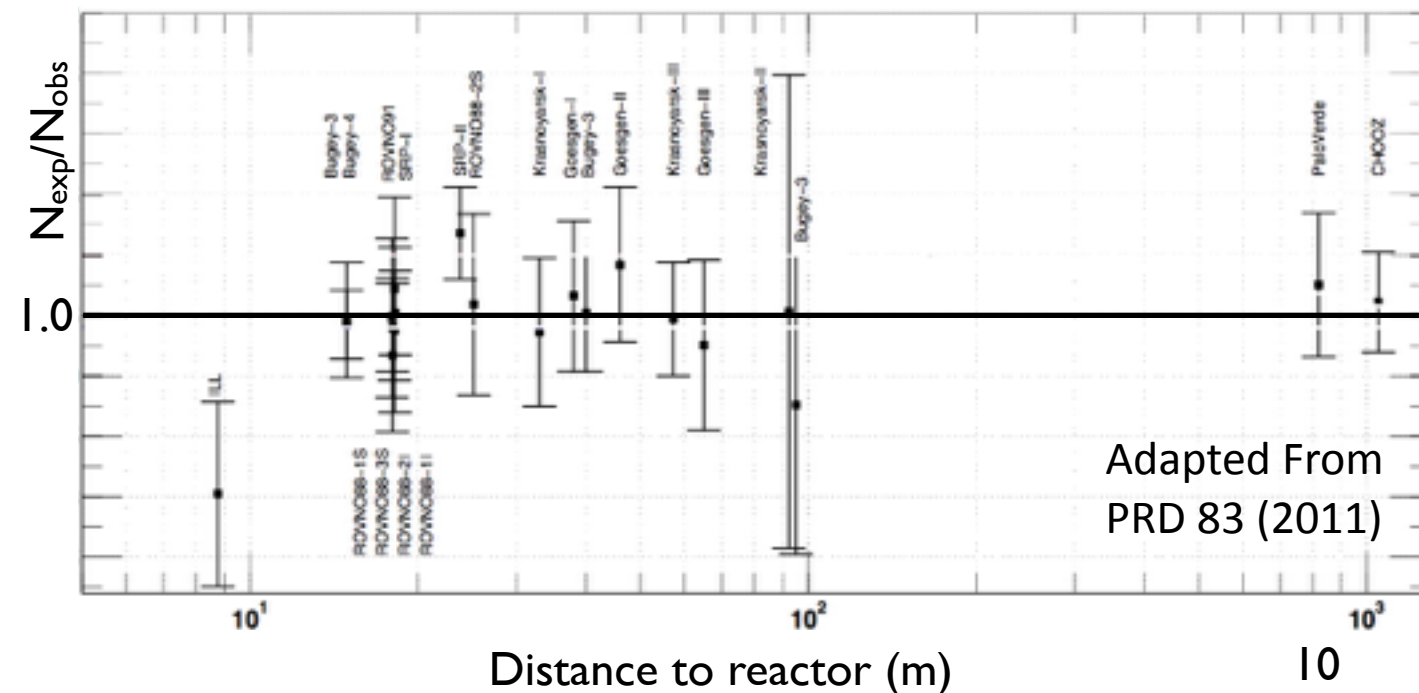
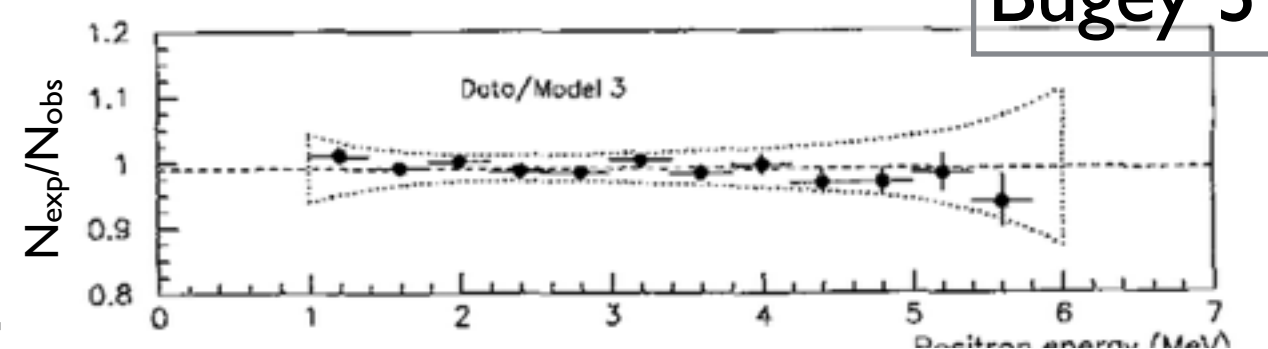
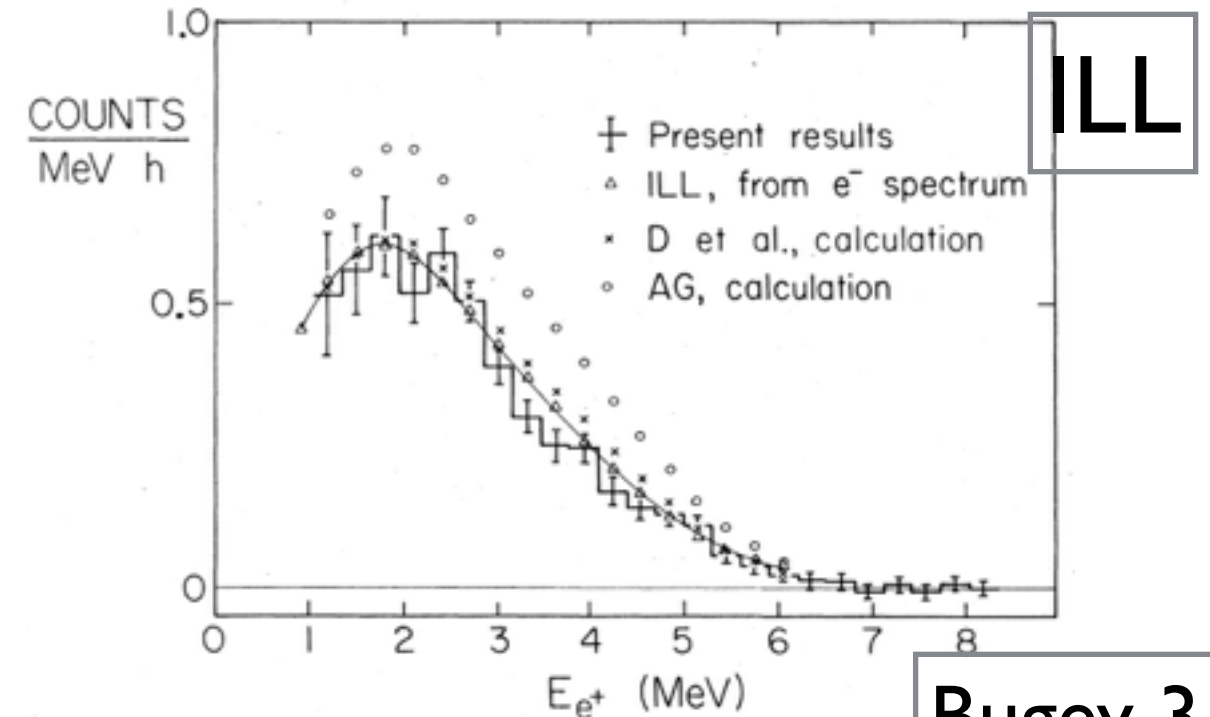
Schreckenbach, et al., Phys Lett B160 (1985)

Schreckenbach, et al., Phys Lett B218 (1989)

- 1990s: Bugey measurements fit converted spectrum well

B.Achkar, et al., Phys Lett B374 (1996)

- 1980s-2000s: Predicted, measured fluxes agree





# Recent History: Problems Emerge



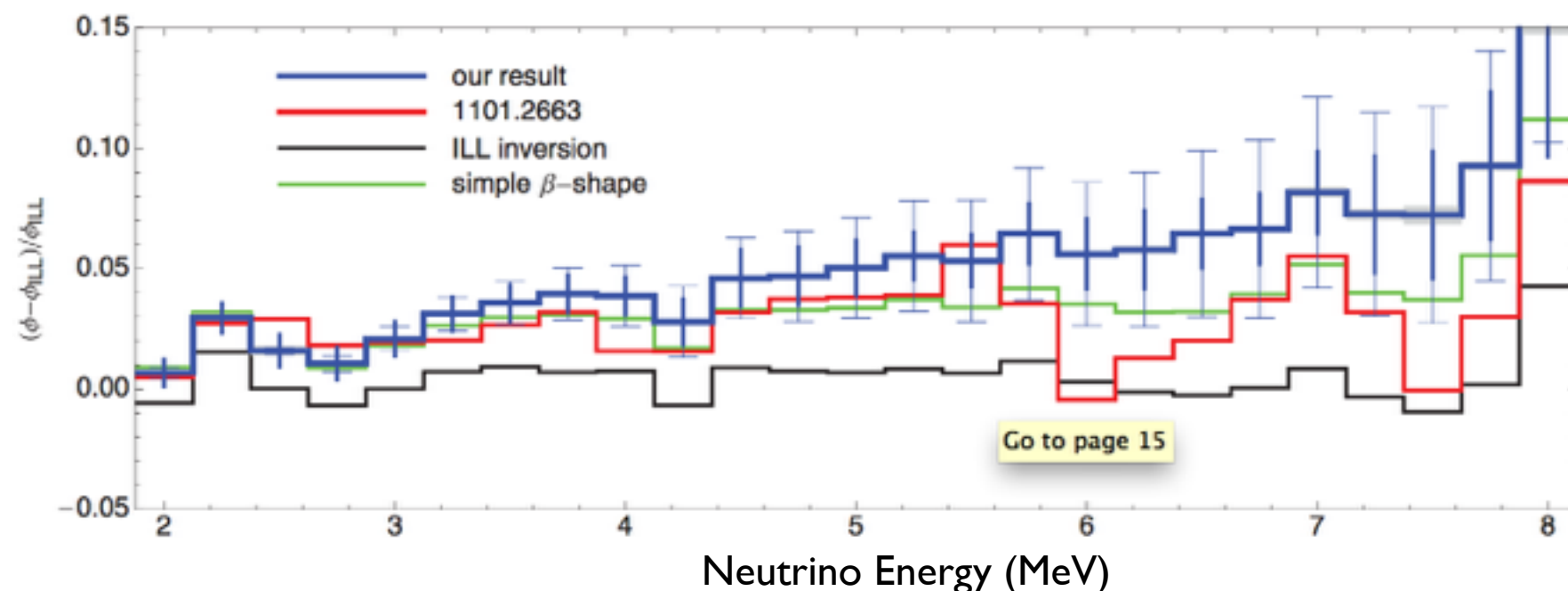
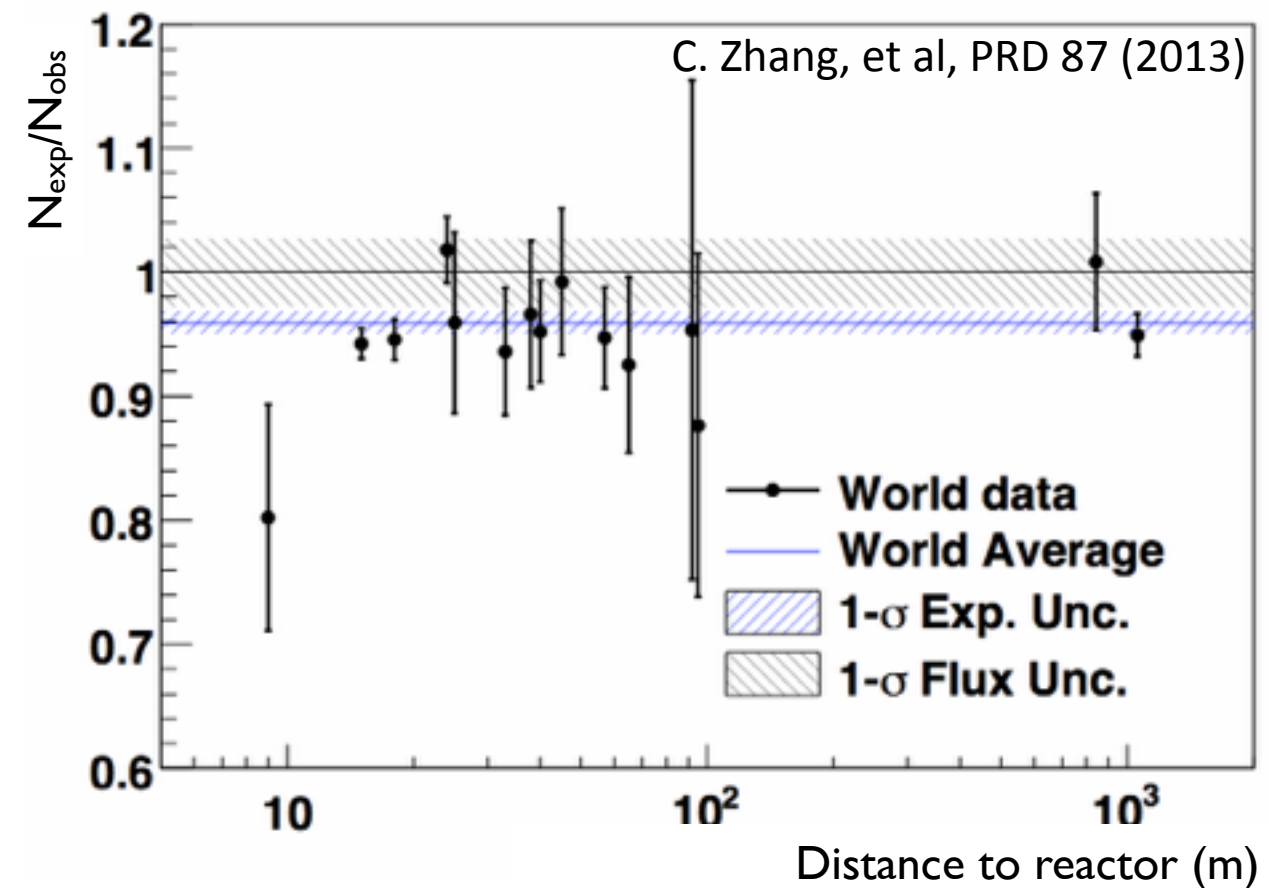
- 2010s: Re-calculation of conversion for  $\theta_{13}$  measurements

- Start with ab initio approach
- Subtract this from ILL beta spectra
- Use conversion procedure on remaining beta spectrum:  $\sim 10\%$
- OR Huber: virtual branches only

- Change in flux/spectrum!

- Flux increase from:
  - Conversion ( $\sim 3\%$ )
  - X-section (1%)
  - Non-equilibrium isotopes (1%)

Mueller, *et al*, Phys. Rev. C83 (2011)  
 Mention, *et al*, Phys. Rev. D83 (2011)  
 Huber, Phys. Rev. C84 (2011)





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# Reactor Antineutrino Anomaly?

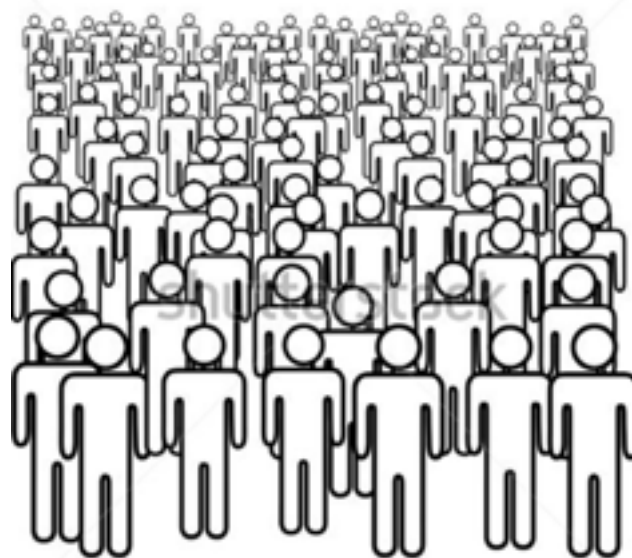
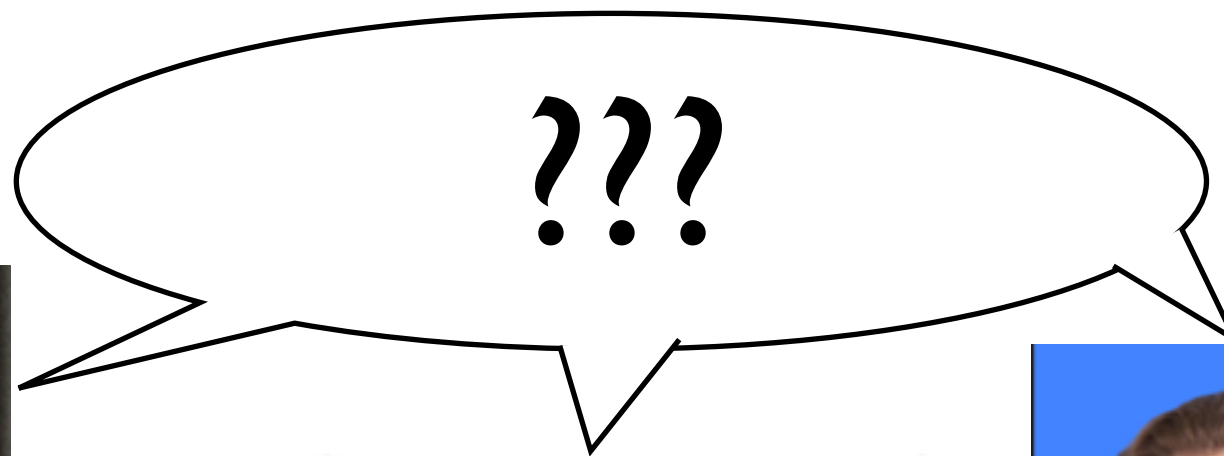


- Do we have a ‘reactor antineutrino anomaly?’

- “No: the previous experiments could have been biased to report flux measurements that agreed with existing predictions of the time.”
- “Yes: but probably attributable to uncertainties in the beta-to- $\nu_e$  conversion.”
- “Yes: the deficit could result from short-baseline sterile neutrino oscillations.”



P. Vogel, Caltech



The rest of us



T. Lasserre,  
CEA, France



P. Huber,  
VTech



# Reactor Antineutrino Anomaly?

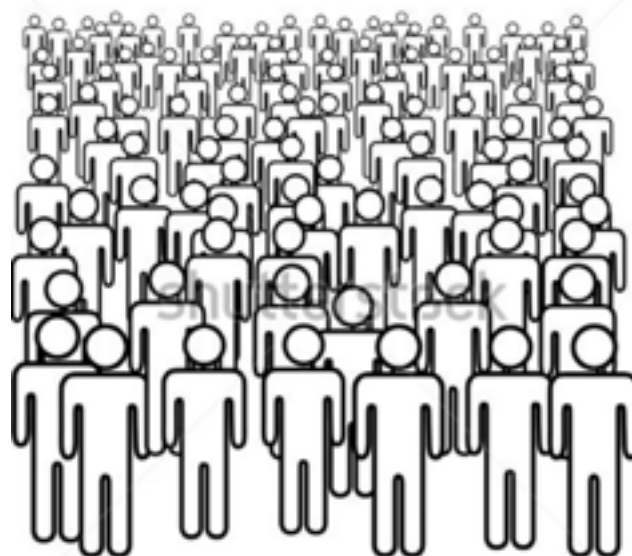


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We need more data!!



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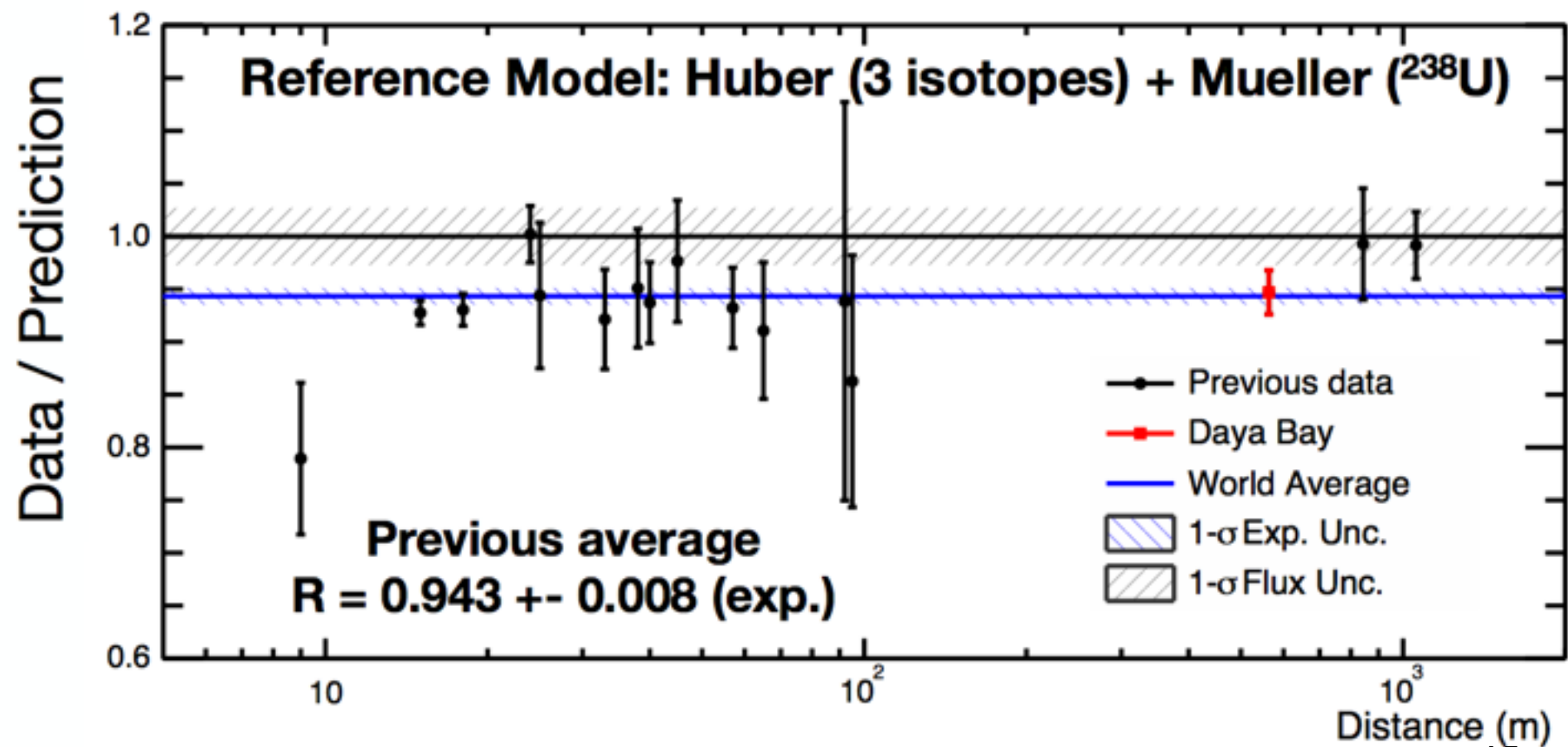
# Reactor Anomaly Explanations



- Do we have a ‘reactor antineutrino anomaly?’
  - “No: the previous experiments could have been biased to report flux measurements that agreed with existing predictions of the time”
- Daya Bay also sees the reactor flux deficit
  - 5% deficit relative to 2011 Huber/Mueller flux prediction
  - Blind analysis: No reactor power data available until analysis is totally fixed

C. Zhang (Daya Bay)  
Neutrino 2014

We need more data!!

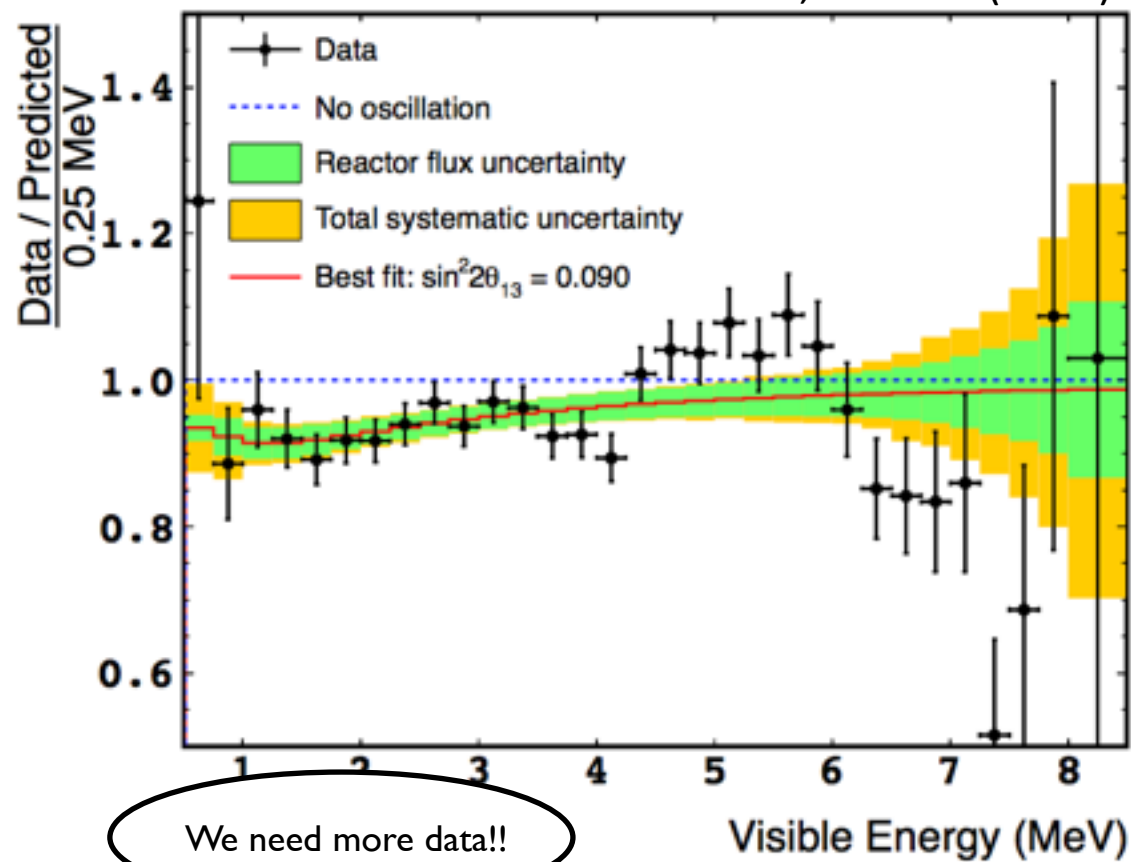


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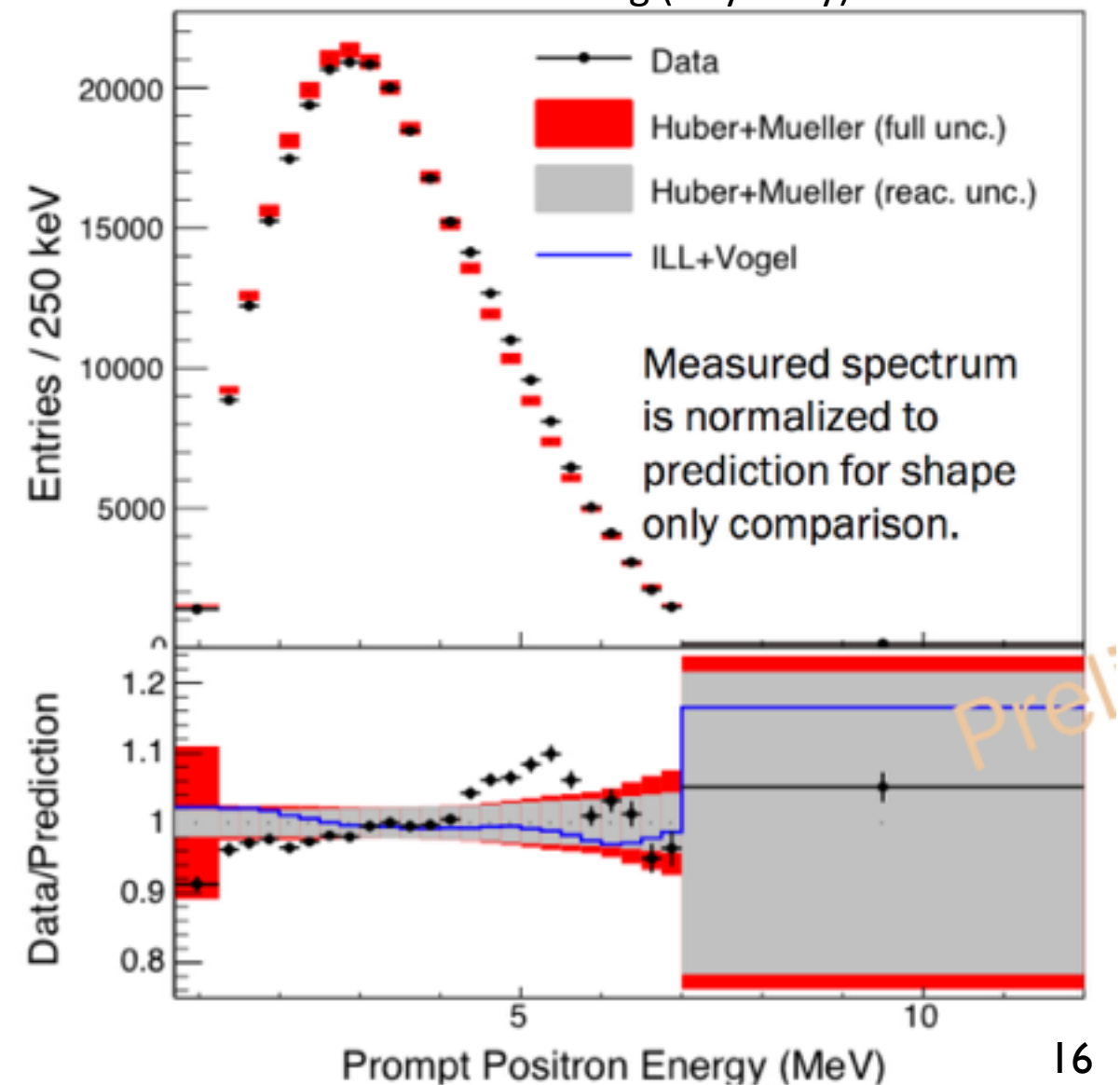


- Do we have a ‘reactor antineutrino anomaly?’
  - “Yes: it’s probably attributable to problems in the beta-to- $\nu_e$  conversion”
- Spectra from  $\theta_{13}$  experiments disagree with predictions
  - “If measured spectrum doesn’t match, why should measured flux?”

Double Chooz, JHEP 10 (2014)



W. Zhong (Daya Bay) ICHEP 2014





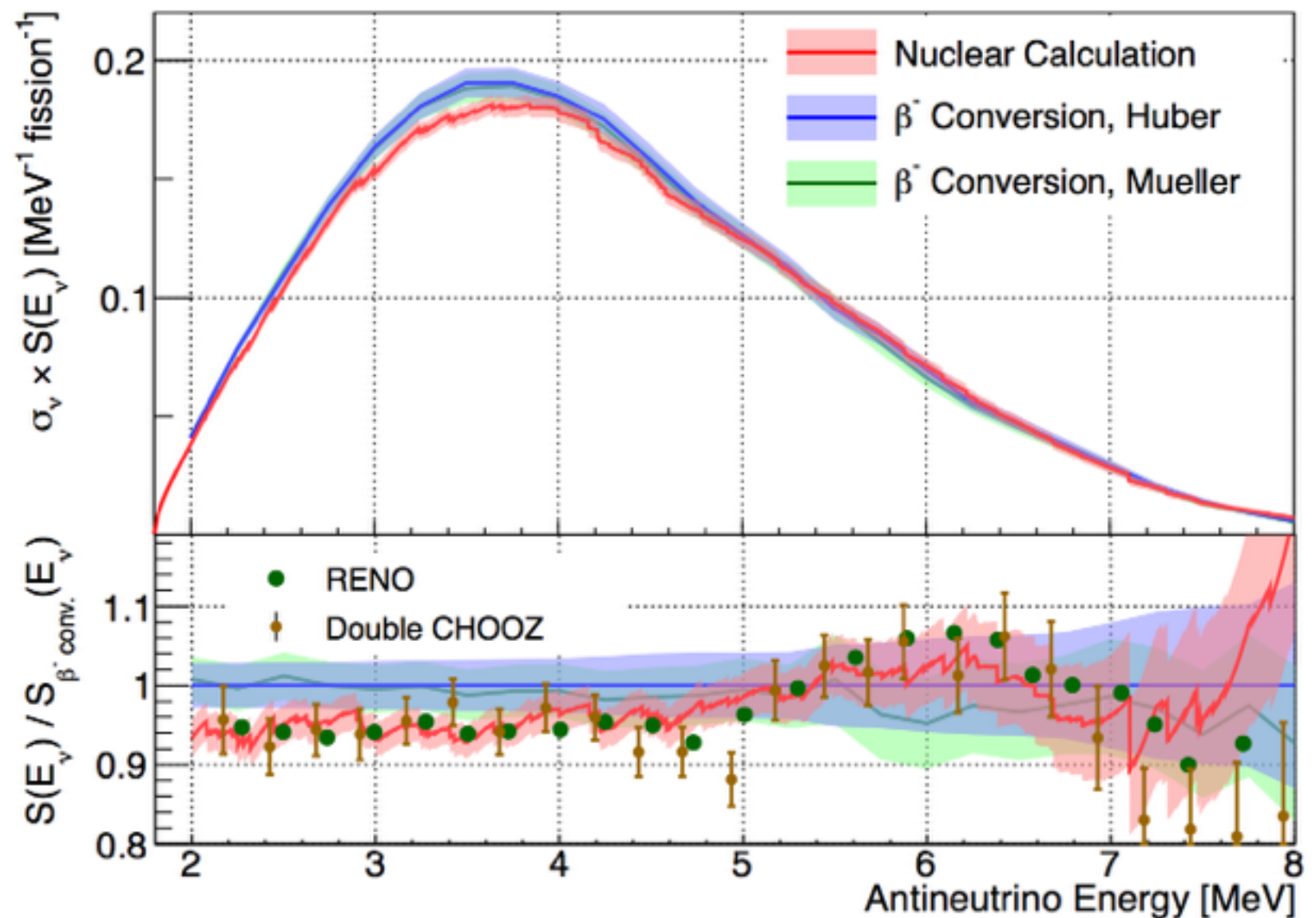
# Reactor Antineutrino Explanations



- Do we have a ‘reactor antineutrino anomaly?’
  - “Yes: it’s probably attributable to problems in the beta-to- $\bar{\nu}_e$  conversion”
- New *ab initio* shape seems to match RENO/DC data quite well

- But not the flux...?
- Not enough data to constrain this situation further!

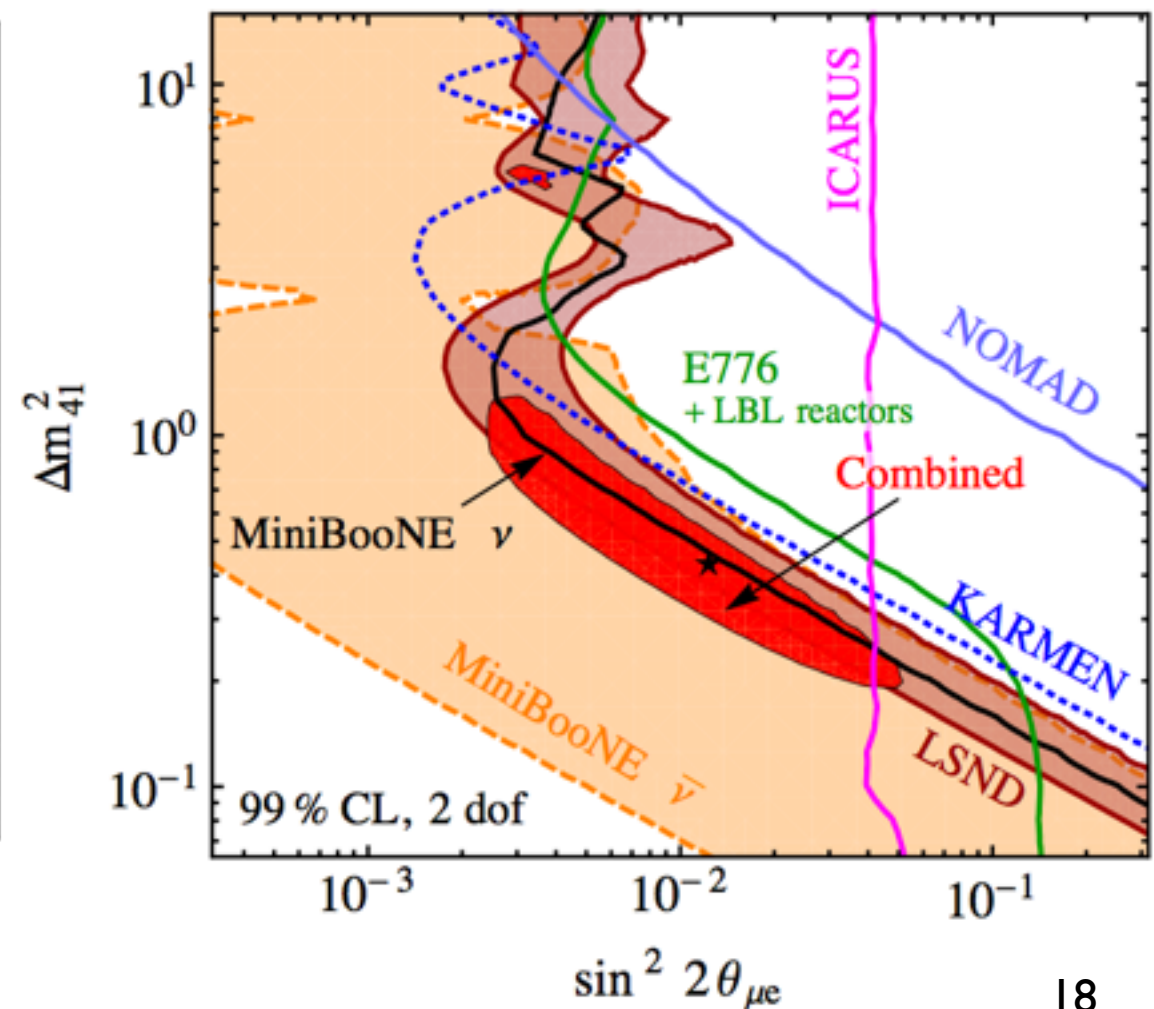
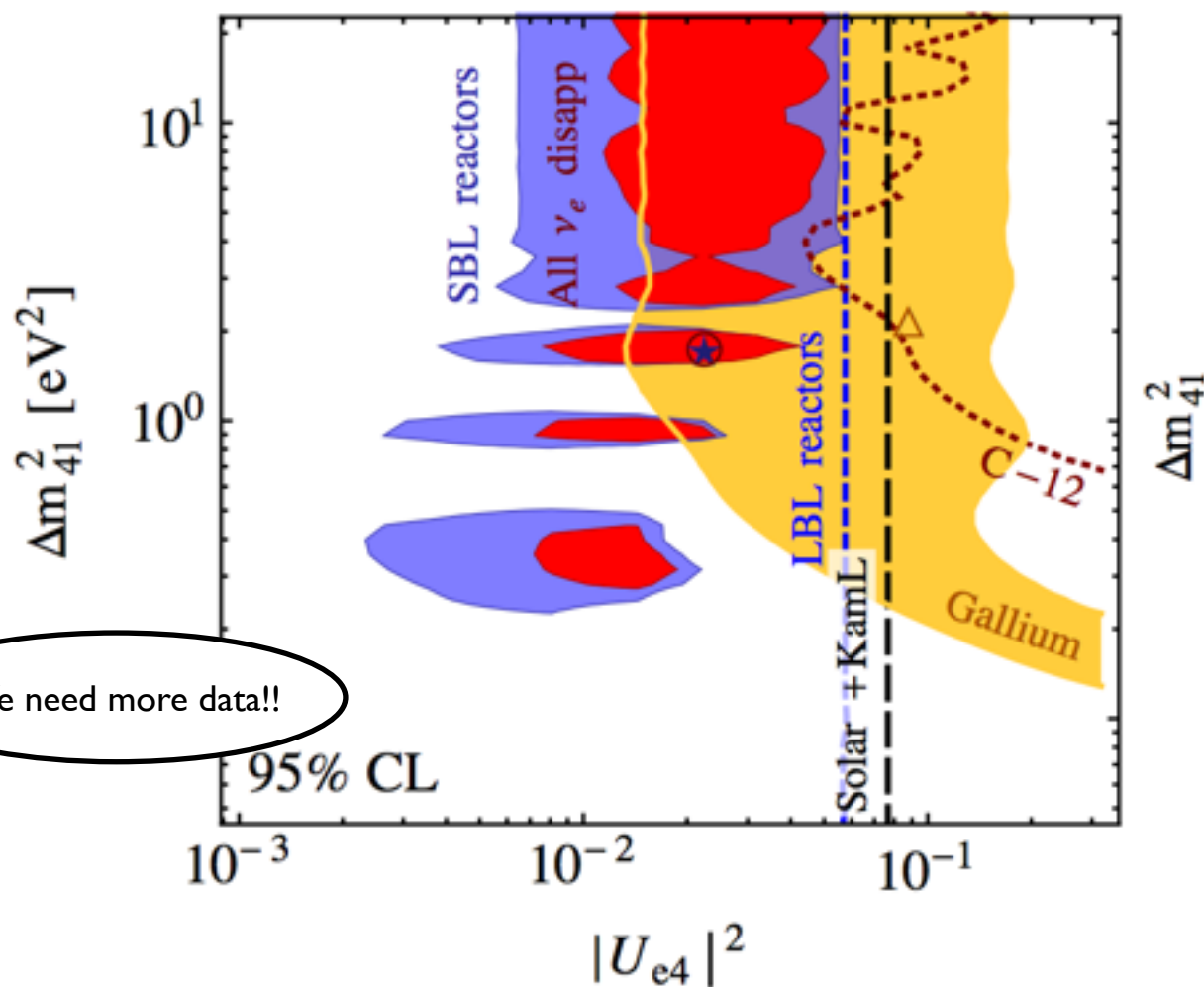
Dwyer and Langford, arxiv:[nucl-ex]1407.1281 (2014)



We need more data!!

# Reactor Anomaly Explanations

- Do we have a ‘reactor antineutrino anomaly?’
  - “Yes: the deficit could result from short-baseline sterile neutrino oscillations”
- Consistent with existing nonzero hints for sterile neutrinos
  - LSND, MiniBooNE, Gallium
  - However, tension with null  $\nu_\mu$  disappearance measurements...





# Reactor Spectrum: Why Do We Care?

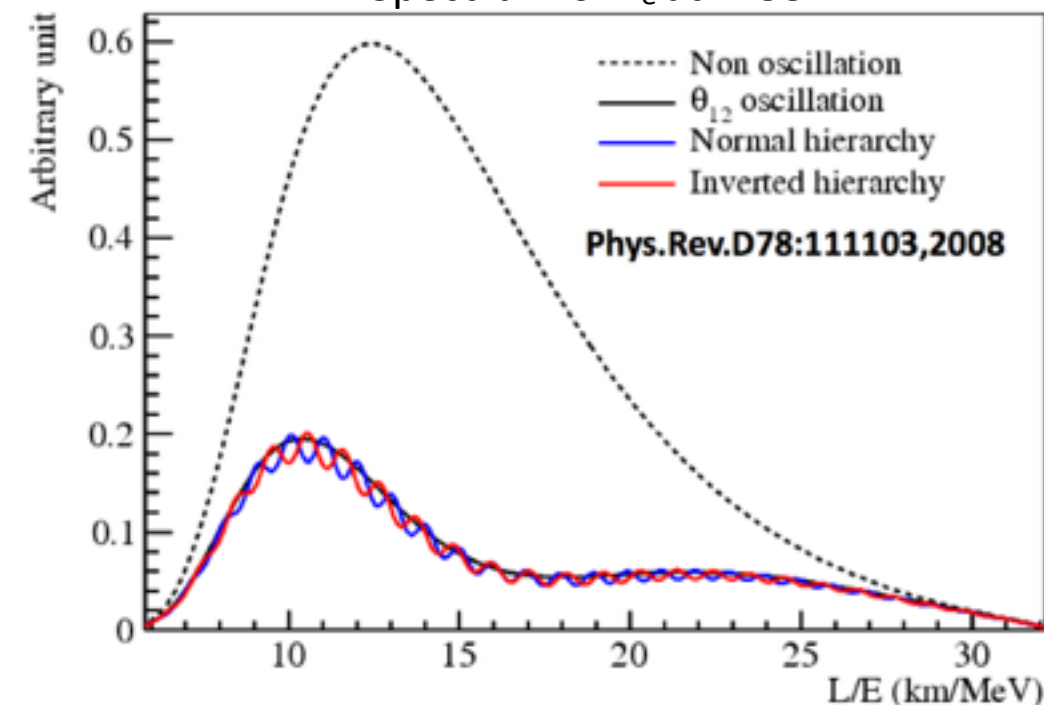


- Major implications for Standard Model if  $\nu_s$  DO actually exist
- Even if they do not, ability to constrain reactor  $\bar{\nu}_e$  models
  - Valuable for reactor oscillation experiments
  - Inputs to reactor modeling
  - ‘Reactor spectroscopy’: probe individual branches in reactor spectrum
  - Implications for non-proliferation

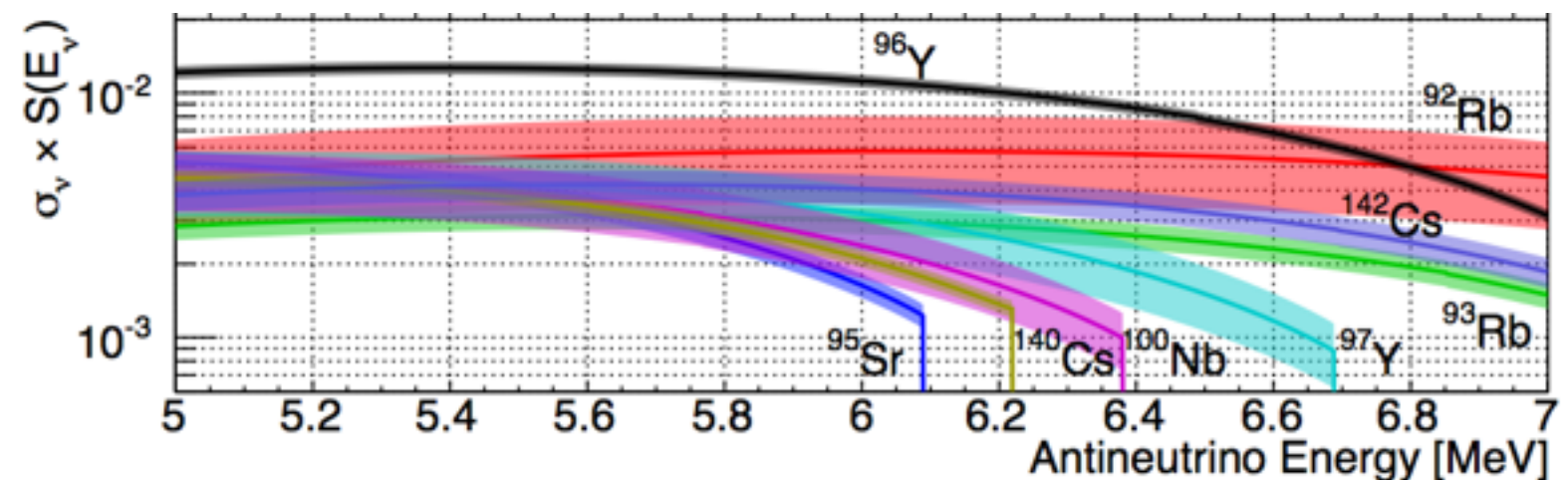
Buttons Provided by Neutrino2014!  
Sweater Provided by J. Asaadi



Spectrum of  $\nu_e$  at  $L \sim 53$  km



Dwyer and Langford, arxiv:[nucl-ex]1407.1281 (2014)





# Outline

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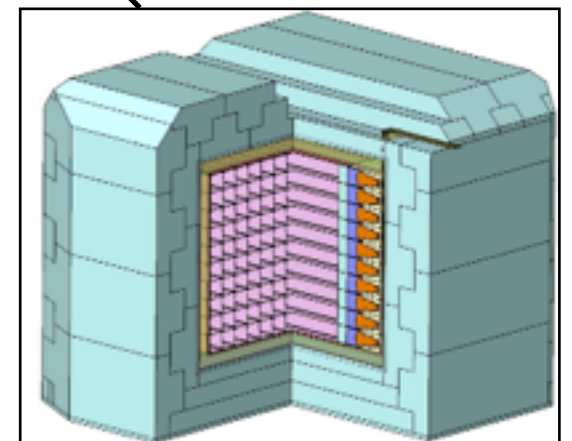
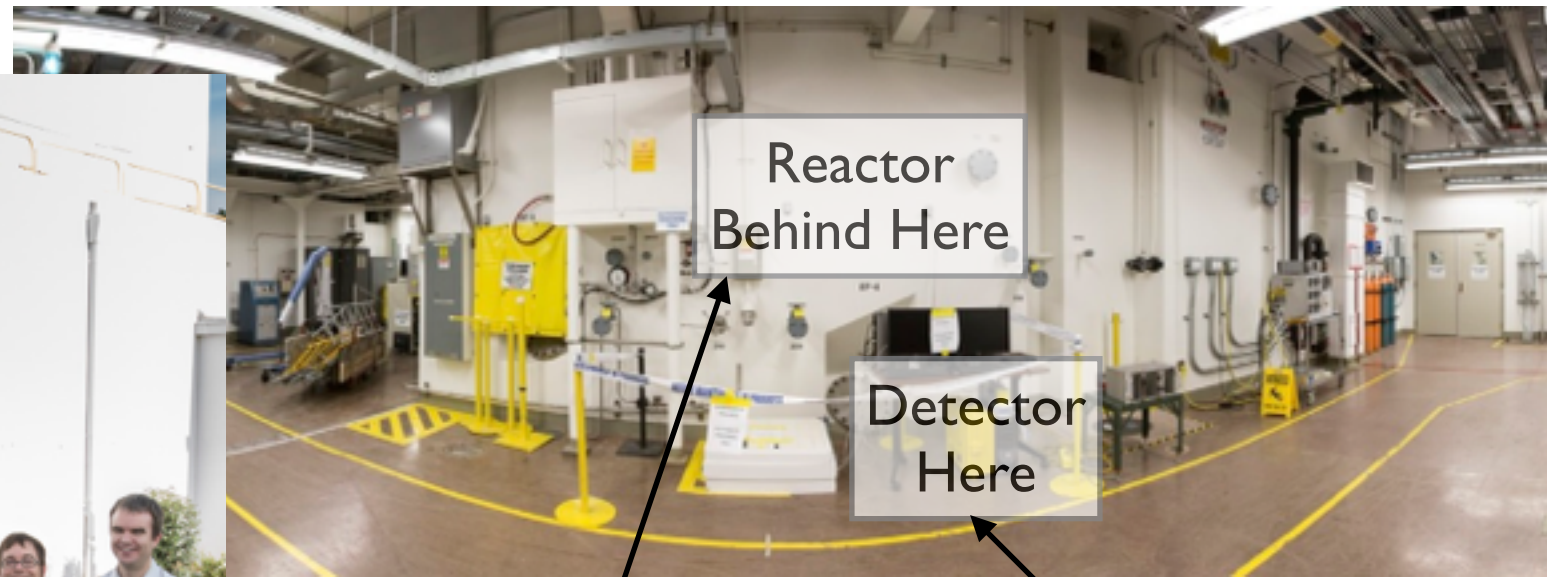


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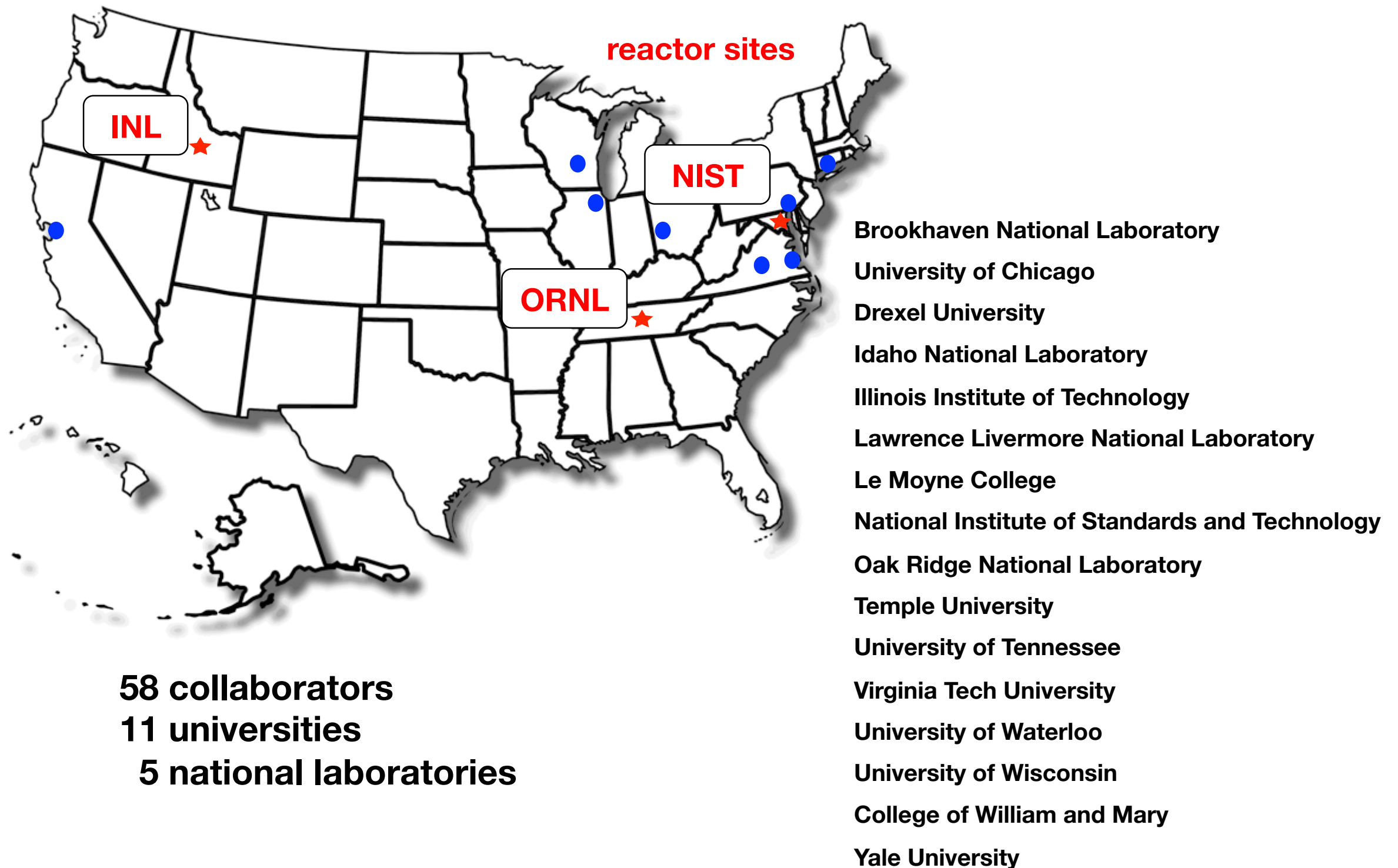
# Precise Reactor Spectrum Measurements



- A lot yet to be learned from/about reactor  $\bar{\nu}_e$  spectra
- In particular we could really use:
  - A high energy-resolution detector for precisely measuring absolute spectrum
  - A high position-resolution detector for comparing spectra between baselines
- Enter **PROSPECT**: the Precision Reactor Oscillation and SPECTrum Experiment



# PROSPECT Collaboration



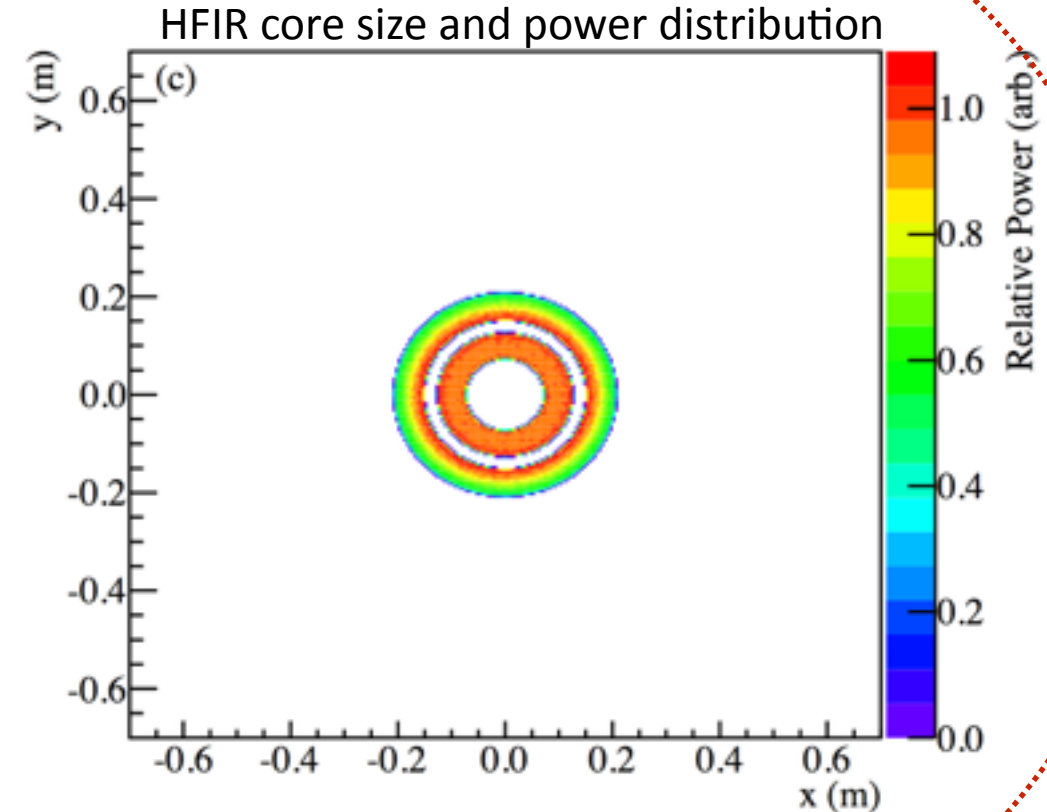


# High-Flux Isotope Reactor at ORNL



- Compact 85MW Core
- HEU: constant U-235  $\bar{\nu}_e$  spectrum
- 42% reactor up-time (5 yearly cycles)
- Available detector location at 6+ m
- Have surveyed reactor backgrounds

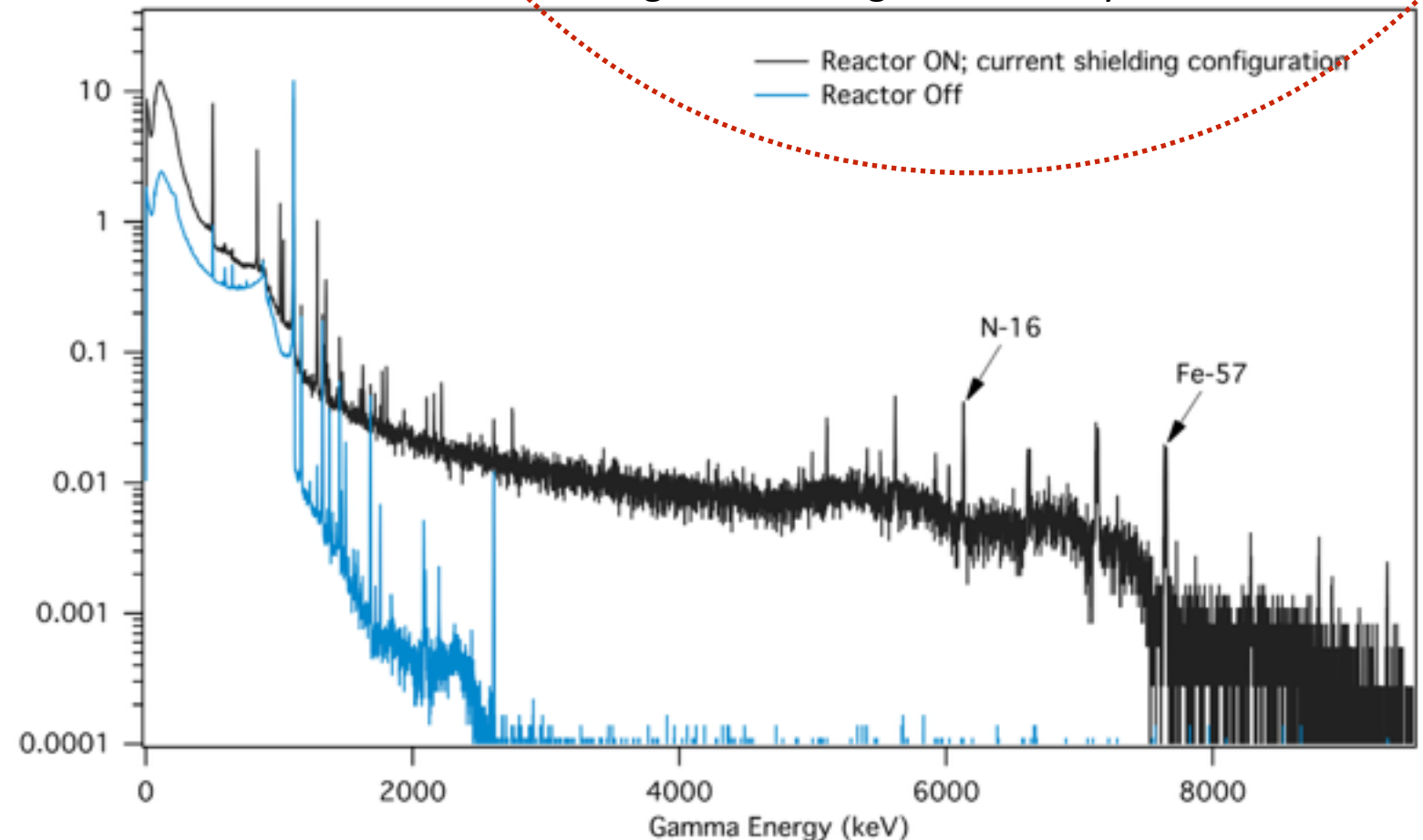
Commercial  
core size



HFIR core viewed from above



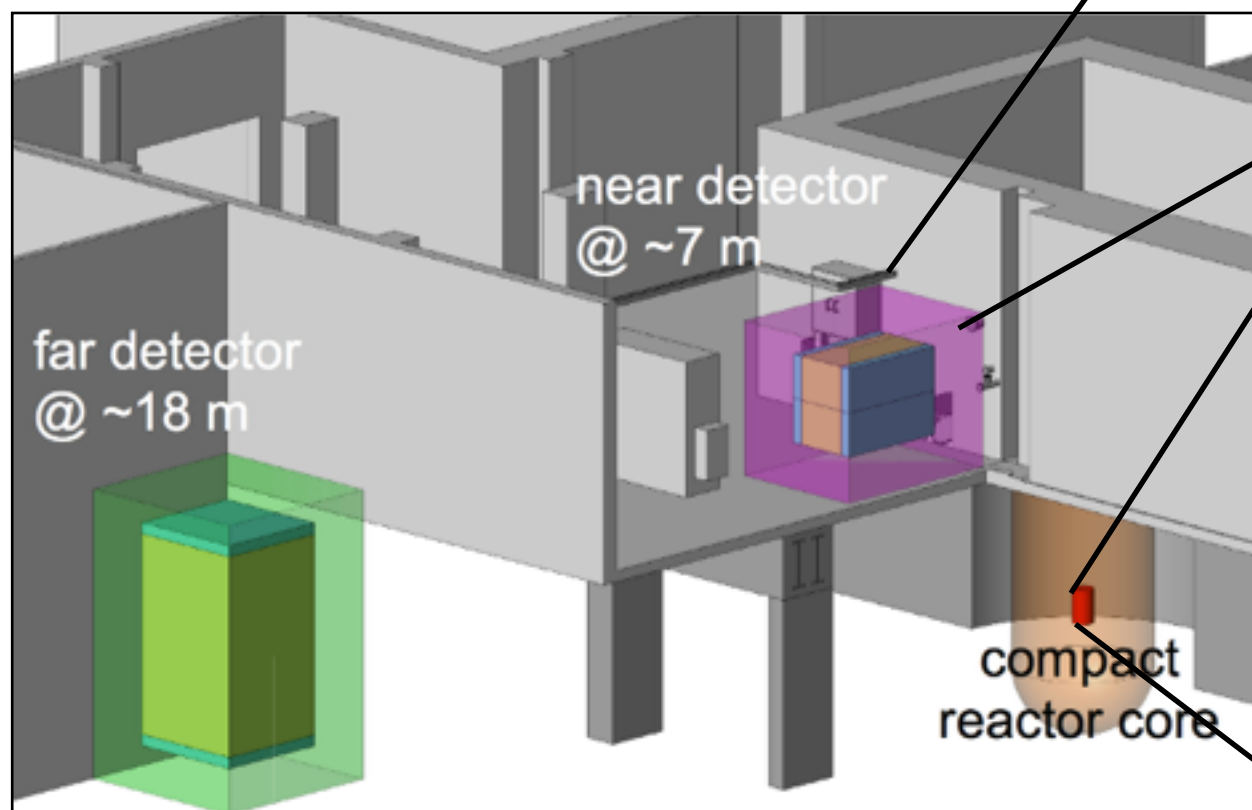
HFIR gamma background survey



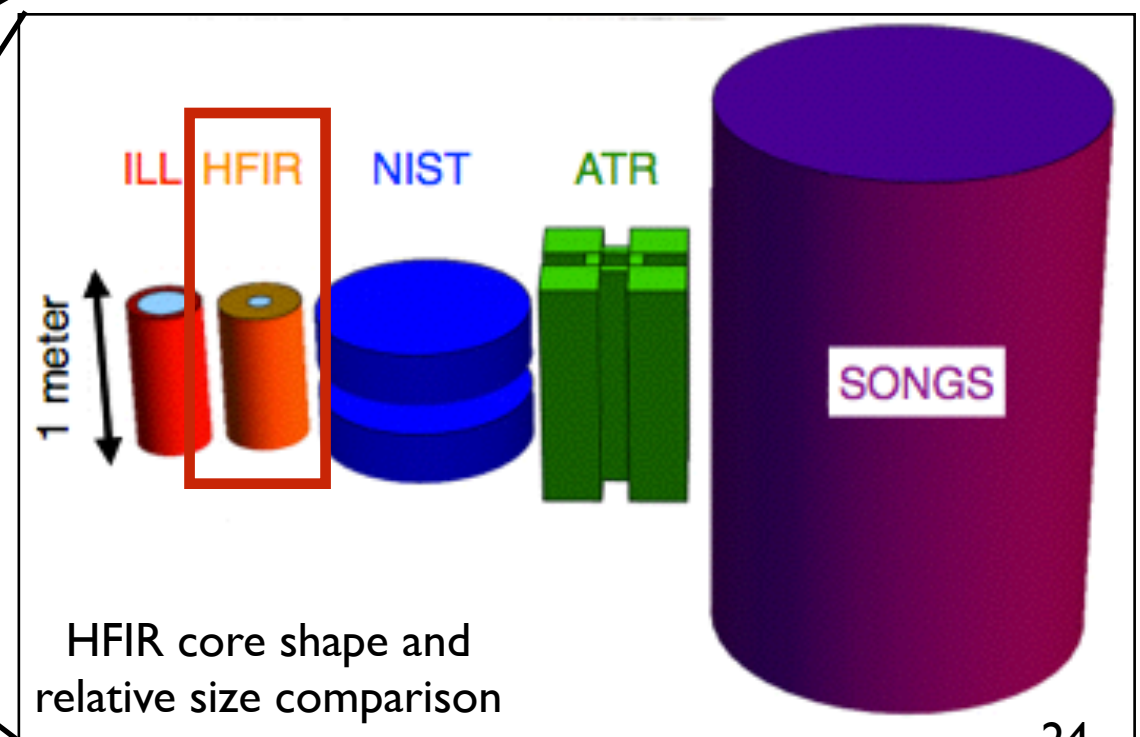
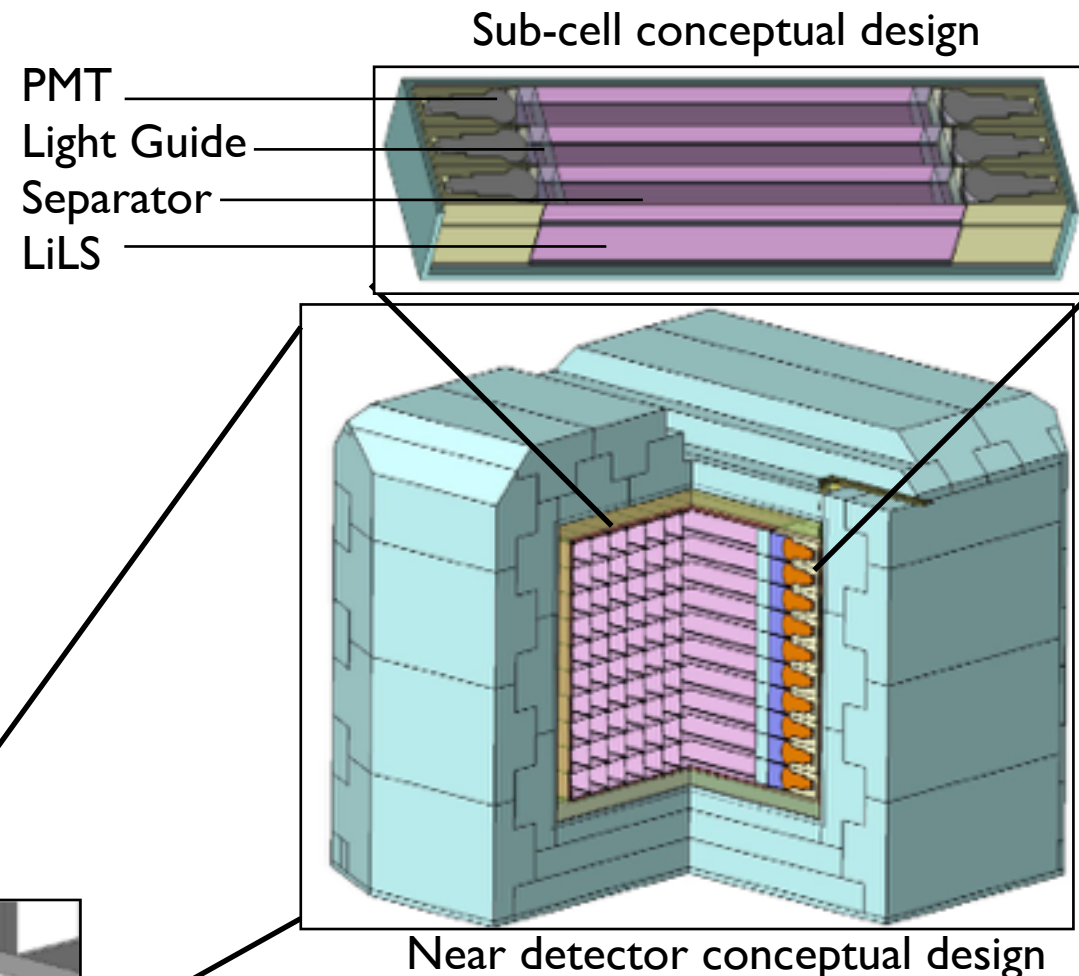
# PROSPECT Experimental Layout



- High Flux Isotope Reactor: ORNL
- Extensive passive shielding
- Segmented liquid scintillator target region: ~3 tons for near detector (Phase I)
- Moveable: 7-11 m baselines

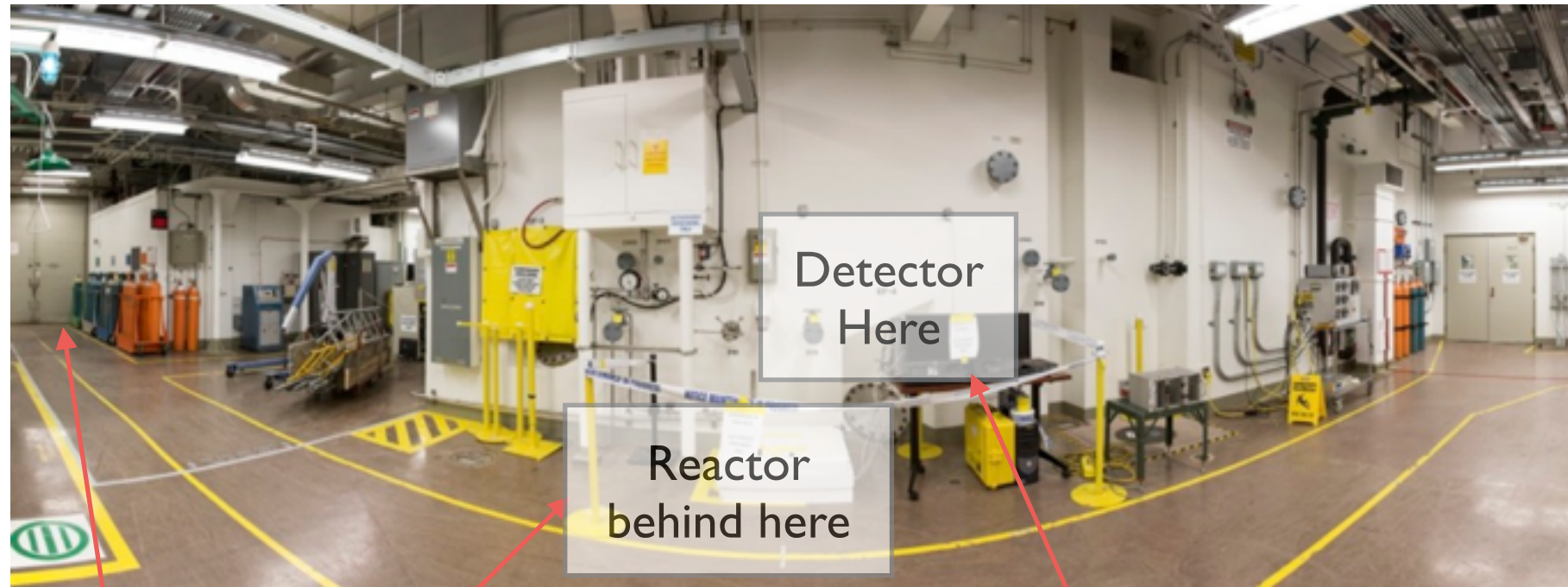


Two-detector PROSPECT deployment at HFIR



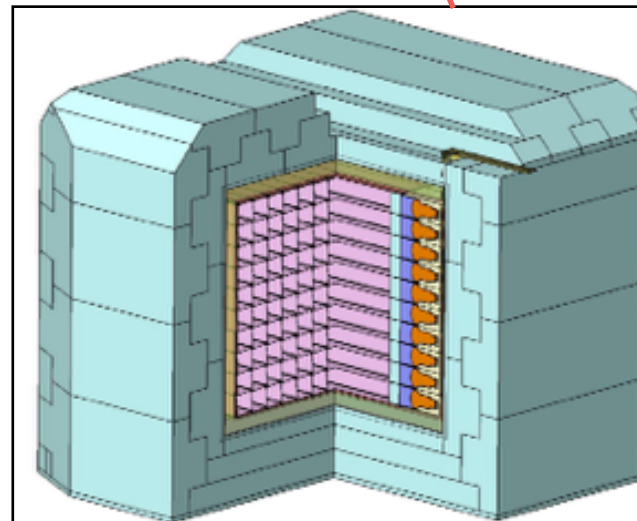


# PROSPECT Location at HFIR

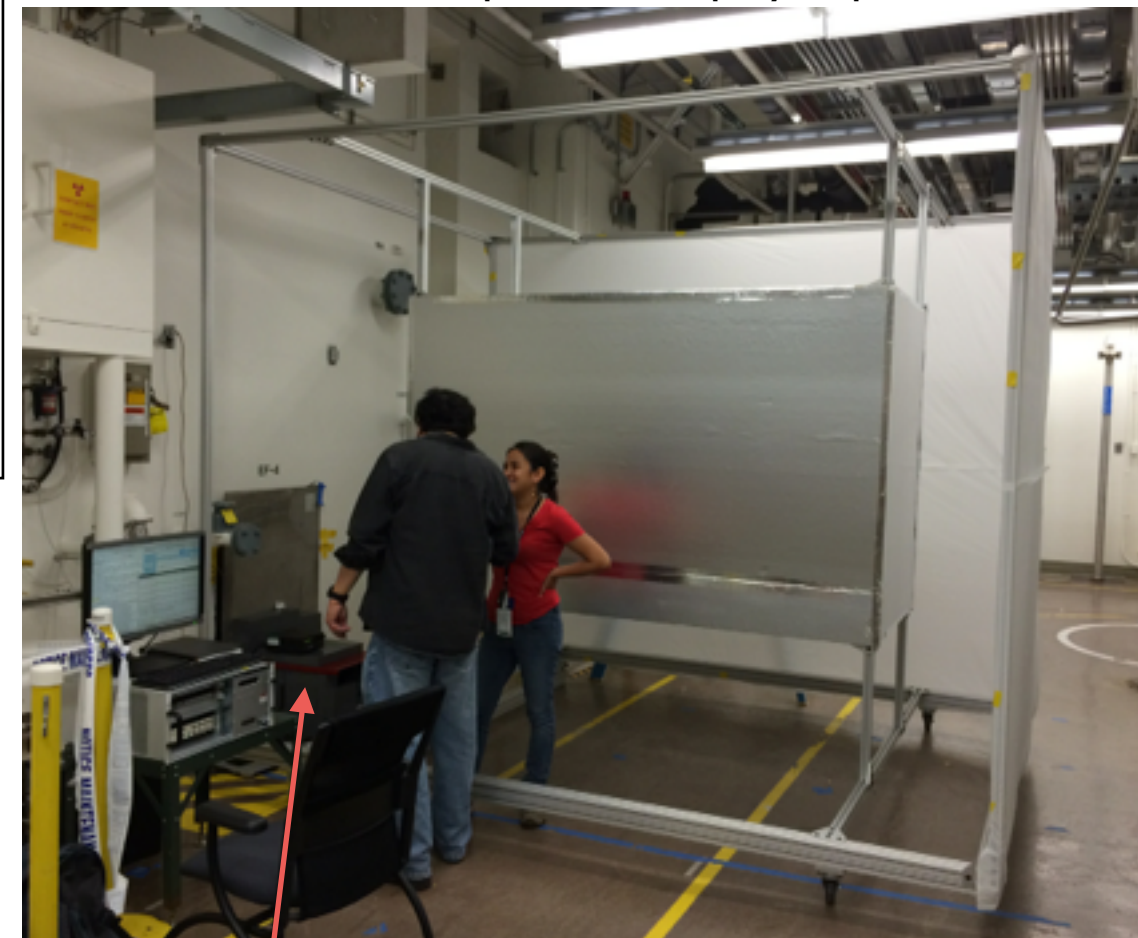


HFIR Main Level Hallway

Wide door to grade level: bring detector subsystems in here



Detector mockup in true deployed position



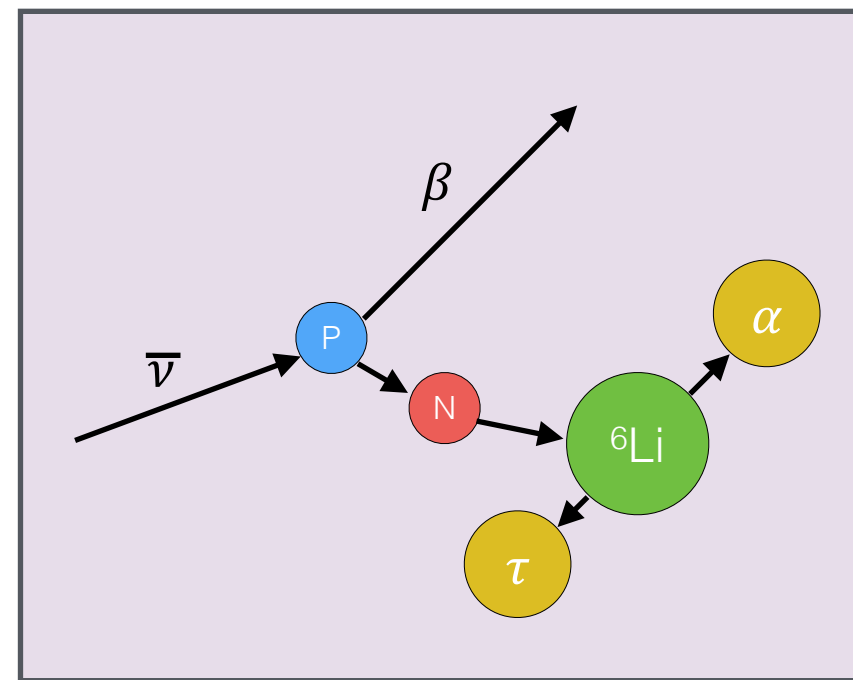
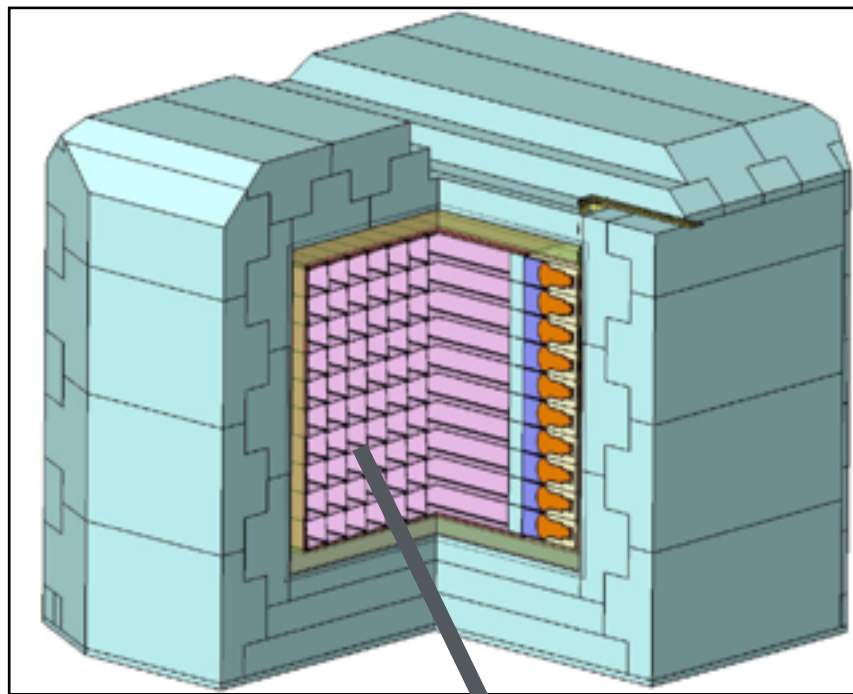
Gamma background survey detectors



# IBD Detection in Target

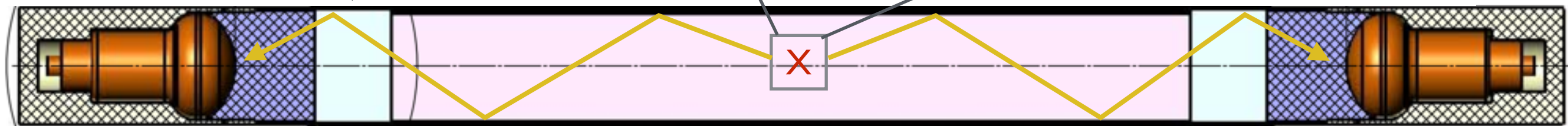


- Inverse beta interactions in Li-loaded PSD liquid scintillator
- 10 x 14 optically decoupled cells:  $\sim 15\text{cm} \times 15\text{cm} \times 100\text{cm}$  each
- Specularly reflecting cell walls quickly guide light to PMTs
- System can meet position/energy resolution requirements



Prompt signal: 1-10 MeV  
positron from inverse  
beta decay (IBD)

Delay signal:  $\sim 0.5$  MeV  
signal from neutron  
capture on  ${}^6\text{Li}$

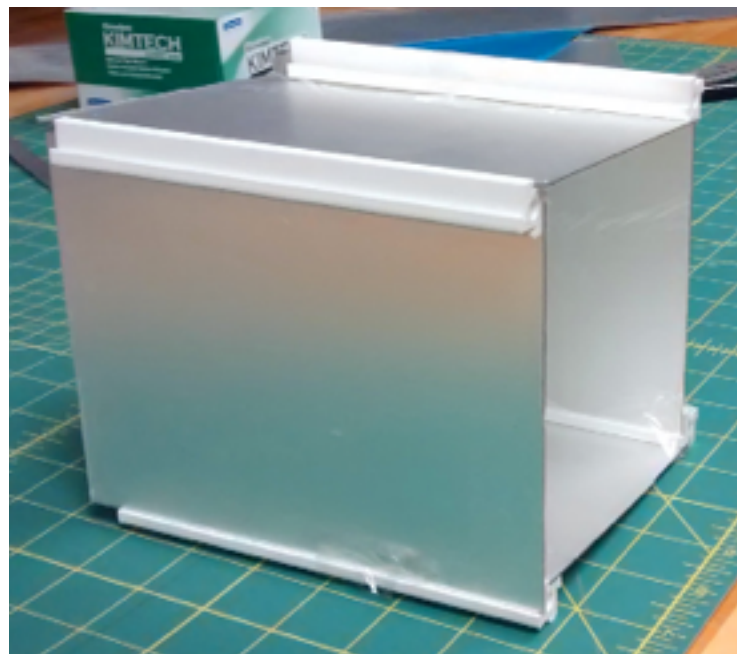


# Detector Target R&D



- Reflecting segment system

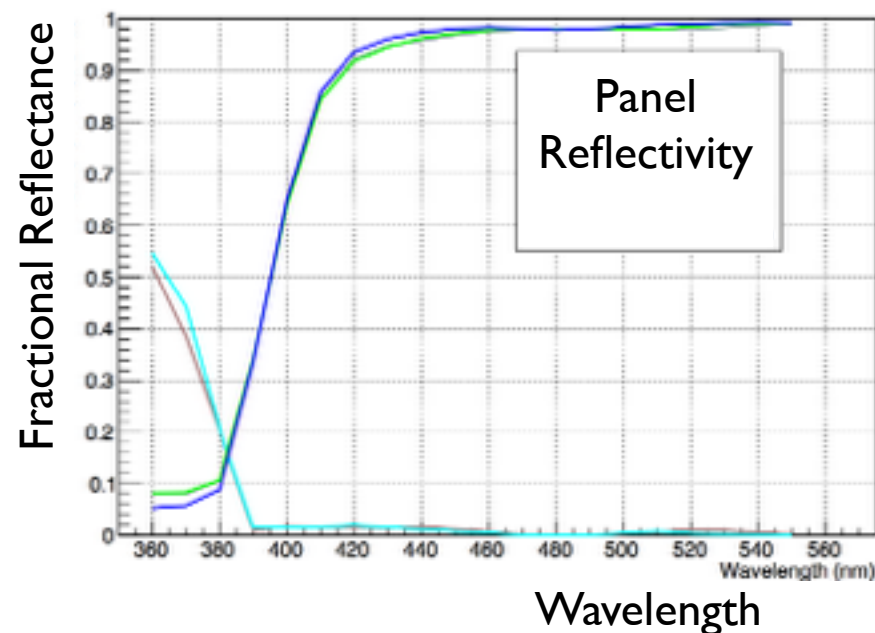
- Fabrication method identified
- Testing differing materials



Short Mockup Segment

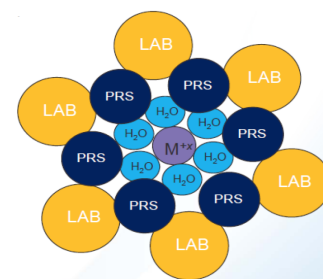
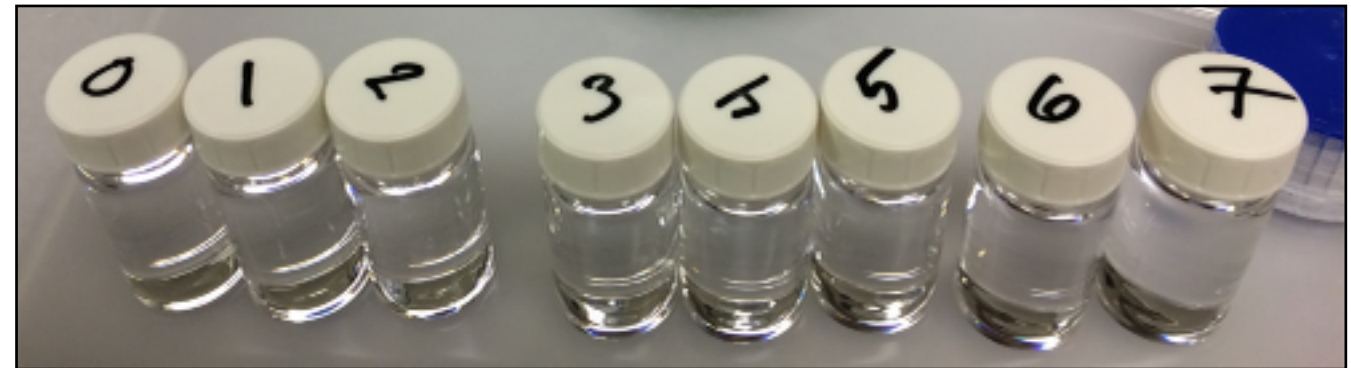


Specular Panel

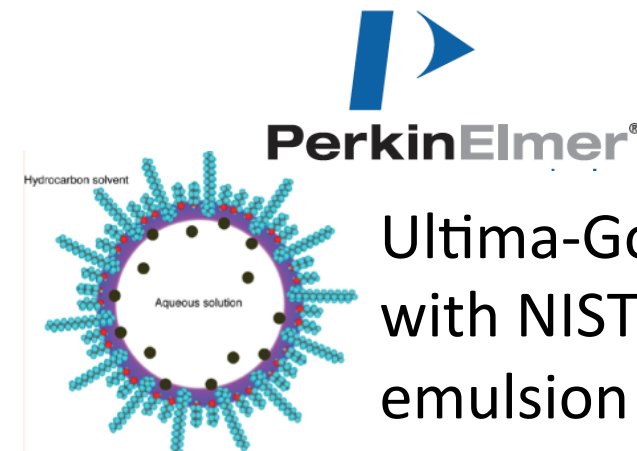


- Li-loaded Scintillator

- Formulation methods identified
- Numerous candidates produce desired scintillation light yield, timing



PSD enhanced LAB-LS  
doped with BNL <sup>6</sup>Li  
chemistry

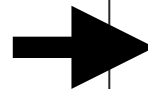
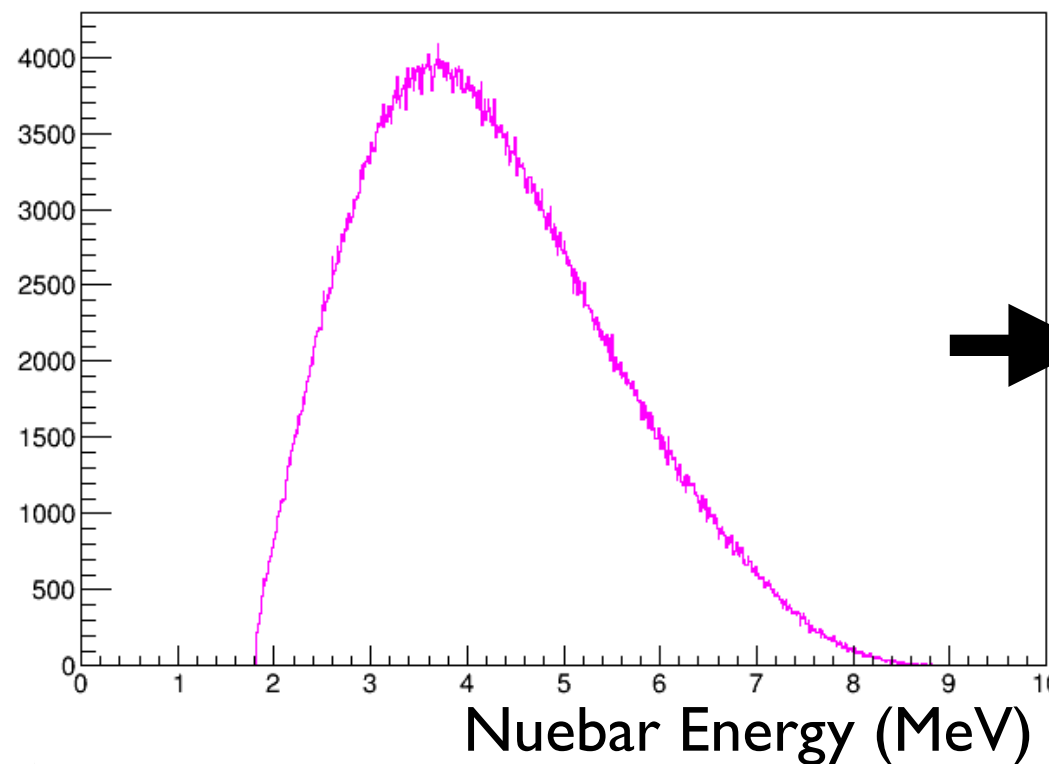


Ultima-Gold doped  
with NIST <sup>6</sup>Li micro-  
emulsion

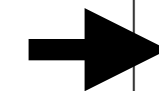
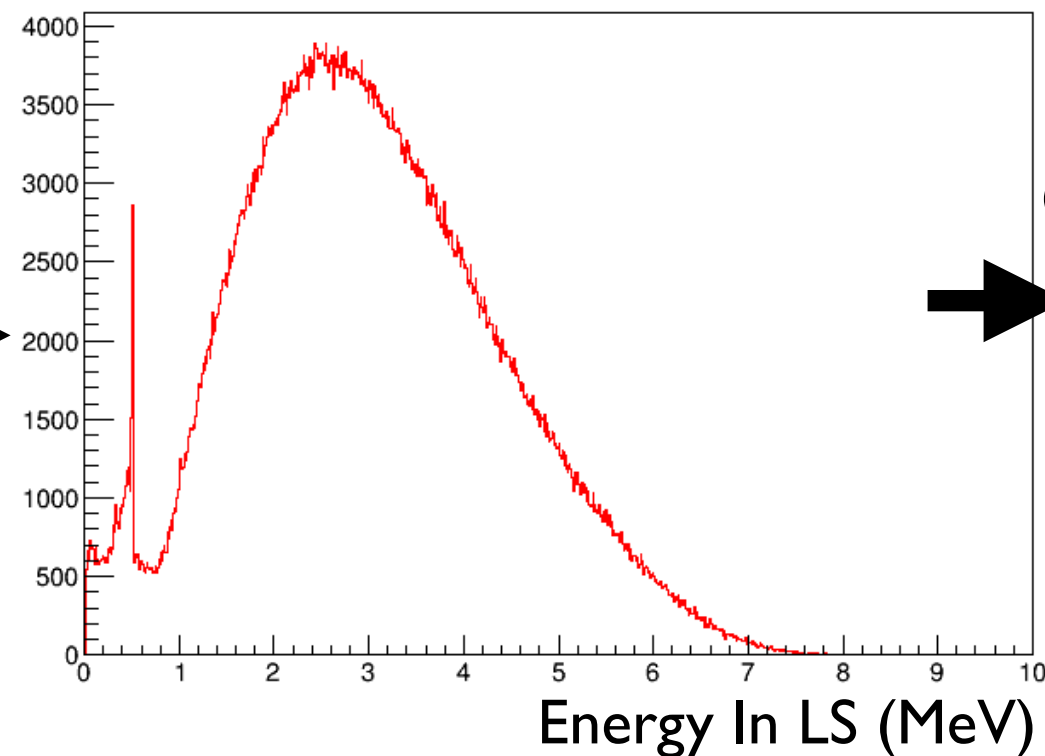
# IBD Detector Response: Simulation



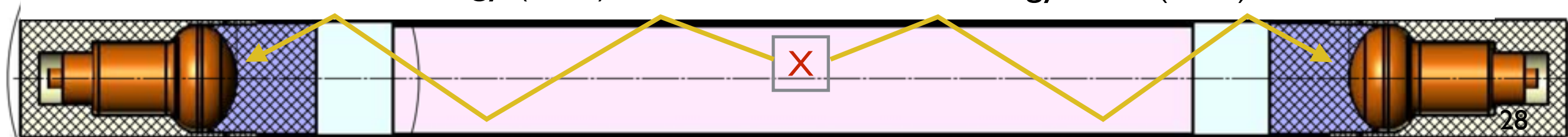
- Must reconstruct  $e^+$  energy with high resolution and low bias
- Model response with lab-benchmarked simulations
  - Energy deposition outside LS
  - Normalization and linearity of light production, collection, etc. with energy
  - Light yield variations along cell
  - Variations between cells



PROSPECT detector simulations



Optics simulations,  
Relative cell  
response  
simulations  
underway

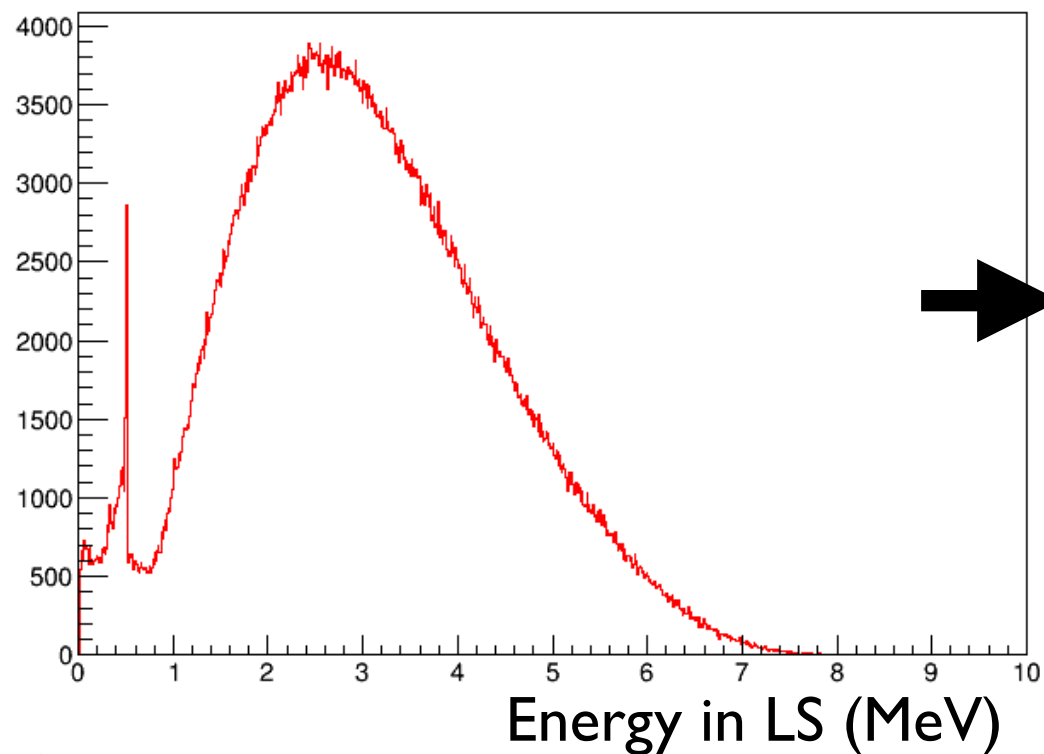




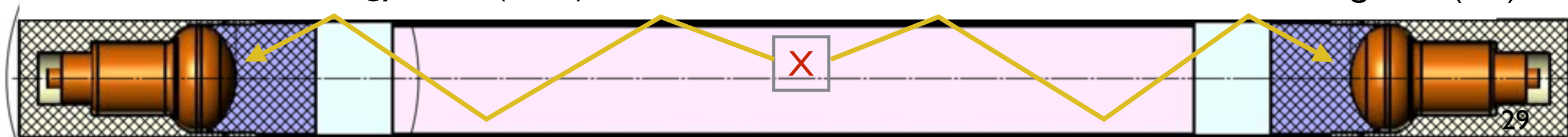
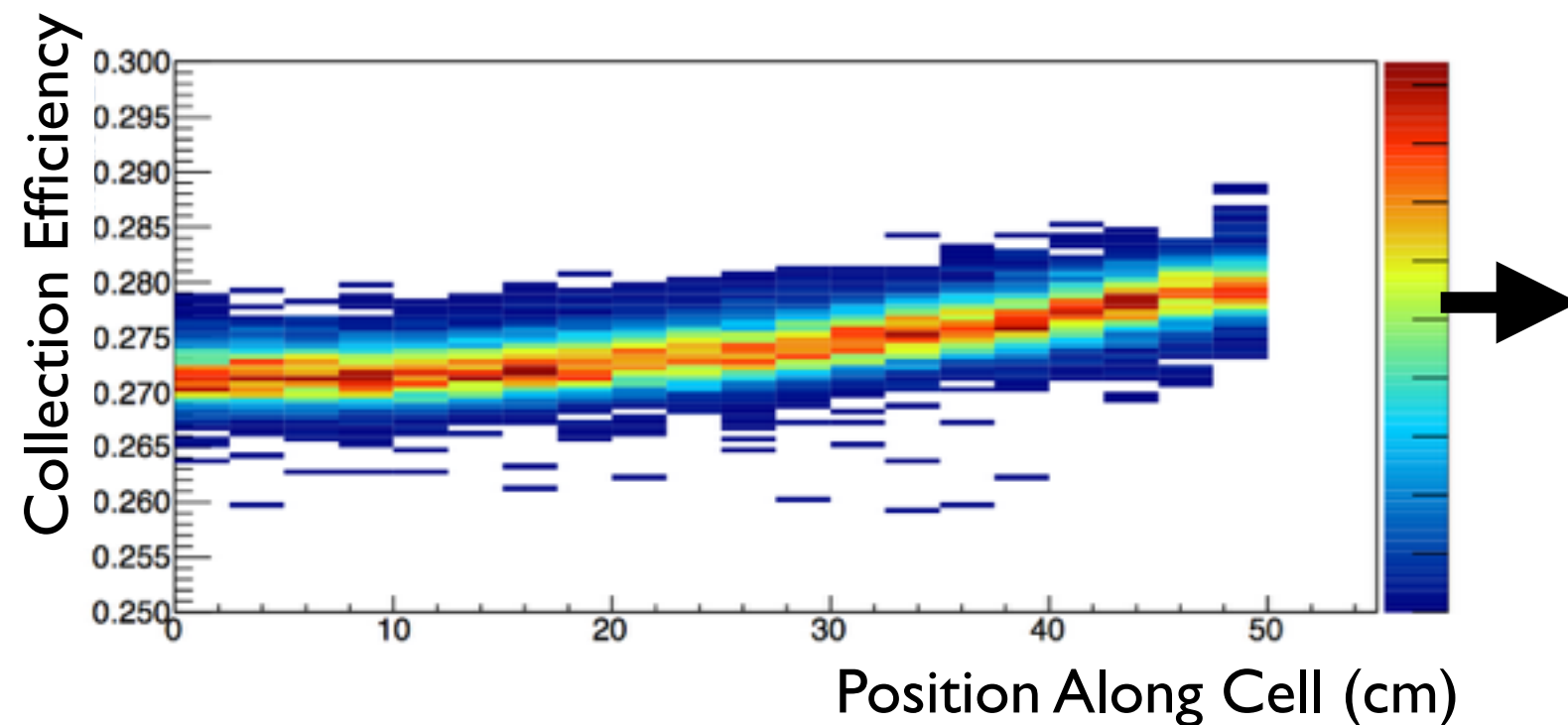
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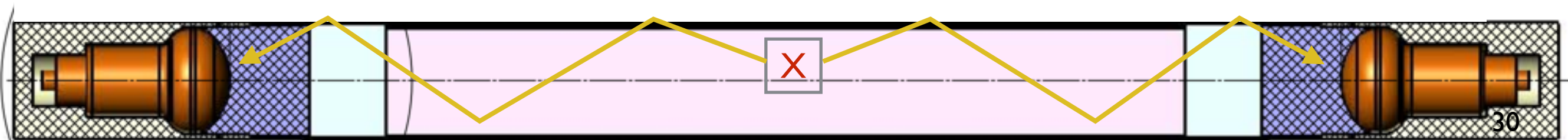
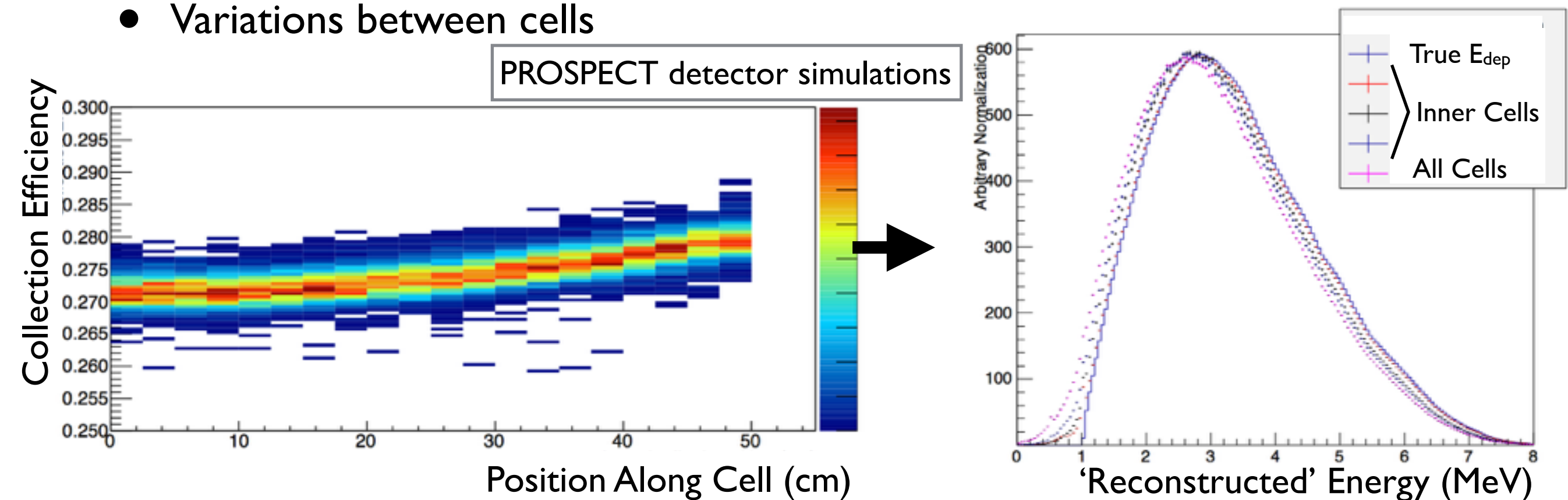
PROSPECT detector simulations



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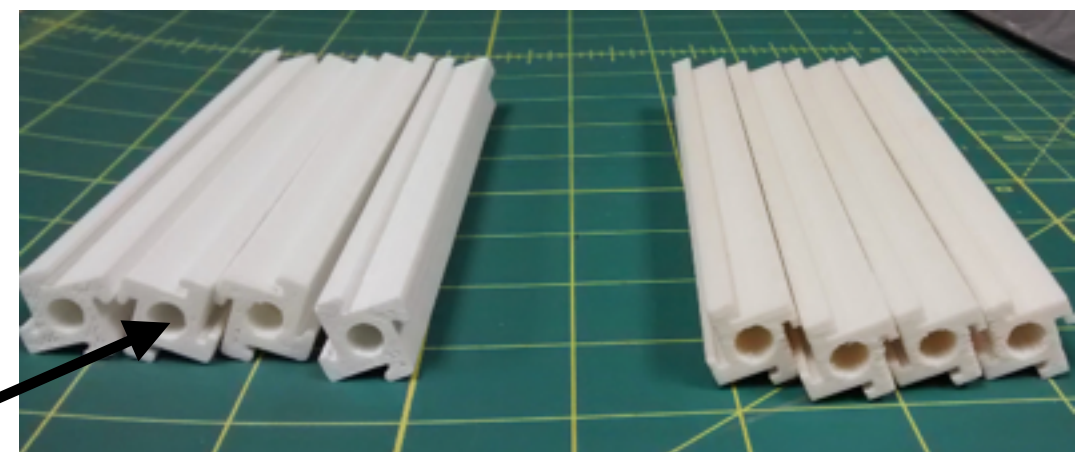
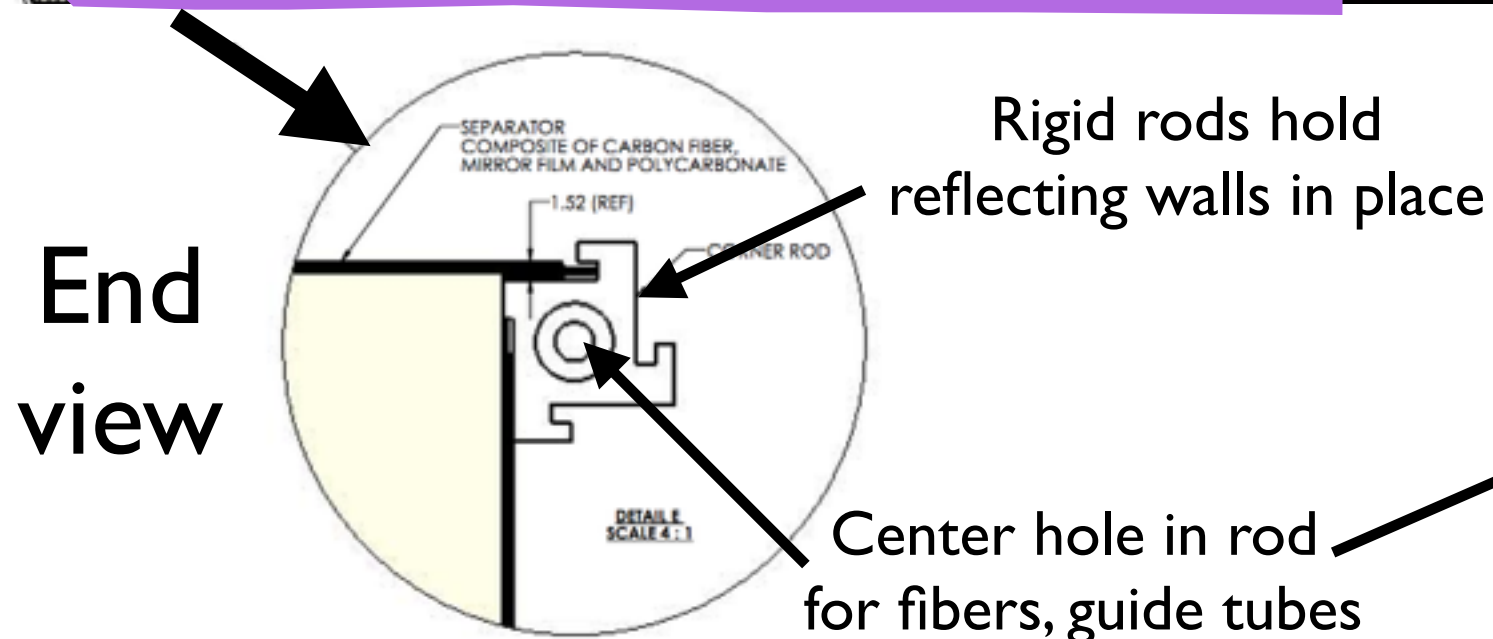
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- Model response with lab-benchmarked simulations
  - Energy deposition outside LS
  - Normalization and linearity of light production, collection, etc. with energy
  - Light yield variations along cell
  - Variations between cells



# IBD Detector Response: Calibration



- Must reconstruct  $e^+$  energy with high resolution and low bias
- Characterize detector response with calibration sources
  - Fiber-delivered light sources
  - Guide tube-delivered gamma, neutron sources
  - Background sources: muons, radioactive backgrounds, spallation products



3D-printed rod prototypes



# IBD Detection Backgrounds



- Have a highly sensitive detector operating at the surface in the direct vicinity of an operating nuclear reactor
- Major design challenge: background reduction
- Aiming for S:B ratio of 1:1

## Signal, Main Backgrounds

### Inverse Beta Decay

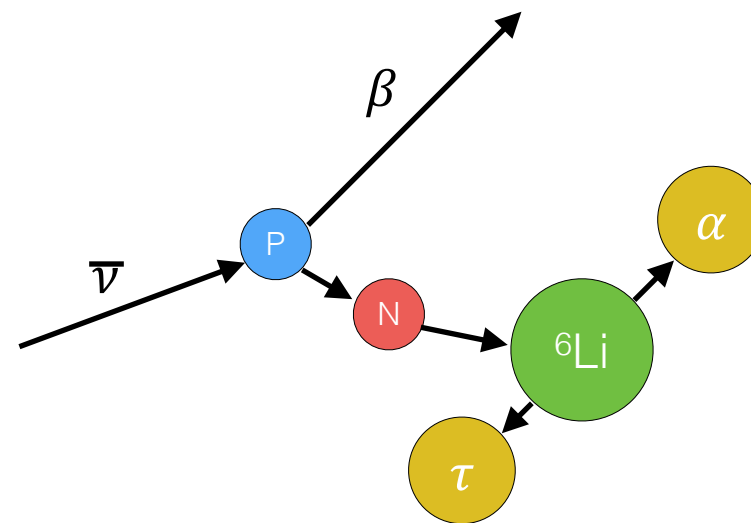
$\gamma$ -like prompt, n-like delay

### Fast Neutron

n-like prompt, n-like delay

### Accidentals

$\gamma$ -like prompt,  $\gamma$ -like delay



Prompt signal: 1-10 MeV  
positron from inverse  
beta decay (IBD)

Delay signal:  $\sim 0.5$  MeV  
signal from neutron  
capture on  ${}^6\text{Li}$

# Background Surveys



## Neutron Rate/ Spectrum

### 2" Stilbene Organic Crystal



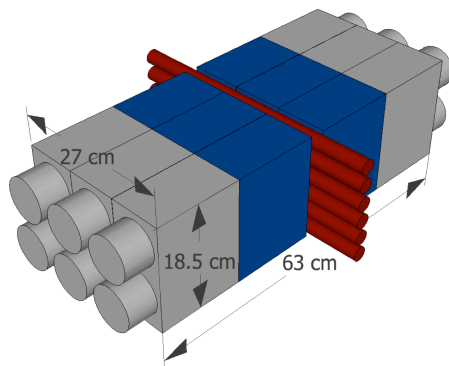
Relative fast  
neutron flux at  
all sites

Moderated  $^3\text{He}$   
tube measured  
absolute thermal  
neutron flux at all  
sites

### "REM Ball"



### FaNS-1 Capture-gated Neutron Spectrometer



Plastic scint.  
&  $^3\text{He}$  tubes  
measured  
spectrum and  
absolute flux  
at HFIR

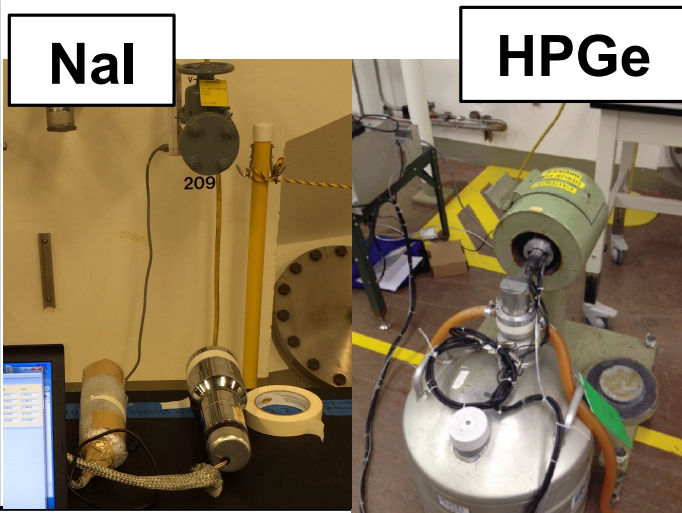
## $\gamma$ -ray Rate/ Spectrum

### Moderate Resolution:

Same NaI(Tl) detectors used at  
all sites to provide relative  
comparison

### High Resolution:

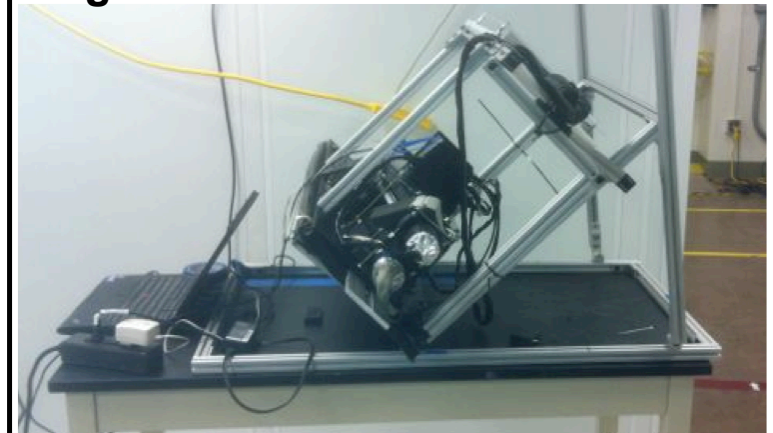
Different HPGe and LaBr  
spectrometers used to identify  
background sources



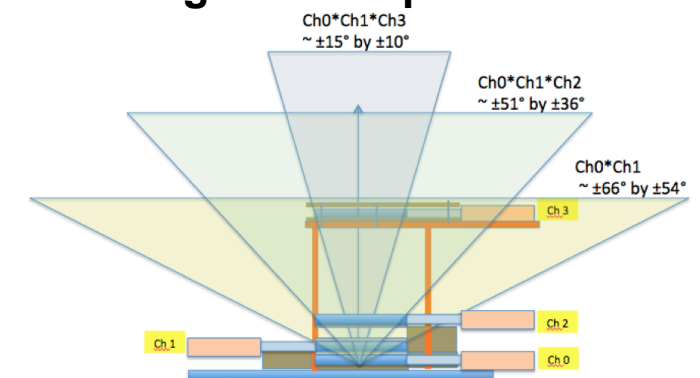
## Muon Rate/ Distribution

Muon telescope assembled from  
3 plastic scint. panels gives flux  
and angular distribution

Telescope was tilted to measure  
angular distribution



Different panel combinations  
defined angular acceptance



From T. Classen

# Background Surveys



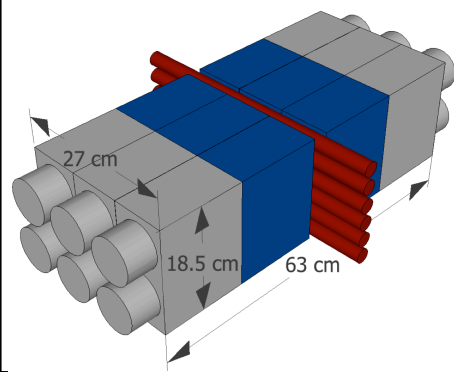
## Neutron Rate/ Fast Neutron Rates Muon Rate/

Location	Rate 4 – 14.5 MeV (mHz)	Rate 10-14.5 MeV (mHz)
ATR Near	$4.7 \pm 0.3$	$1.0 \pm 0.1$
HFIR Near	$2.2 \pm 0.2$	$0.3 \pm 0.1$
NIST Near	$2.8 \pm 0.2$	$0.8 \pm 0.1$
ATR Far	$1.8 \pm 0.2$	$0.4 \pm 0.1$
HFIR Far	$3.5 \pm 0.2$	$0.6 \pm 0.1$
NIST Far	$2.8 \pm 0.2$	$0.8 \pm 0.1$

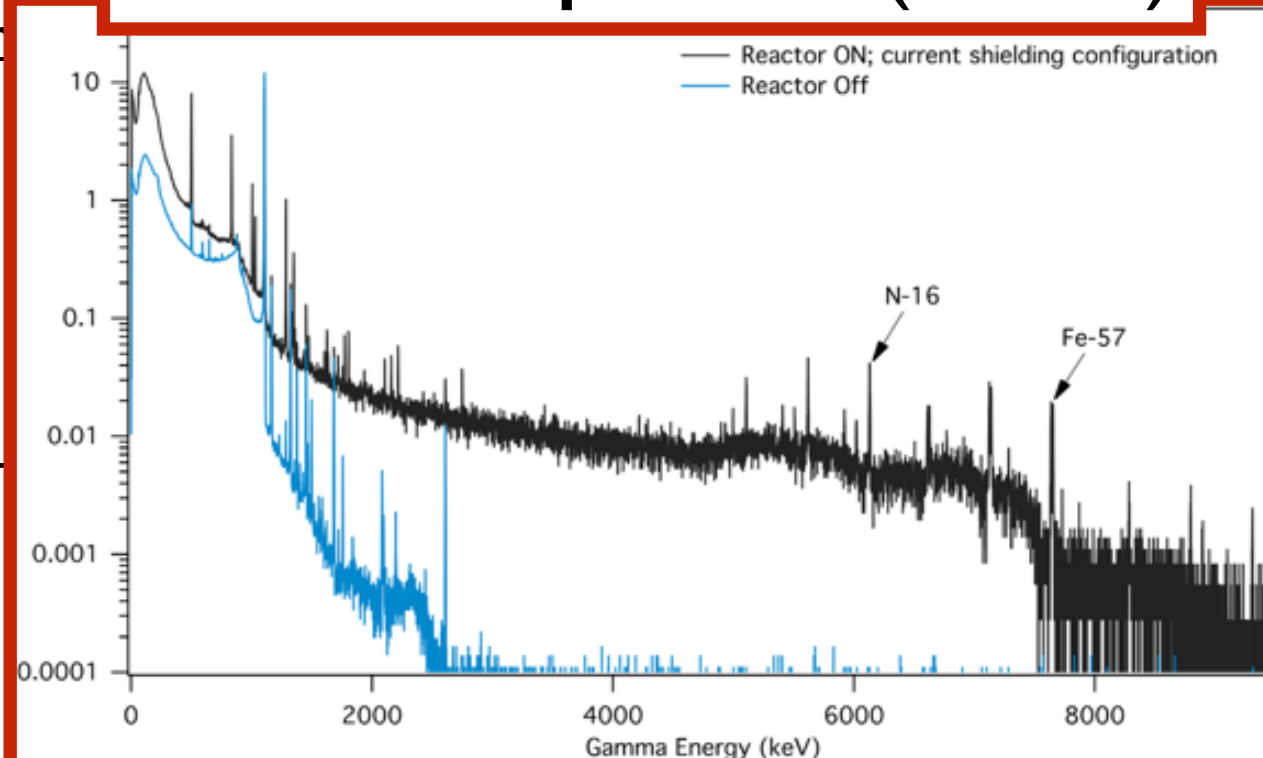
sites

background sources

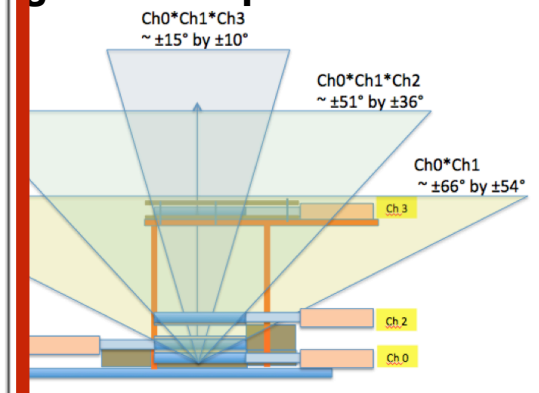
FaNS-1 Capture-gated  
Spectrom



## Gamma Spectra (NIST)



panel combinations  
angular acceptance



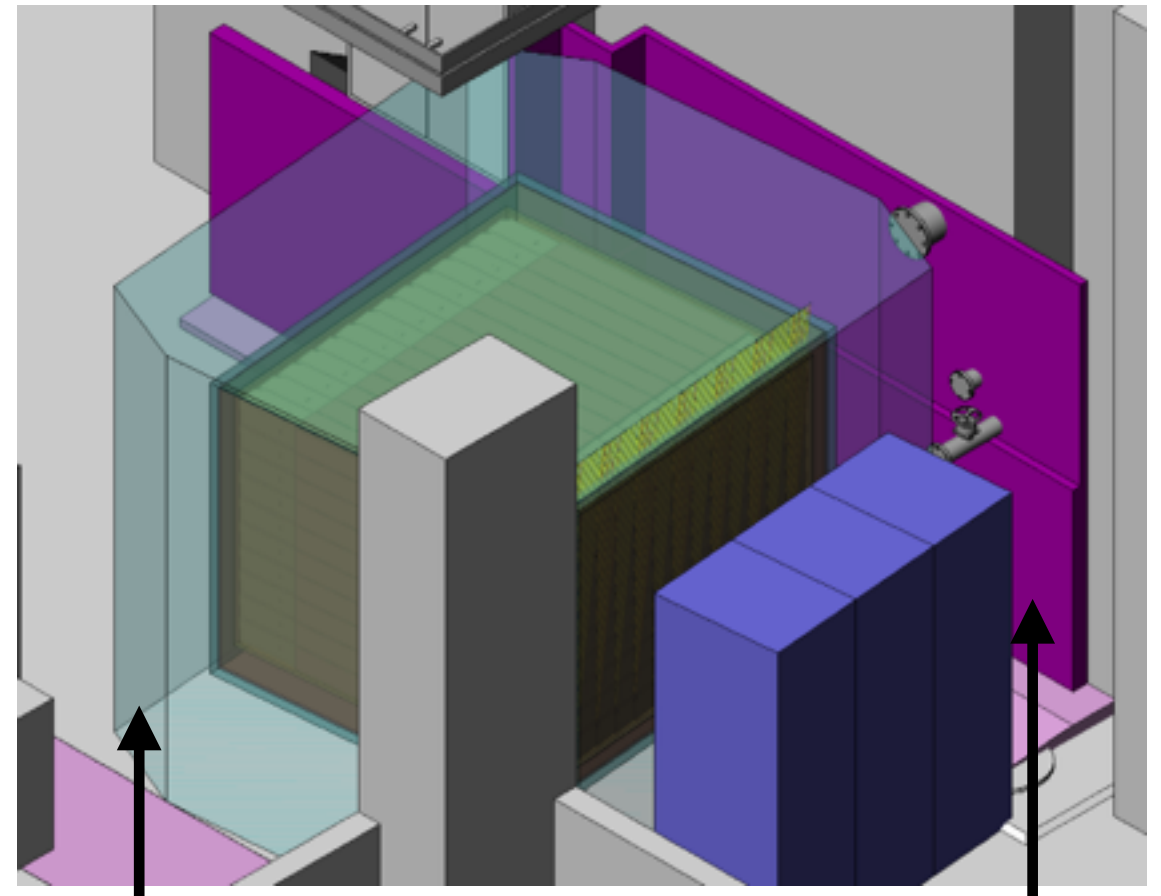
Paper on results  
in preparation



# Background Shielding

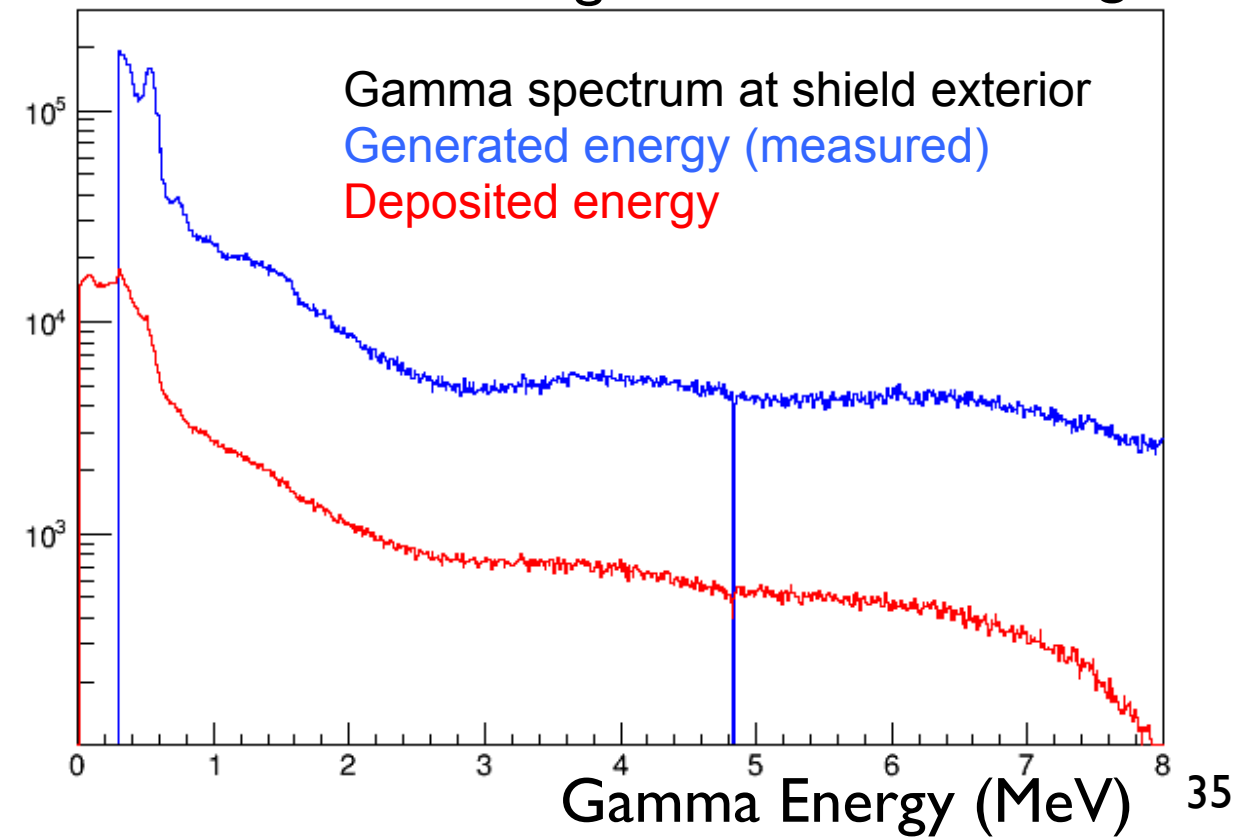


- Shielding package designed based on background surveys, available space constraints
- Local lead shielding wall
  - Addresses 'hot' gamma regions
- Shielding encompassing entire detector
  - Li-Poly, B-Poly (neutrons), Lead (gammas)
- Investigating benefits of a muon veto system
- Backgrounds and effects of shielding have been simulated.



Passive detector shielding

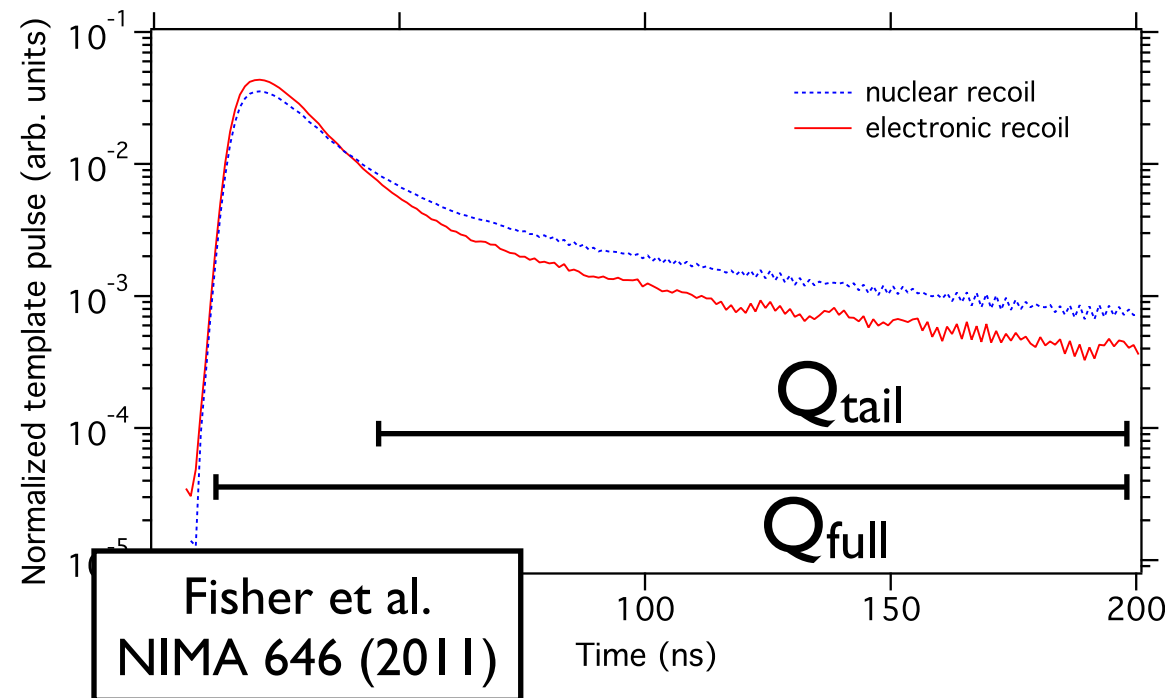
Local Shielding Wall



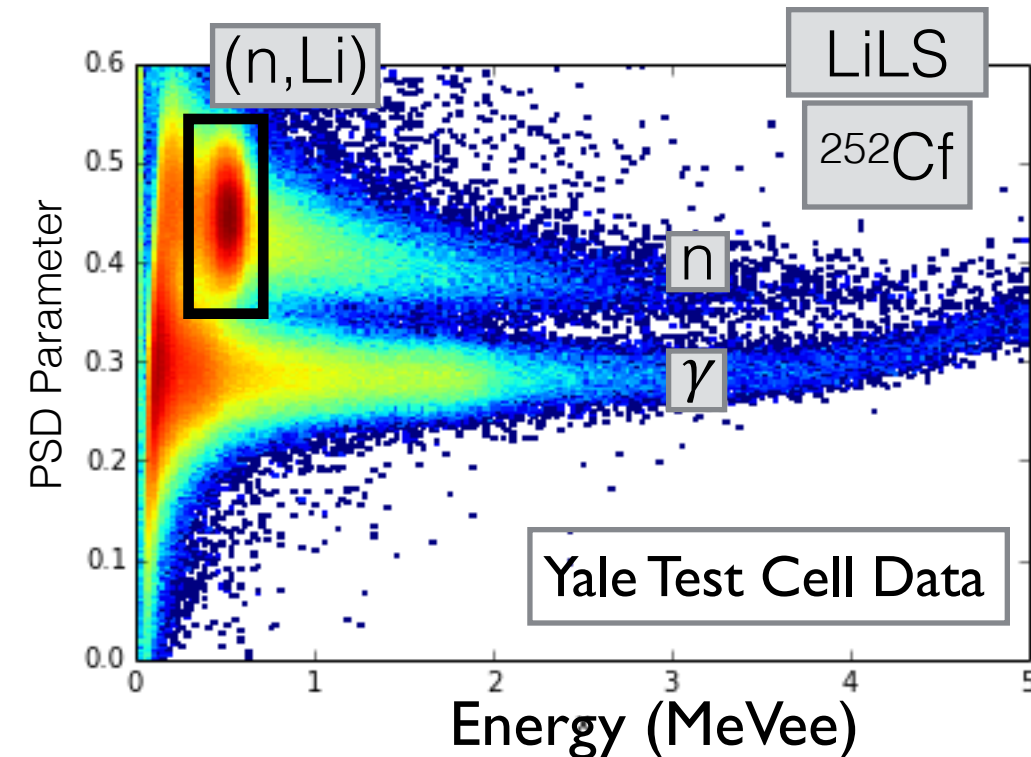
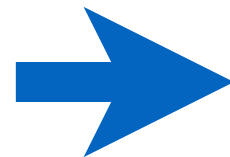
# Background Rejection, Signal Selection



- Reduce backgrounds: Li-capture and pulse-shape discrimination



$$PSD = \frac{Q_{tail}}{Q_{full}}$$



## Signal, Main Backgrounds

Inverse Beta Decay

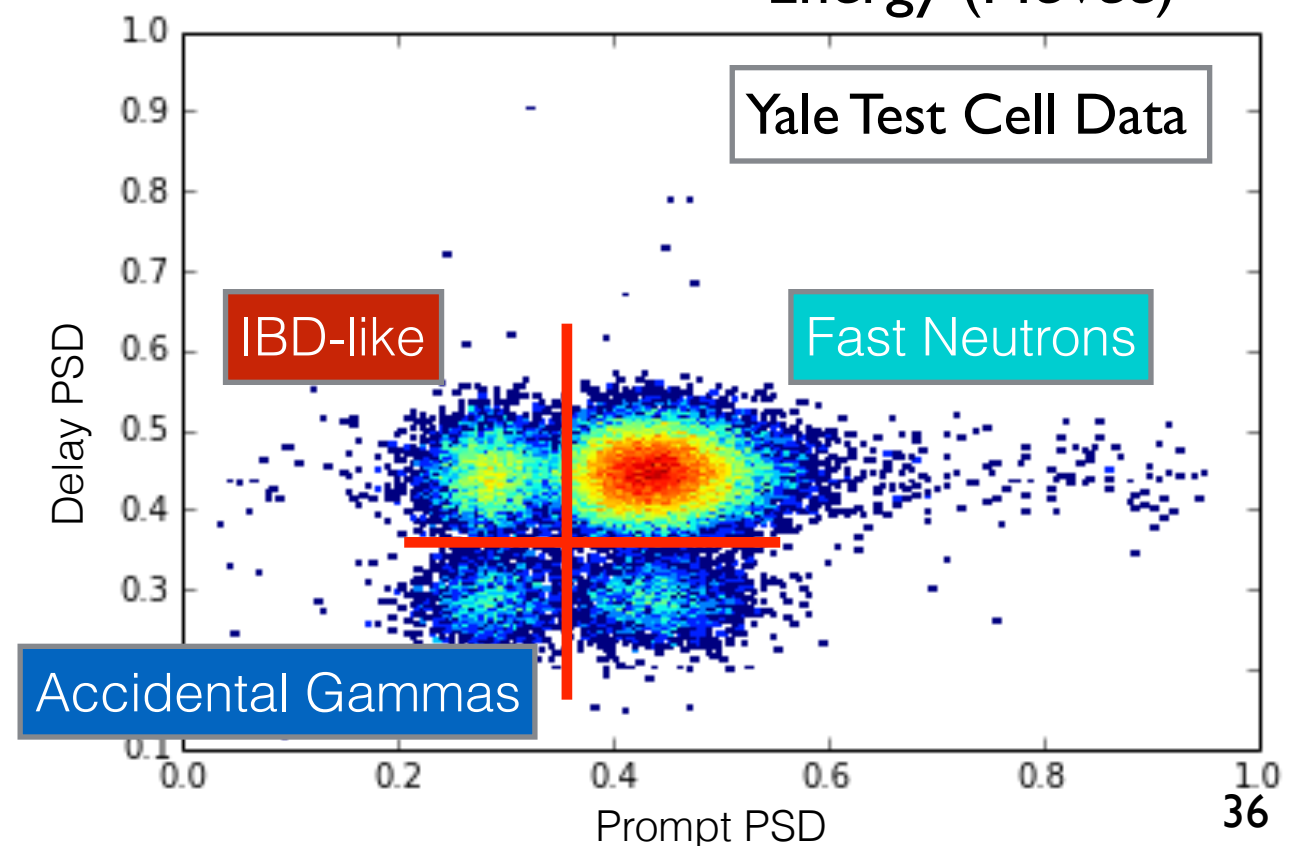
γ-like prompt, n-like delay

Fast Neutron

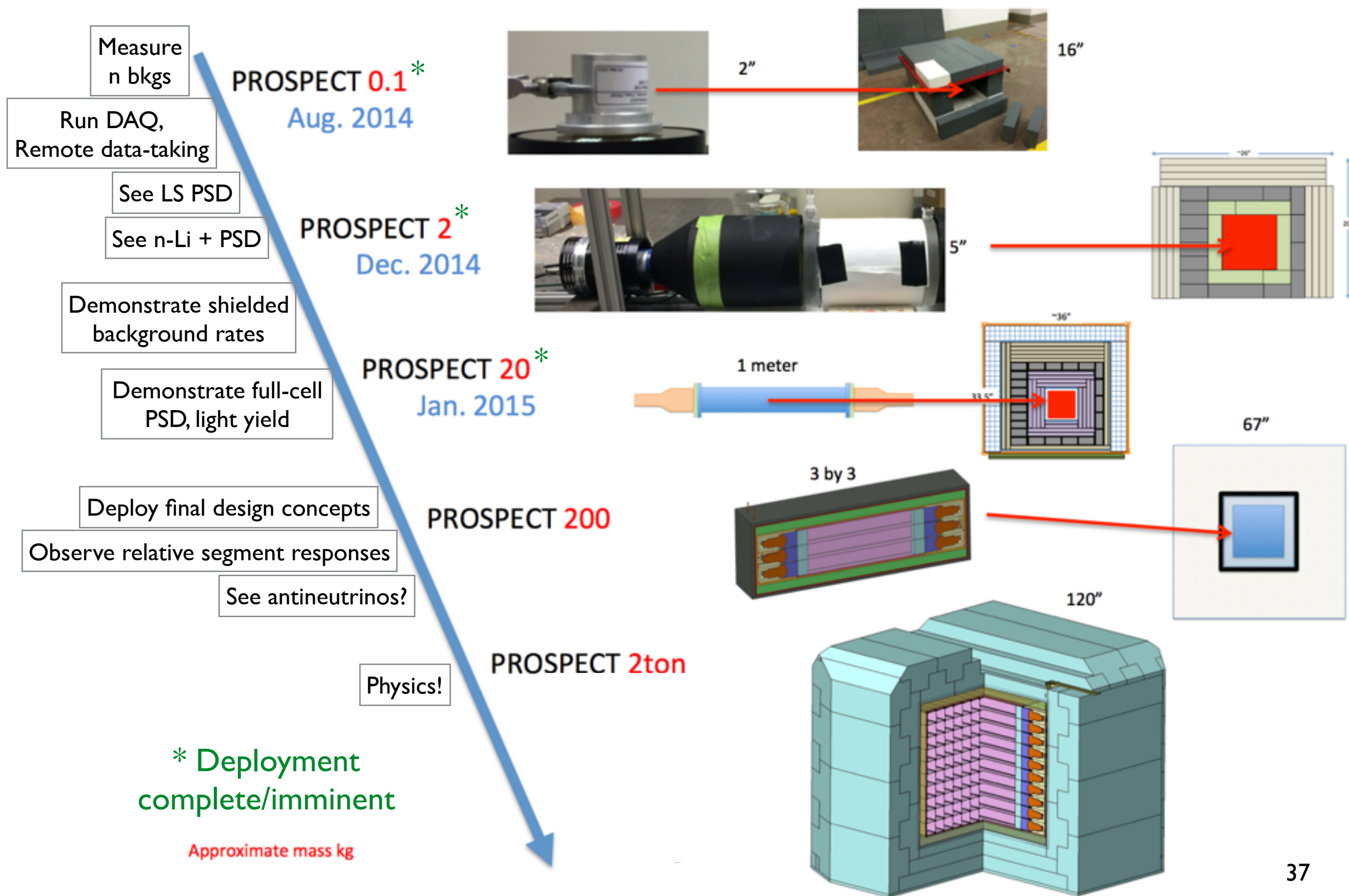
~~n-like prompt, n-like delay~~

Accidentals

~~γ-like prompt, γ-like delay~~



# PROSPECT: Scaling Up

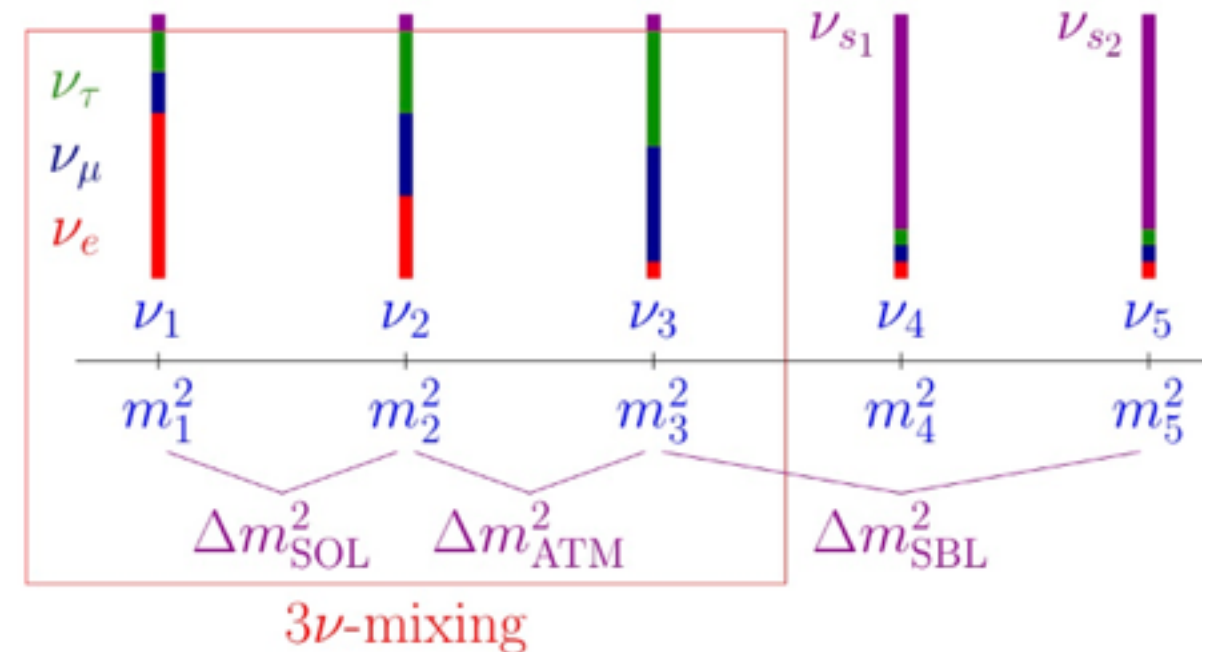




# PROSPECT Physics: Oscillations

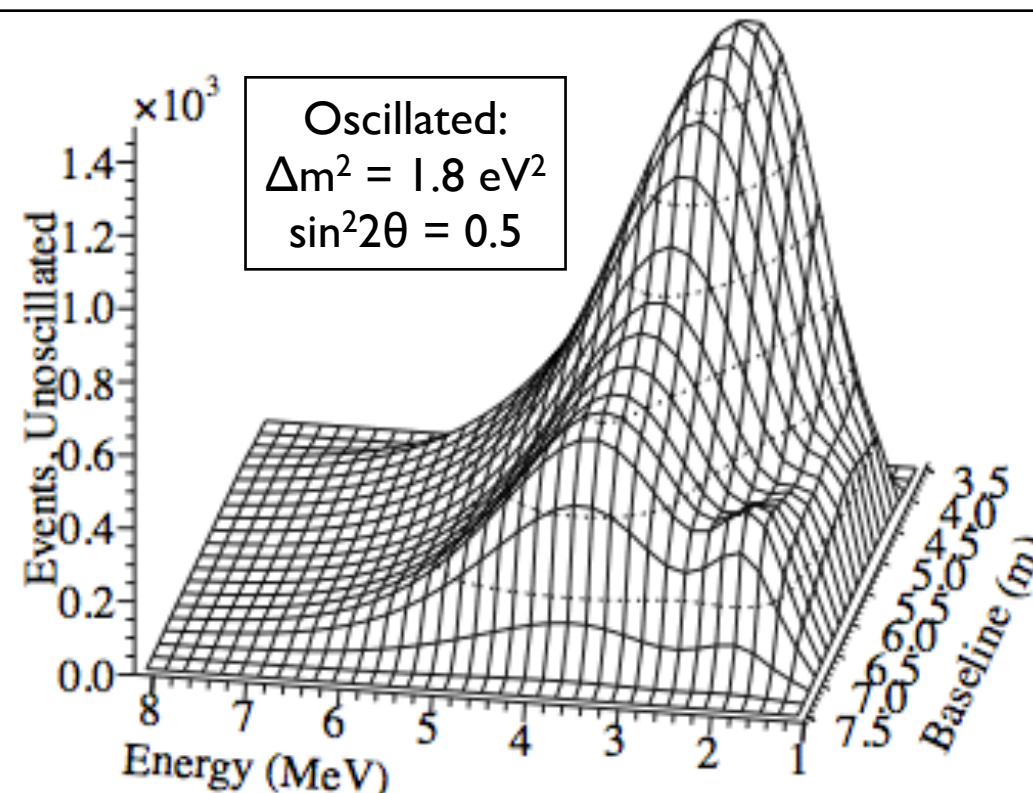
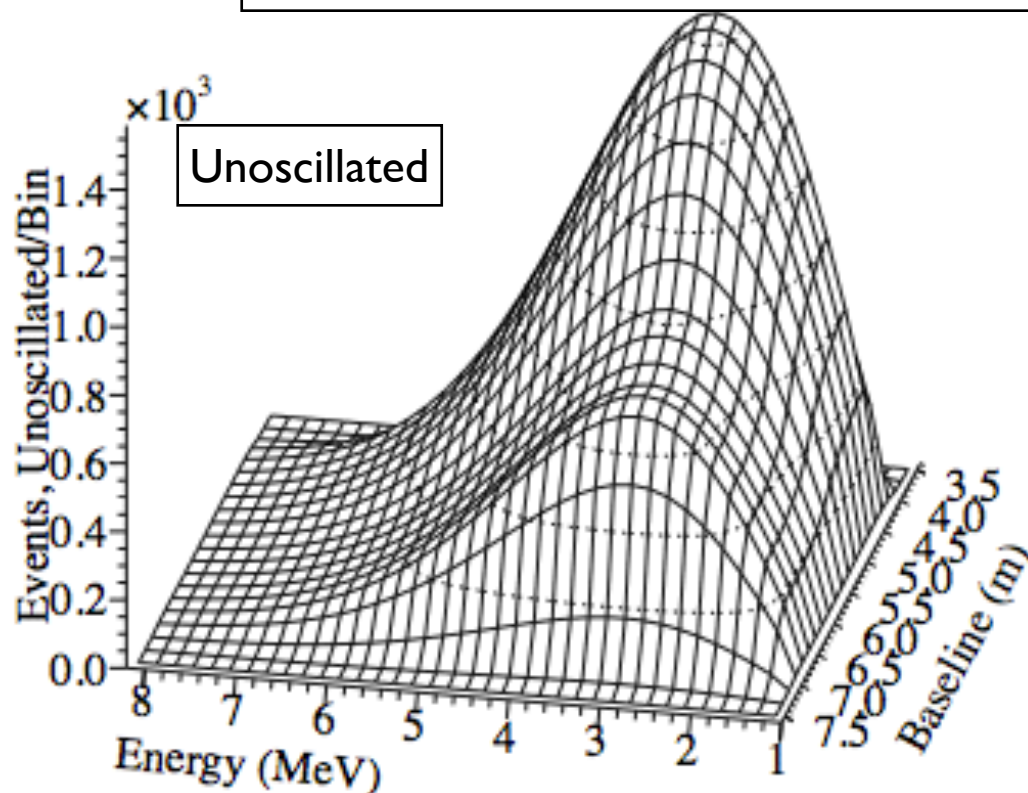


- Measure energy spectrum separately in each segment
- Look for unexpected L/E distortion: oscillations
  - Mass splitting wouldn't match observed three-neutrino splittings: fourth (sterile) neutrino



$$P(\nu_a \rightarrow \nu_b) = \sin^2 2\theta \sin^2 \left[ 1.27 \Delta m^2 (eV^2) \frac{L(km)}{E_\nu (GeV)} \right]$$

One 3x1x1 m<sup>3</sup> detector, 1m<sup>3</sup> 20 MW HEU core, 4m closest distance



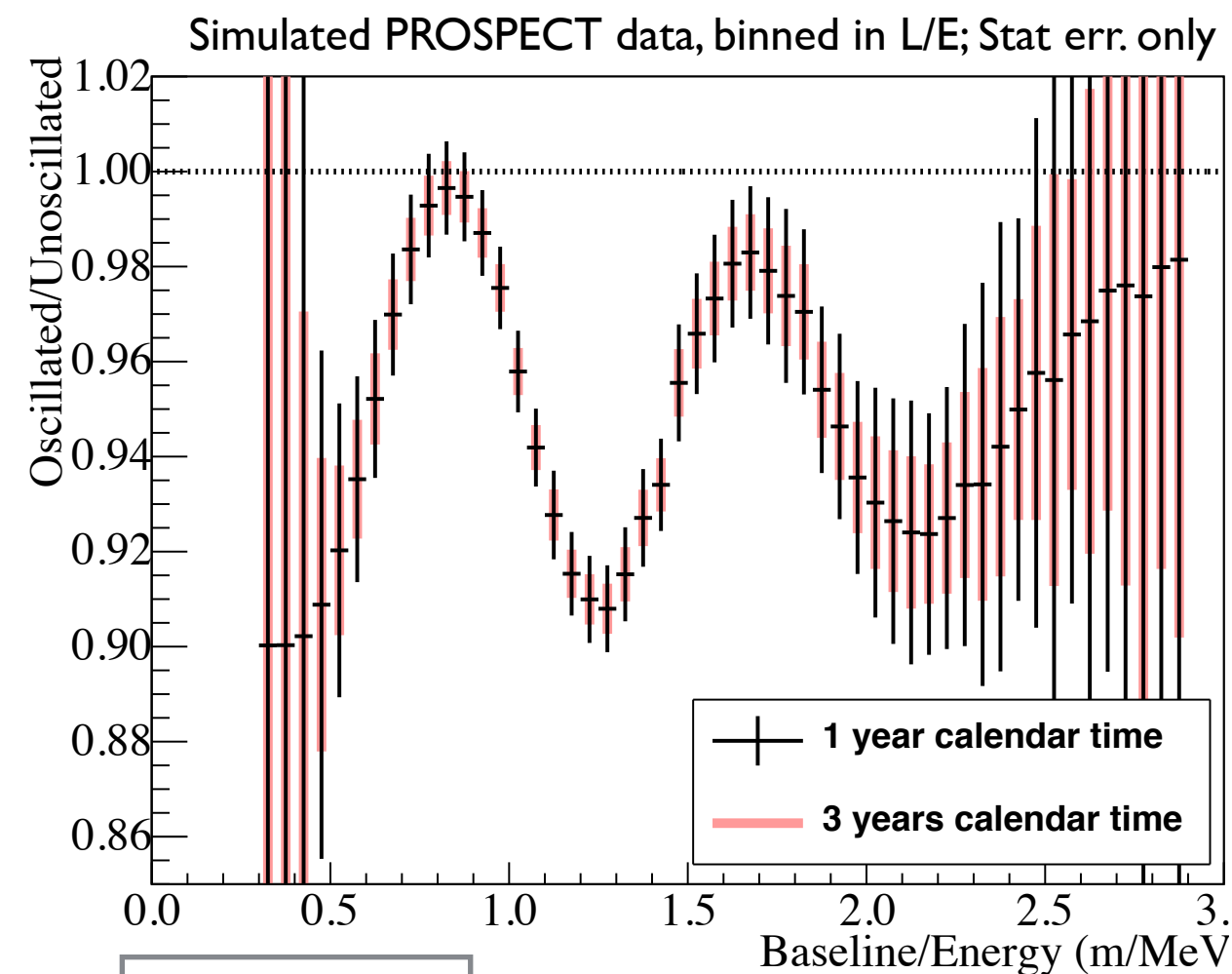
30% Efficiency  
15cm position resolution  
10%/Sqrt(E)  
Energy Resolution

# PROSPECT Physics: Oscillations

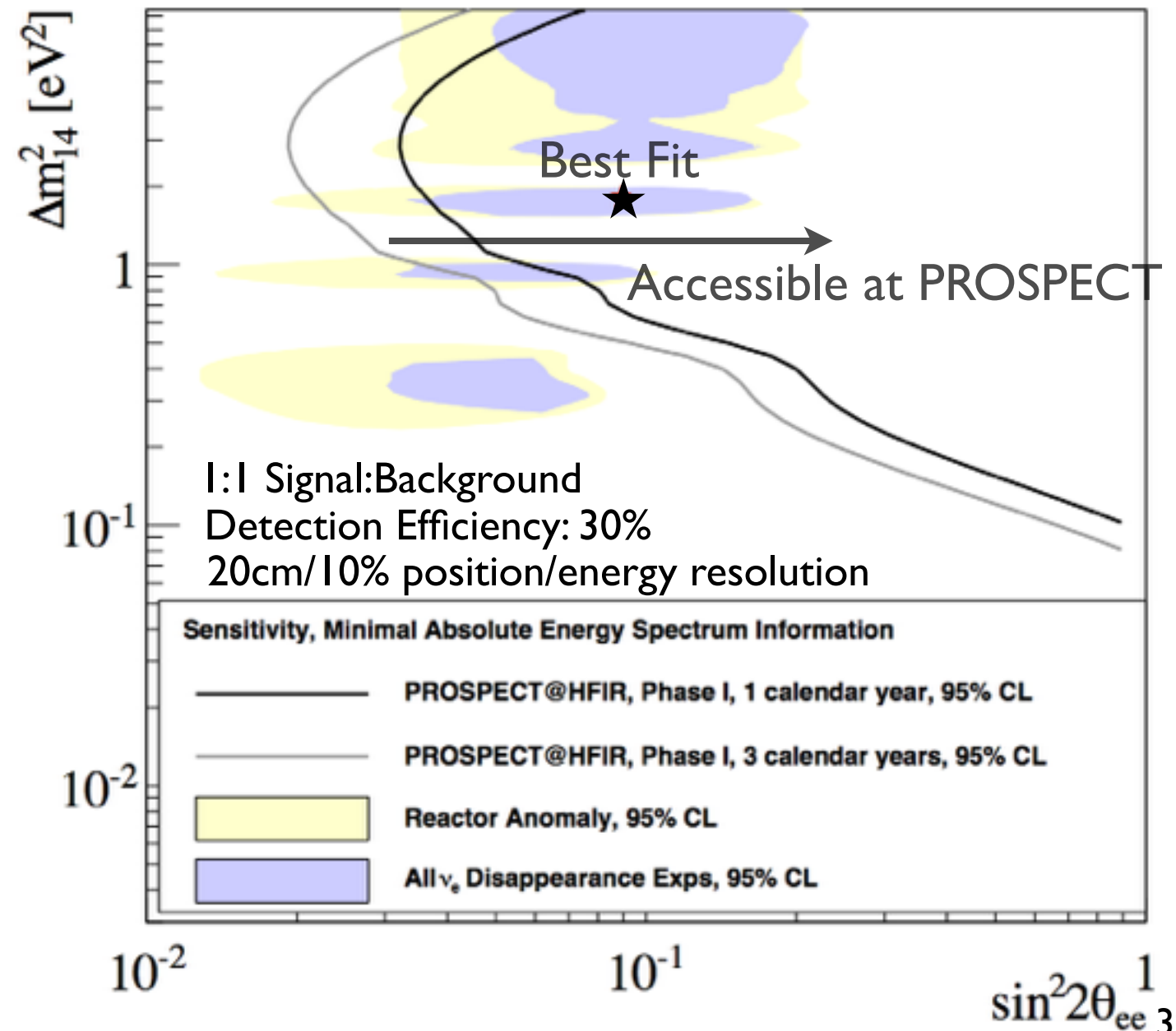


## ● Excellent oscillation discovery potential at PROSPECT

- If new sterile neutrino is where global fits suggest, it's very likely we'll see it!
- No reliance on absolute spectral shape or normalization: pure relative measurement
- Good coverage with a single detector and one/three calendar years of data-taking



Inputs:  
 3+1 Oscillations  
 $\Delta m^2 = 2.0 \text{ eV}^2$   
 $\sin^2 2\theta_{13} = 0.1$



# PROSPECT Physics: Absolute Spectrum



- What is the correct model?

- Have data points for conventional fuel ( $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$ )
- What about HEU fuel ( $^{235}\text{U}$  only)?  
Provides additional model constraint

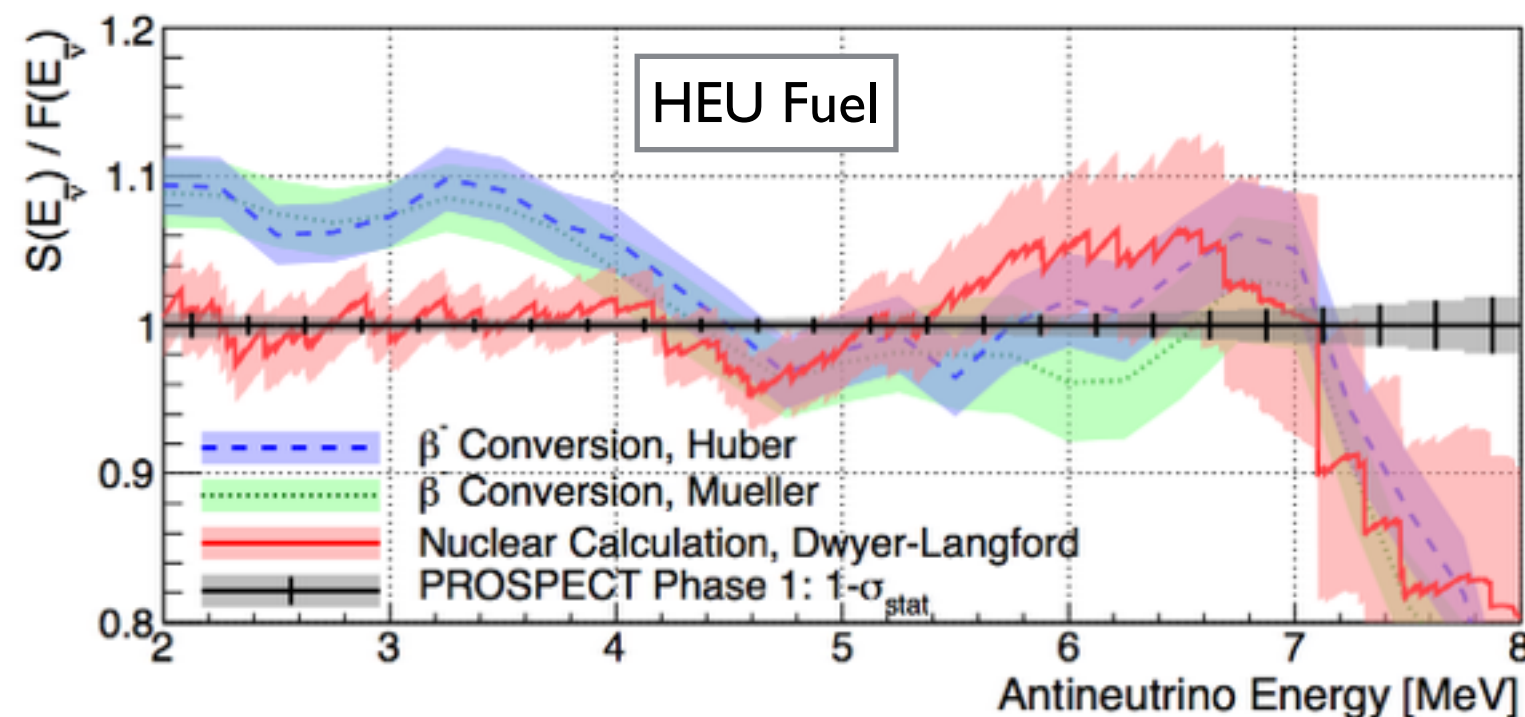
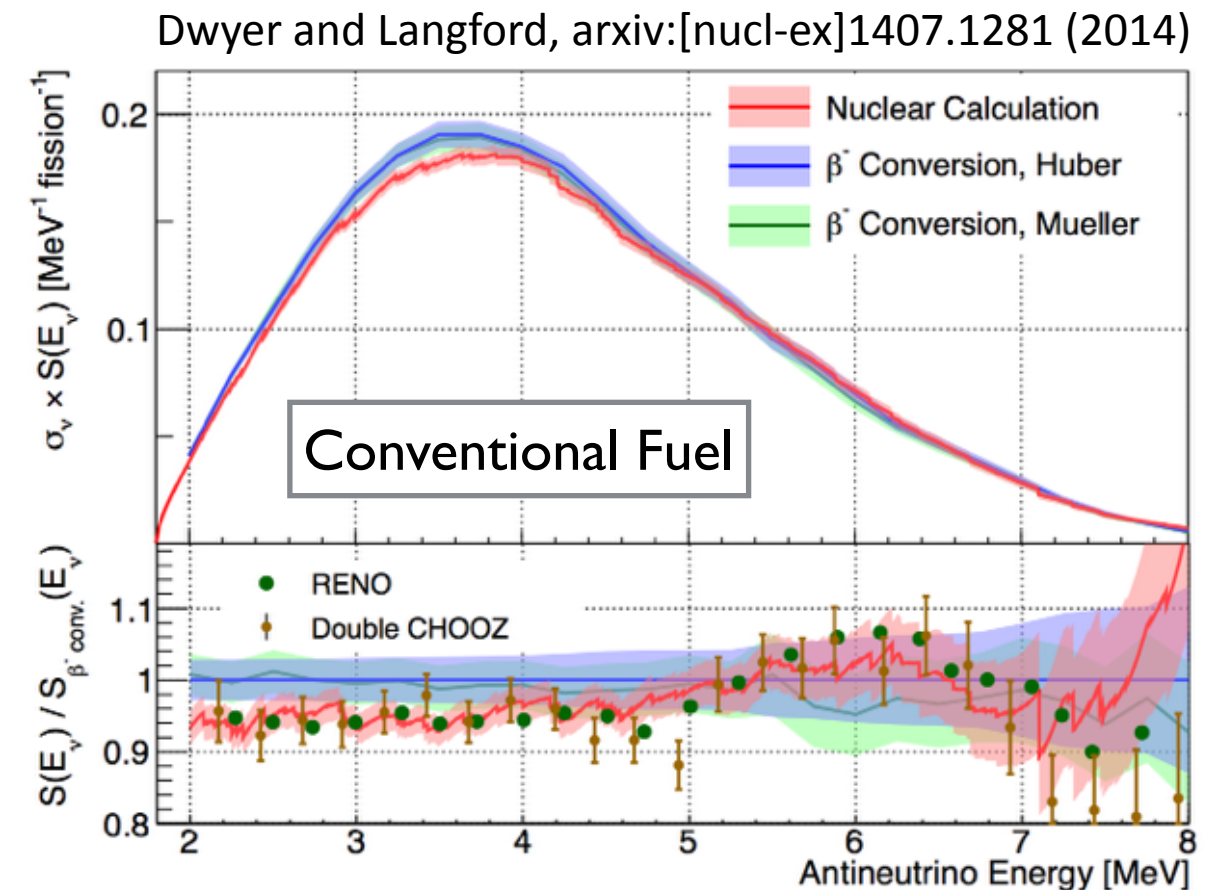
- Benefits of HFIR:

- 1 core versus many cores (Daya Bay, RENO)
- Easier to model, isolate features in 1 isotope's beta branches?

- Implications for reactor monitoring:

- Example: what if 5MeV bump isn't present for HEU fuel?

- In that case 'bump' size would be a proxy for  $^{239}\text{Pu}$  concentration in core!





# PROSPECT Physics: Absolute Spectrum

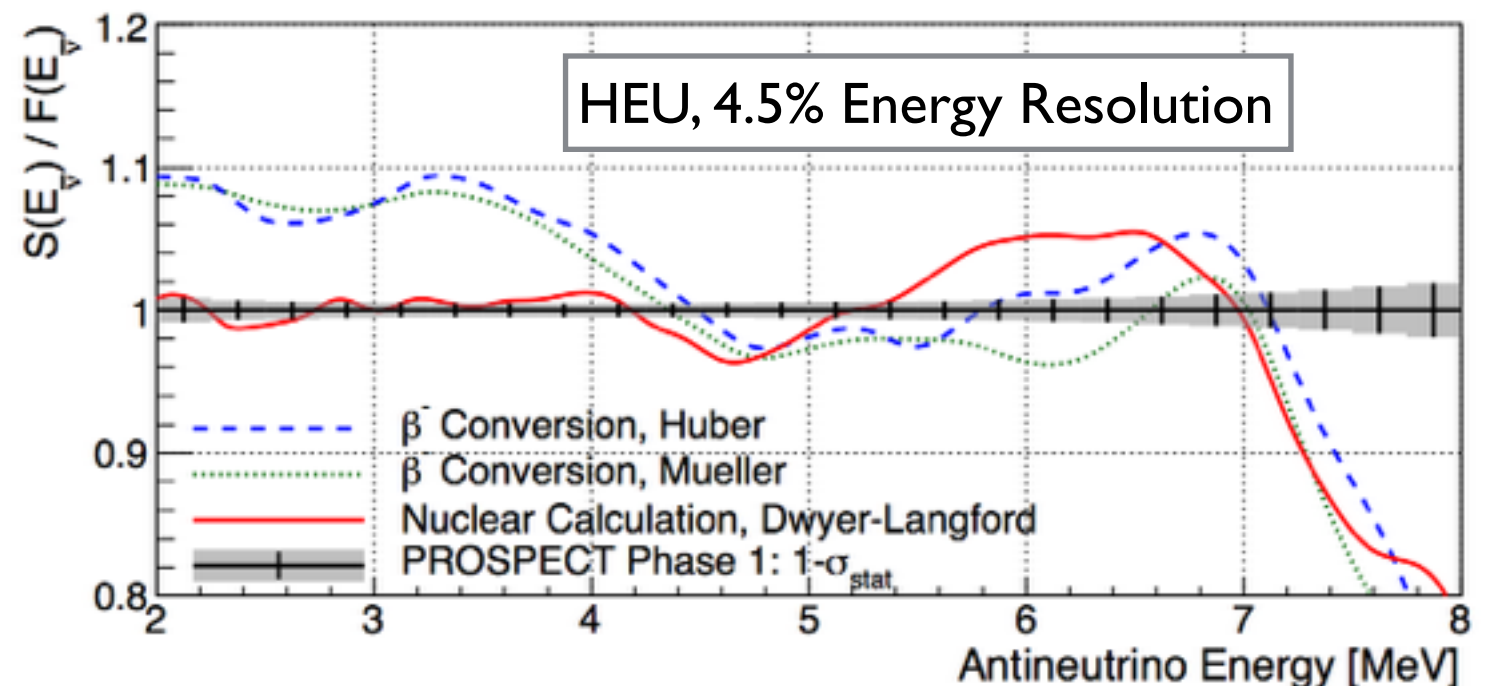
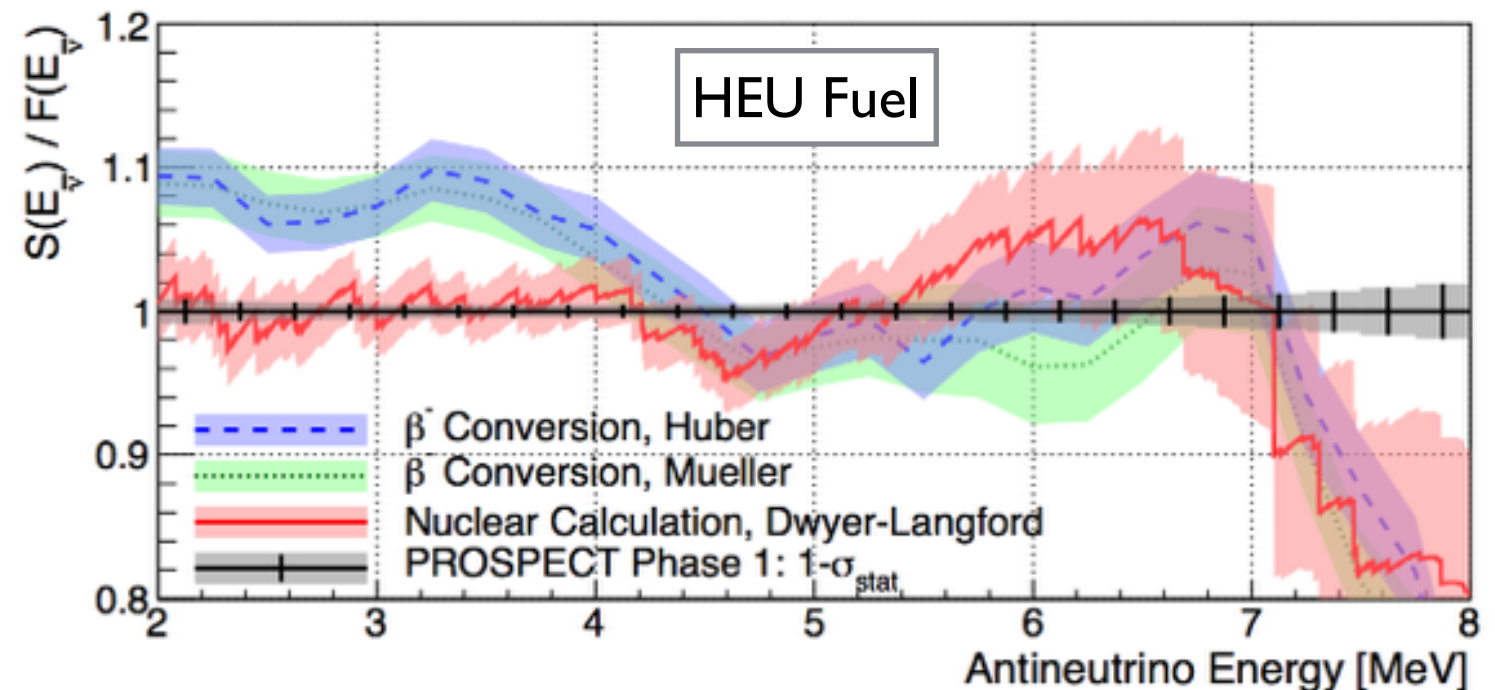


- How much fine structure exists in reactor spectrum?

- Ab initio calculations suggest significant fine structure from endpoints of prominent beta branches

- PROSPECT can provide highest-ever energy resolution on the spectrum

- Goal resolution: 4-5%
- Thus, best measurement of this fine structure
- Provide constraints on yields, endpoints of various branches (reactor spectroscopy)?
- Provide input for future high-resolution reactor experiments (JUNO)?



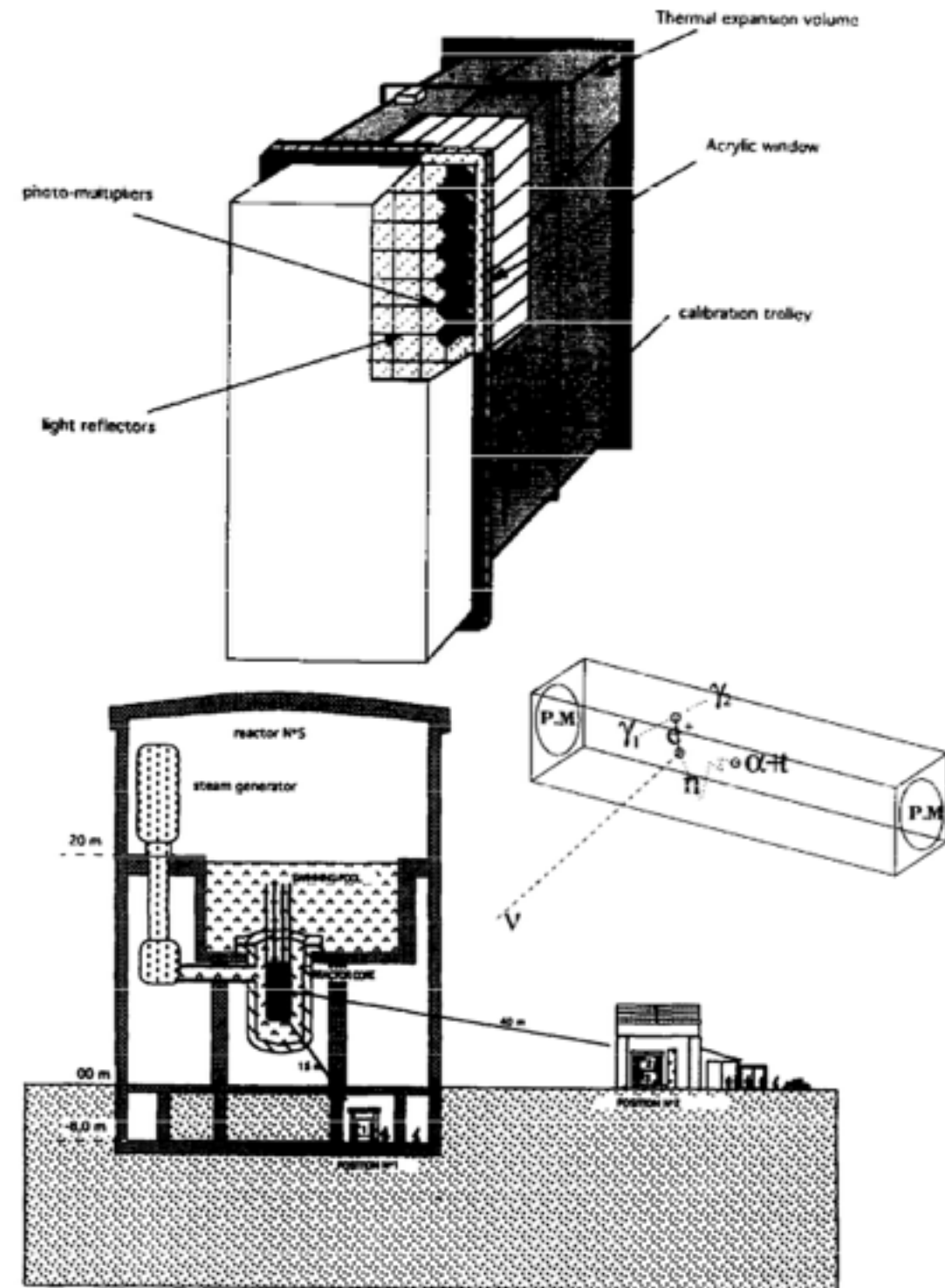


- Intro: Reactor  $\bar{\nu}_e$  Flux and Spectrum Predictions
- Reactor Anomaly and recent flux/spectrum measurements
- Future measurement of the  $\bar{\nu}_e$  spectrum at PROSPECT
- Historical/current/future context for PROSPECT

# Historical Context



- A similar experimental setup in the past: Bugey-3
  - Segmented short-baseline LiLS detector
- PROSPECT Pros:
  - Smaller reactor core, closer to core: better for SBL oscillation search
  - Stable scintillator: Bugey's degraded after a few months in near detector!
  - Smaller target dead volume: ~2% versus >15% for Bugey
  - Aim for better light yield, PSD
- PROSPECT Con: No Overburden
  - 14+ mwe (Bugey-3), <10 mwe (PROSPECT)
  - Bugey had 25:1 S:B



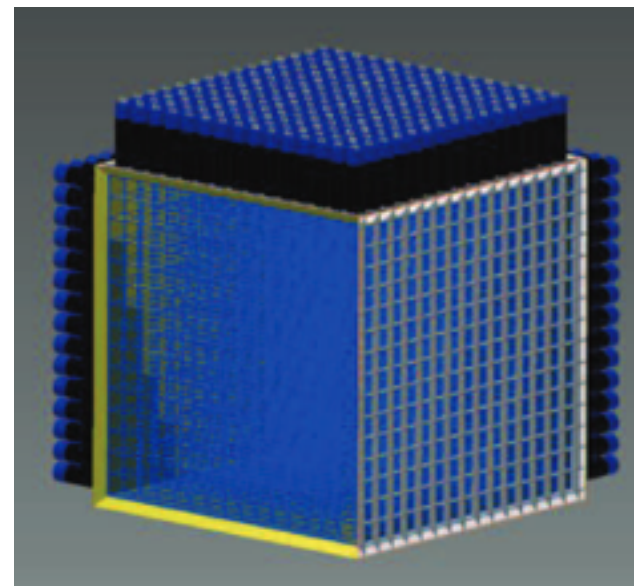
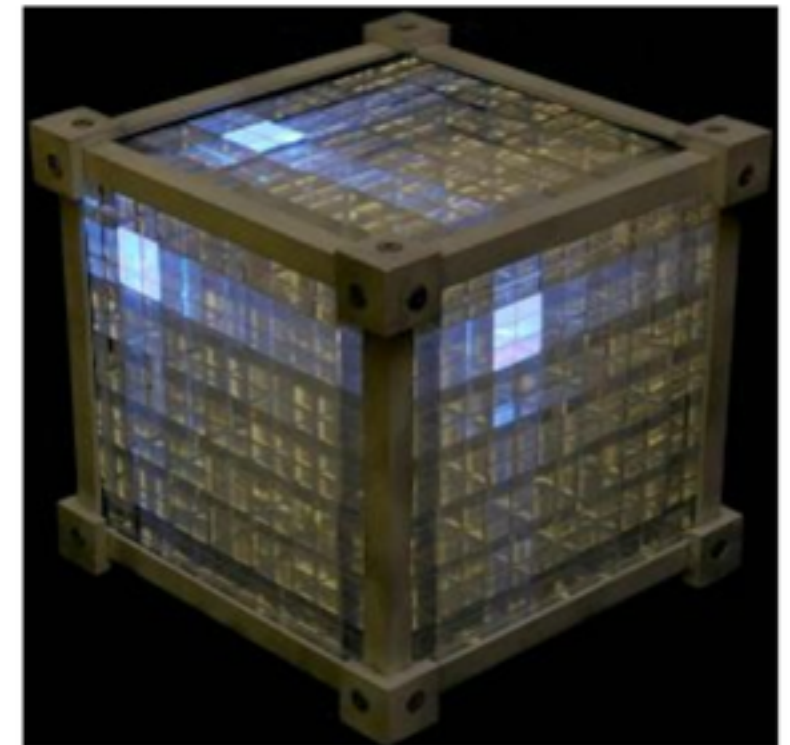


# US Context



- NuLat: Another effort to measure SBL reactor neutrinos in US
- Based on LENS optical lattice concept
- 2.5" B-loaded solid scintillator cubes, stacked together into lattice
- Observed on all sides by 1350 PMTs
- Test at 20MW NIST reactor, Data deployment at reactor aboard US Navy Ship
- Design, simulation and sensitivity studies underway currently
- Also proposed: coherent scattering at reactors

LENS detector concept



NuLat design drawing



Lattice concept in lab



# International Context

- Many experiments: Russian, European, Asian Efforts
- Key physics considerations (besides stats)
  - Oscillation: Baseline proximity, range, resolution
  - Spectrum: Energy resolution
- **PROSPECT: Relatively unique in designing toward both goals**

My (biased) overview of global efforts

	<u>Effort</u>	n-Capture Agent	Good X-Res	Good E-	L Range?	Fuel?	Exposure, MW*ton
Us	PROSPECT	Li	Yes	Yes	7-11+	HEU	185
	Nucifer	Gd	No	Yes	7	HEU	56
EU	STEREO	Gd	Yes	Yes	9-11	HEU	100
	SoLid	Li	Yes	No	6-8	HEU	155
Russia	DANSS	Gd	Yes	No	9.7-12	LEU	2700
	Neutrino4	Gd	Yes	Yes	6-12	HEU	150
Asia	Hanaro	Li/Gd	No	Yes	6-??m	Both	30

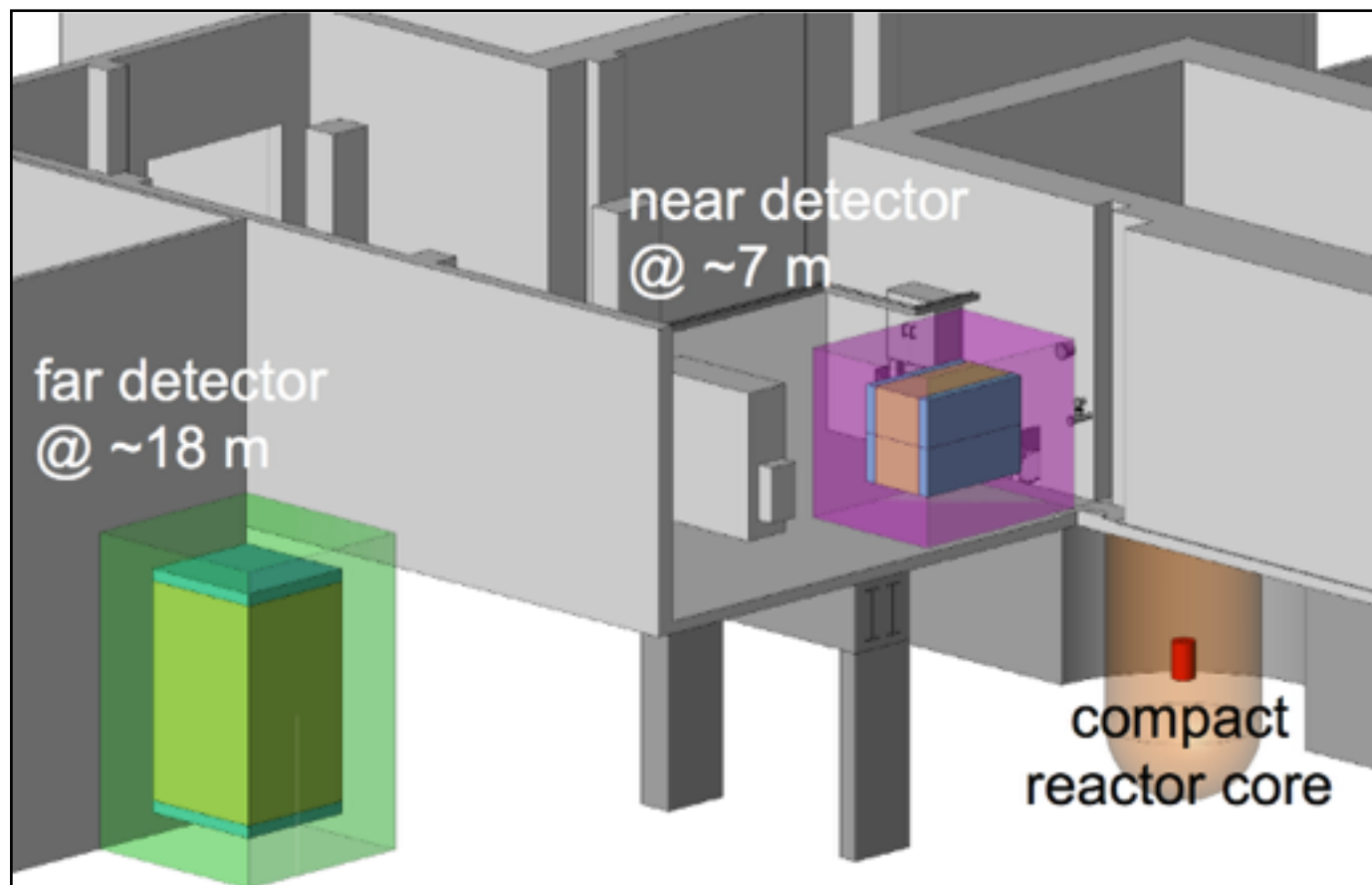
# Looking to Future



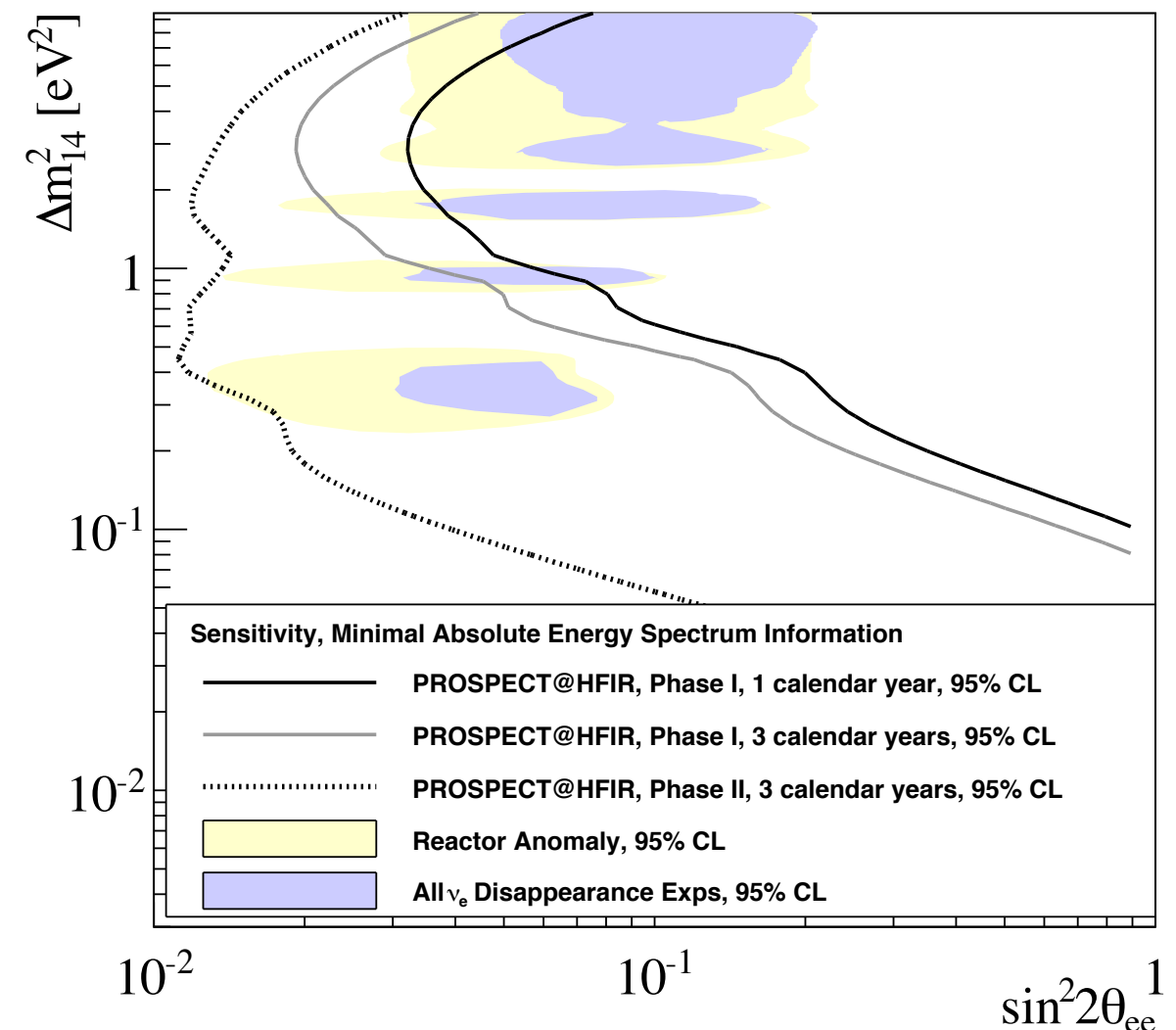
- **Eventual PROSPECT Goal: Near and far detector (Phase II)**

- 4-10x larger far detector installed after near detector running
- Provides broad, highly sensitive oscillation search
- Far detector can provide highly-fiducialized, high-resolution spectrum

HFIR, Near and Far detectors



Phase I and Phase II sensitivities





# Summary

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- Much has been learned about the absolute reactor nuebar flux and spectrum in the past 2-3 years
- More data is needed to address persisting questions
- PROSPECT can provide valuable new data by measuring HEU reactor  $\bar{\nu}_e$  at short baselines
  - High position resolution allows a precise relative spectral measurement for testing the sterile neutrino solution to the reactor anomaly
  - High energy resolution allows a precise absolute spectral measurement for providing new constraints on reactor models
  - Valuable conclusions can be drawn with 1 calendar year of data
- R&D and prototype deployments at HFIR are well underway



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END